STATE OF DELAWARE
DEPARTMENT OF TRANSPORTATION

BID PROPOSAL
for
CONTRACT T201100901.01
FEDERAL AID PROJECT NO. STP-S018(11)
CFDA NO. 20.205
HSIP SC, US 9 AND SR 5 INTERSECTION
Sussex County
ADVERTISEMENT DATE: July 2, 2018
COMPLETION TIME: 250 Calendar Days

Bids will be received in the Bidder's Room at the Delaware Department of Transportation's Administration Building, 800 Bay Road, Dover, Delaware prior to 2:00 P.M. local time July 31, 2018

This Copy is for information only. You must request a CD from DelDOT in order to bid.
GENERAL DESCRIPTION

LOCATION

These improvements are located in Sussex County more specifically shown on the Location Map(s) of the enclosed Plans.

DESCRIPTION

The improvements consist of furnishing all labor and materials for this contract. This project provides capacity improvements including the installation of additional turn lanes at the US 9/SR 5 intersection to address identified safety and operational issues and accommodate heavy vehicles and other incidental construction in accordance with the location, notes and details shown on the plans and as directed by the Engineer.

COMPLETION TIME

All work on this contract must be complete within 250 Calendar Days. The Contract Time includes an allowance for 48 Weather Days. It is the Department's intent to issue a Notice to Proceed such that work starts on or about October 8, 2018.

PROSPECTIVE BIDDERS NOTES:

1. BIDDERS MUST BE REGISTERED with DelDOT and request a cd of the official plans and specifications in order to submit a bid. Contact DelDOT at dot-ask@state.de.us, or (302) 760-2031. Bids will be received in the Bidder's Room at the Delaware Department of Transportation's Administration Building, 800 Bay Road, Dover, Delaware prior to 2:00 P.M. local time July 31, 2018 unless changed via addendum.
2. QUESTIONS regarding this project are to be e-mailed to dot-ask@state.de.us no less than six business days prior to the bid opening date in order to receive a response. Please include T201100901.01 in the subject line. Responses to inquiries are posted on-line at http://www.bids.delaware.gov.
3. THE BID PROPOSAL incorporates a cd containing Expedite, version 5.9a and its installation file. Bidders are to use the cd provided to enter their bid amounts into the Expedite file. The Expedite bid file must be printed and submitted in paper form along with the cd and other required documents prior to the Bid due date and time.
4. SURETY BOND - Each proposal must be accompanied by a deposit of either surety bond or security for a sum equal to at least 10% of the bid.
5. DRUG TESTING - Regulation 4104; The state Office of Management and Budget has developed regulations that require Contractors and Subcontractors to implement a program of mandatory drug testing for Employees who work on Large Public Works Contracts funded all or in part with public funds pursuant to 29 Del.C. §6908(a)(6). Refer to the full REVISED requirements at the following link: http://regulations.delaware.gov/register/december2017/final/21 DE Reg 503 12-01-17.htm

Note a few of the requirements;

* At bid submission - Each bidder must submit with the bid a signed affidavit certifying that the bidder and its subcontractors has in place or will implement during the entire term of the contract a Mandatory Drug Testing Program that complies with the regulation, form is attached;
* At least Two business days prior to contract execution - The awarded Contractor shall provide to DelDOT copies of the Employee Drug Testing Program for the Contractor, each participating DBE firm, and all other listed Subcontractors;
* Subcontractors - Contractors that employ Subcontractors on the job site may do so only after submitting a copy of the Subcontractor's Employee Drug Testing Program along with the standard required subcontractor information. A Subcontractor shall not commence work until DelDOT has approved the subcontractor in writing.

6. DBE PROGRAM REQUIREMENTS (49CFR §26.53(b)(3)(i)(B)) require submission of DBE participation information from the apparent low bidder no later than five (5) calendar days after bid opening. (forms are attached)
7. No RETAINAGE will be withheld on this contract.

8. EXTERNAL COMPLAINT PROCEDURE can be viewed on DelDOT’s Website at; [http://regulations.delaware.gov/AdminCode/title2/2000/2500/2501.shtml](http://regulations.delaware.gov/AdminCode/title2/2000/2500/2501.shtml) or you may request a copy by calling (302) 760-2555.

9. AUGUST 2016 STANDARD SPECIFICATIONS apply to this contract. The Contractor shall make himself aware of any revisions and corrections (Supplemental Specifications, if any) and apply them to the applicable item(s) of this contract. The 2016 Standard Specifications can be viewed here.

9a. FLATWORK CONCRETE TECHNICIAN CERTIFICATION TRAINING:
Section 501.03, 503.03, 505.03, 610.03, 701.03 and 702.03 of the 2016 Standard Specifications require contractor's to provide an American Concrete Institute (ACI) or National Ready Mix Concrete Association (NRMCA) certified concrete flatwork technician to supervise all finishing of flatwork concrete. Concrete flatwork certification will be effective starting on June 1, 2018.

10. ROAD USER COSTS:
The contract drawings and notes provide a sequence of construction for this contract.

   **Failure to open the road closures to unrestricted highway traffic on time**

   For each of the detour scenarios (US 9 and SR 5 north of US 9), daily road user costs were calculated. Road user costs were not calculated for the closure of SR 5 south of US 9 since this closure will only be implemented during nighttime permissible hours. These costs are used as the basis to set milestone incentive/disincentive costs for the contract in order to expedite the work and ensure that sections of roadway are opened in a timely manner. Daily User Costs were calculated for the detour routes during construction as per DelDOT's Design Guidance Memorandum: 1-24. Detailed calculations are shown in Appendix C of the TMP. Tables 2 through 5 below provide the calculated daily road user costs for each detour scenario. It should be noted that these costs represent the true daily cost to motorists utilizing the detour routes. Costs established for the purposes of this contract to be assessed to the contractor will likely be less than these values to address concerns with contractors over inflating bids to account for potential loss of revenue.

*Phase 1 shall begin on September 17, 2018.

<table>
<thead>
<tr>
<th>Table 2: Road User Cost - US 9 Closure: Vehicular (car) Detour</th>
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<tbody>
<tr>
<td>Daily Delay Cost $ 39,490</td>
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<tr>
<td>Operating Cost $ 35,659</td>
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<tr>
<td>Daily User Cost $ 75,149</td>
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</tbody>
</table>

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<tr>
<th>Table 3: Road User Cost - US 9 Closure: Truck Detour</th>
</tr>
</thead>
<tbody>
<tr>
<td>Daily Delay Cost $ 5,755</td>
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<tr>
<td>Operating Cost $ 17,041</td>
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<tr>
<td>Daily User Cost $ 22,797</td>
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<tr>
<th>Table 4: Total Road User Cost (US 9 Closure)</th>
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<tbody>
<tr>
<td>Daily User Cost - US 9 Closed, use Sand Hill Rd (Car Detour) $ 75,149</td>
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<tr>
<td>Daily User Cost - SR 9 Closed: Use US 113-Trucks Road $ 22,797</td>
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<tr>
<td>Total Daily User Cost $ 97,946</td>
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<tr>
<th>Table 5: Road User Cost - SR 5 Closure, north of US 9</th>
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<tr>
<td>Daily Delay Cost $ 10,473</td>
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<tr>
<td>Operating Cost $ 23,919</td>
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<td>Daily User Cost $ 34,391</td>
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*Not used for units of measurement for payment.
## TABLE OF CONTENTS

**GENERAL DESCRIPTION.** 1
- LOCATION 1
- DESCRIPTION. 1
- COMPLETION TIME. 1
- PROSPECTIVE BIDDERS NOTES. 1
- CONSTRUCTION ITEMS UNITS OF MEASURE. 3

**GENERAL NOTICES.** 1
- SPECIFICATIONS. 1
- CLARIFICATIONS. 1
- ATTESTING TO NON-COLLUSION. 1
- QUANTITIES. 1
- EQUALITY OF EMPLOYMENT OPPORTUNITY ON PUBLIC WORKS. 1
- TAX CLEARANCE. 1
- LICENSE. 2
- DIFFERING SITE CONDITIONS. 2
- CONFLICT WITH FEDERAL STATUTES OR REGULATIONS. 3
- FEDERAL LABOR AND EMPLOYMENT REQUIREMENTS. 3
- CONVICT PRODUCED MATERIALS. 3
- TO REPORT BID RIGGING ACTIVITIES. 4
- NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION. 5
- STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY. 5
- TRAINING SPECIAL PROVISIONS. 6
- INTERMODAL SURFACE TRANSPORTATION EFFICIENCY ACT. 10
- DISADVANTAGED BUSINESS ENTERPRISE (DBE) PROGRAM SPECIFICATION. 10
- CRITICAL DBE REQUIREMENTS. 12
- GUIDANCE FOR GOOD FAITH EFFORT. 13

**REQUIRED CONTRACT PROVISIONS - FEDERAL-AID CONSTRUCTION CONTRACTS.** 15
- I. GENERAL. 15
- II. NONDISCRIMINATION. 15
- III. NONSEGREGATED FACILITIES. 19
- IV. DAVIS-BACON AND RELATED ACT PROVISIONS. 19
- V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT. 24
- VI. SUBLETTING OR ASSIGNING THE CONTRACT. 24
- VII. SAFETY: ACCIDENT PREVENTION. 25
- VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS. 25
- IX. IMPLEMENTATION OF CLEAN AIR & WATER POLLUTION CONTROL ACT. 25
- X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY. 25
- XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING. 29
- CARGO PREFERENCE ACT. 30
- BUY AMERICA. 30
- APPENDICES TO THE TITLE VI ASSURANCE. 32

**PREVAILING WAGES.** 34
- PREVAILING WAGE REQUIREMENTS. 34
- APPLICABILITY OF DAVIS-BACON LABOR STANDARD PROVISIONS TO FLAGGERS. 38

**ALL AGENCY MEMORANDUM NO. 130.** 38

**SPECIAL PROVISIONS.** 40
- 401502 - ASPHALT CEMENT COST ADJUSTMENT. 41
- 202560 - CONTAMINATED MATERIAL. 42
- 211508 - VIDEOTaping BUILDINGS. 44
- 301500 - MILLED HOT-MIX BASE COURSE. 45
- 621500 - TEMPORARY TIMBER MAT. 48
- 701507 - Curb Retaining Wall, Type 1. 49
- 707500 - CHANNEL BED FILL. 50
- 710500 - INSTALLATION OF WATER MAIN AND ACCESSORIES. 51
<table>
<thead>
<tr>
<th>Contract No. T201100901.01</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>711500 - ADJUST AND REPAIR EXISTING SANITARY MANHOLE</td>
<td>53</td>
</tr>
<tr>
<td>763501 - CONSTRUCTION ENGINEERING</td>
<td>54</td>
</tr>
<tr>
<td>763508 - PROJECT CONTROL SYSTEM DEVELOPMENT PLAN</td>
<td>52</td>
</tr>
<tr>
<td>763509 - CPM SCHEDULE UPDATES AND/OR REVISED UPDATES</td>
<td>62</td>
</tr>
<tr>
<td>763594 - BENCH INSTALLATION</td>
<td>67</td>
</tr>
<tr>
<td>763598 - FIELD OFFICE, SPECIAL I.</td>
<td>68</td>
</tr>
<tr>
<td>850503 - LUMINAIRE (LED), 160 WATTS</td>
<td>75</td>
</tr>
</tbody>
</table>

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
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</tr>
</thead>
<tbody>
<tr>
<td>UTILITY STATEMENT</td>
<td>78</td>
</tr>
<tr>
<td>RIGHT OF WAY CERTIFICATE</td>
<td>90</td>
</tr>
<tr>
<td>ENVIRONMENTAL STATEMENT</td>
<td>91</td>
</tr>
<tr>
<td>RAILROAD STATEMENT</td>
<td>94</td>
</tr>
<tr>
<td>BID PROPOSAL FORMS</td>
<td>95</td>
</tr>
<tr>
<td>DRUG TESTING AFFIDAVIT</td>
<td>112</td>
</tr>
<tr>
<td>DELDOT DBE GOOD FAITH EFFORT FORM</td>
<td>113</td>
</tr>
<tr>
<td>CERTIFICATION</td>
<td>116</td>
</tr>
<tr>
<td>BID BOND</td>
<td>118</td>
</tr>
</tbody>
</table>
GENERAL NOTICES

SPECIFICATIONS:

The specifications entitled "Delaware Standard Specifications for Road and Bridge Construction, August, 2016", hereinafter referred to as the Standard Specifications; Supplemental Standard Specifications; the Special Provisions; notes on the Plans; this Bid Proposal; and any addenda thereto, shall govern the work to be performed under this contract.

CLARIFICATIONS:

Under any Section or Item included in the Contract, the Contractor shall be aware that when requirements, responsibilities, and furnishing of materials are outlined in the details and notes on the Plans and in the paragraphs preceding the "Basis of Payment" paragraph in the Standard Specifications or Special Provisions, no interpretation shall be made that such stipulations are excluded because reiteration is not made in the "Basis of Payment" paragraph.

ATTESTING TO NON-COLLUSION:

The Department requires as a condition precedent to acceptance of bids a sworn statement executed by, or on behalf of, the person, firm, association, or corporation to whom such contract is to be awarded, certifying that such person, firm, association, or corporation has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with such contract. The form for this sworn statement is included in the proposal and must be properly executed in order to have the bid considered.

QUANTITIES:

The quantities shown are for comparison of bids only. The Department may increase or decrease any quantity or quantities without penalty or change in the bid price.

EQUALITY OF EMPLOYMENT OPPORTUNITY ON PUBLIC WORKS:

Delaware Code, Title 29, Chapter 69, Section 6962, Paragraph (d), Subsection (7) states;

a. As a condition of the awarding of any contract for public works financed in whole or in part by State appropriation, such contracts shall include the following provisions:

During the performance of this contract, the contractor agrees as follows:

1. The contractor will not discriminate against any employee or applicant for employment because of race, creed, color, sex, sexual orientation, gender identity or national origin. The contractor will take positive steps to ensure that applicants are employed and that employees are treated during employment without regard to their race, creed, color, sex, sexual orientation, gender identity or national origin. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places available to employees and applicants for employment notices to be provided by the contracting agency setting forth this nondiscrimination clause.

2. The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to race, creed, color, sex, sexual orientation, gender identity or national origin.

3. The contractor will ensure employees receive equal pay for equal work, without regard to sex. Employee pay differential is acceptable if pursuant to a seniority system, a merit system, a system which measures earnings by quantity or quality of production, or if the differential is based on any other factor other than sex.
TAX CLEARANCE:

As payments to each vendor or contractor aggregate $2,000, the Division of Accounting will report such vendor or contractor to the Division of Revenue, who will then check the vendor or contractor's compliance with tax requirements and take such further action as may be necessary to insure compliance.

LICENSE:

A person desiring to engage in business in this State as a contractor on a project designated to include federal funds, shall obtain a Delaware business license upon making application to the Division of Revenue. Proof of said license compliance to be made prior to, or in conjunction with, the execution of a contract to which he has been named.

SUBCONTRACTOR LICENSE: 29 DEL. C. §6967:

(c) Any contractor that enters a public works contract must provide to the agency to which it is contracting, within 30 days of entering such public works contract, copies of all occupational and business licenses of subcontractors and/or independent contractors that will perform work for such public works contract. However, if a subcontractor or independent contractor is hired or contracted more than 20 days after the contractor entered the public works contract the occupational or business license of such subcontractor or independent contractor shall be provided to the agency within 10 days of being contracted or hired.

DIFFERING SITE CONDITIONS,

SUSPENSIONS OF WORK and SIGNIFICANT CHANGES IN THE CHARACTER OF WORK:

Differing site conditions: During the progress of the work, if subsurface or latent physical conditions are encountered at the site differing materially from those indicated in the contract of if unknown physical conditions of an unusual nature, differing materially from those ordinarily encountered and generally recognized as inherent in the work provided for in the contract are encountered at the site, the party discovering such conditions shall promptly notify the other party in writing of the specific differing conditions before they are disturbed and before the affected work is performed.

Upon written notification, the engineer will investigate the conditions, and if he/she determines that the conditions materially differ and cause an increase or decrease in the cost or time required for the performance of any work under the contract, an adjustment, excluding loss of anticipated profits, will be made and the contract modified in writing accordingly. The engineer will notify the contractor of his/her determination whether or not an adjustment of the contract is warranted.

No contract adjustment which results in a benefit to the contractor will be allowed unless the contractor has provided the required written notice.

No contract adjustment will be allowed under their clause for any effects caused on unchanged work.

Suspensions of work ordered by the engineer: If the performance of all or any portion of the work is suspended or delayed by the engineer in writing for an unreasonable period of time (not originally anticipated, customary or inherent to the construction industry) and the contractor believes that additional compensation and/or contract time is due as a result of such suspension and the suspension was caused by conditions beyond the control of and not the fault of the contractor, its suppliers, or subcontractors at any approved tier, and not caused by weather, the engineer will make an adjustment (excluding profit) and modify the contract in writing accordingly. The engineer will notify the contractor of his/her determination whether or not an adjustment of the contract is warranted.

No contract adjustment will be allowed unless the contractor has submitted the request for adjustment within the time prescribed.
No contract adjustment will be allowed under this clause to the extent that performance would have been suspended or delayed by any other cause, or for which an adjustment is provided for or excluded under any other term or condition of this contract.

**Significant changes in the character of work:** The engineer reserves the right to make, in writing, at any time during the work, such changes in quantities and such alterations in the work as are necessary to satisfactorily complete the project. Such changes in quantities and alterations shall not invalidate the contract nor release the surety, and the contractor agrees to perform the work as altered.

If the alterations or changes in quantities significantly change the character of the work under the contract, whether or not changed by any such different quantities or alterations, an adjustment, excluding loss of anticipated profits, will be made to the contract. The basis for the adjustment shall be agreed upon prior to the performance of the work. If a basis cannot be agreed upon, then an adjustment will be made either for or against the contractor in such amount as the engineer may determine to be fair and equitable.

The term "significant change" shall be construed to apply only to the following circumstances:

(A) When the character of the work as altered differs materially in kind or nature from that involved or included in the original proposed construction or

(B) When a major item of work, as defined elsewhere in the contract, is increased in excess of 125 percent or decreased below 75 percent of the original contract quantity. Any allowance for an increase in quantity shall apply only to that portion in excess of 125 percent of original contract item quantity, or in case of a decrease below 75 percent, to the actual amount of work performed.

**CONFLICT WITH FEDERAL STATUTES OR REGULATIONS:**

Delaware Code, Title 29, Chapter 69, Section 6904, Paragraph (a):

"If any provision of this subchapter conflicts or is inconsistent with any statute, rule or regulation of the federal government applicable to a project or activity, the cost of which is to be paid or reimbursed in whole or in part by the federal government, and due to such conflict or inconsistency the availability of federal funds may be jeopardized, such provision shall not apply to such project or activity."

**FEDERAL LABOR AND EMPLOYMENT REQUIREMENTS**

Federal Regulation 23 CFR § 635.117(b) Labor and employment, states:

"No procedures or requirement shall be imposed by any State which will operate to discriminate against the employment of labor from any other State, possession or territory of the United States, in the construction of a Federal-aid project."

**CONVICT PRODUCED MATERIALS:**

(a) Materials produced after July 1, 1991, by convict labor may only be incorporated in a Federal-aid highway construction project if such materials have been:

(1) Produced by convicts who are on parole, supervised release, or probation from a prison or

(2) Produced in a qualified prison facility and the cumulative annual production amount of such materials for use in Federal-aid highway construction does not exceed the amount of such materials produced in such facility for use in Federal-aid highway construction during the 12-month period ending July 1, 1987.

(b) Qualified prison facility means any prison facility in which convicts, during the 12-month period ending July 1, 1987, produced materials for use in Federal-aid highway construction projects.
TO REPORT BID RIGGING ACTIVITIES:

The U. S. Department of Transportation (DOT) operates the below toll-free "hotline" Monday through Friday, 8:00 a.m. to 5:00 p.m. eastern time. Anyone with knowledge of possible bid rigging, bidder collusion, or other fraudulent activities should use the "hotline" to report such activities.

The "hotline" is part of the DOT's continuing effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the DOT Inspector General. All information will be treated confidentially and caller anonymity will be respected.

TO REPORT BID RIGGING ACTIVITIES
CALL 1-800-424-9071
1. The Offeror's or Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Specifications" set forth herein.

2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate work force in each trade on all construction work in the covered area, are as follows:

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<th>Goals for Minority Participation In Each Trade</th>
<th>Goals for Female Participation In Each Trade</th>
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<tr>
<td>12.3% (New Castle County)</td>
<td>6.9% (Entire State)</td>
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<tr>
<td>14.5% (Kent &amp; Sussex Counties)</td>
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These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally assisted) performed in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the contractor also is subject to the goals for both its federally involved and non-federally involved construction.

The Contractor's compliance with the Executive Order and the Executive Order and the regulations in CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4.3(a), and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order, and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within 10 working days of award of any construction subcontract in excess of $10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address, and telephone number of the subcontractor; employer identification number of the subcontractor; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the subcontract is to be performed.

4. As used in this Notice, and in the contract resulting from this solicitation, the "covered area" is Sussex County.

REV. 11-3-80
1. As used in these specifications:

   a. "Covered area" means the geographical area described in the solicitation from which this contract resulted;
   b. "Director" means Director, Office of Federal Contract Compliance Programs, United States Department of Labor, or any person to whom the Director delegates authority;
   d. "Minority" includes:
      i. Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
      ii. Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);
      iii. Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands); and
      iv. American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).

2. Whenever the Contractor, or any Subcontractor at any tier, subcontracts a portion of the work involving any construction trade, it shall physically include in each subcontract in excess of $10,000 the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this contract resulted.

3. If the Contractor is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the U.S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) shall be in accordance with that Plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or Subcontractor participating in an approved Plan is individually required to comply with its obligations under the EEO clause, and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors or Subcontractors toward a goal in an approved plan does not excuse any covered Contractor's or Subcontractor's failure to take good faith efforts to achieve the Plan goals and timetables.

4. The Contractor shall implement the specific affirmative action standards provided in paragraphs 7a through 7p of these specifications. The goals set forth in the solicitation from which this contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. Covered Construction contractors performing construction work in geographical areas where they do not have a Federal or federally assisted construction contract shall apply the minority and female goals established for the geographical area where the work is being performed. Goals are published periodically in the Federal Register in notice form, and such notices may be obtained from any Office of Federal Contract Compliance Program Office or from the Federal procurement contracting offices. The Contractor is expected to make substantially uniform progress in meeting its goals in each craft during the period specified.

5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement, to refer either minorities or women shall excuse the Contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant thereto.

6. In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.
7. The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:

a. Ensure and maintain a working environment free of harassment, intimidation, and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, where possible, will assign two or more women to each construction project. The Contractor shall specifically ensure that all foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.

b. Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.

c. Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefor, along with whatever additional actions the Contractor may have taken.

d. Provide immediate written notification to the Director when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.

e. Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under 7b above.

f. Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.

g. Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other employment decisions including specific review of these items with on-site supervisory personnel such as Superintendents, General Foreman, etc., prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.

h. Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractors and Subcontractors with whom the Contractor does or anticipates doing business.

i. Direct its recruitment efforts, both oral and written, to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month prior to the date for the acceptance of applications for apprenticeship or other training by any recruitment source, the Contractor shall send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process.

j. Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer and vacation employment to minority and female youth both on the site and in other areas of a Contractor's work force.

k. Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR Part 60-3.
1. Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.

m. Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.

n. Ensure that all facilities and company activities are nonsegregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.

o. Document and maintain a record of all solicitations of offers for subcontractors from minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.

p. Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.

8. Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations (7a through p). The efforts of a contractor association, joint contractor-union, contractor-community, or other similar group of which the Contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under 7a through p of these Specifications provided that the Contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the Contractor's minority and female work force participating, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply, however, is the Contractor's and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.

9. A single goal for minorities and a separate single goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner (for example, even though the Contractor has achieved its goals for women generally, the Contractor may be in violation of the Executive Order if a specific minority group of women is under utilized).

10. The Contractor shall not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.

11. The Contractor shall not enter into any Subcontract with any person or firm debarred from Government contracts pursuant to Executive Order 11246.

12. The Contractor shall carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementing regulations, by the Order of Federal Contract Compliance Programs. Any Contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Executive Order 11246, as amended.

13. The Contractor, in fulfilling its obligations under these specifications, shall implement specific affirmative action steps, at least as extensive as those standards prescribed in paragraph 7 of these specifications, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of the Executive Order, the implementing regulations, or these specifications, the Director shall proceed in accordance with 41 CFR 60-4.8.

14. The Contractor shall designate a responsible official to monitor all employment-related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government, and to keep records. Records shall at least include for each employee the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, status (e.g., mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate
of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, contractors shall not be required to maintain separate records.

15. Nothing herein provided shall be construed as a limitation upon the application of other laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

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TRAINING SPECIAL PROVISIONS

This Training Special Provision supersedes subparagraph 7b of the Special Provision entitled "Specific Equal Employment Opportunity Responsibilities", (Attachment 1), and is in implementation of 23 U.S.C. 140(a). As part of the contractor's equal employment opportunity affirmative action program, training shall be provided as follows:

The contractor shall provide on-the-job training aimed at developing full journeyman in the type of trade or job classification involved.

The number of trainees to be trained under the special provision will be 0. In the event the contractor subcontracts a portion of the contract work, he shall determine how many, if any, of the trainees are to be trained by the subcontractor, provided however, that the contractor shall retain the primary responsibility for meeting the training requirements imposed by this special provision. The contractor shall also insure that this Training Special Provision is made applicable to such subcontract. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year apprenticeship or training.

The number of trainees shall be distributed among the work classification on the basis of the contractor's needs and the availability of journeymen in the various classifications within a reasonable area of recruitment. Prior to commencing construction, the contractor shall submit to the Department of Highways and Transportation for approval the number of trainees to be trained in each selected classification and training program to be used. Furthermore, the contractor shall specify the starting time for training in each of the classifications. The contractor will be credited for each trainee employed by him on the contract work who is currently enrolled or becomes enrolled in an approved program and will be reimbursed for such trainees as provided hereinafter.

Training and upgrading of minorities and women toward journeyman status is a primary objective of this Training Special Provision. Accordingly, the contractor shall make every effort to enroll minority trainees and women (e.g., by conducting systematic and direct recruitment through public and private sources likely to yield minority and women trainees) to the extent that such persons are available within a reasonable area of recruitment. The contractor will be responsible for demonstrating the steps that he has taken in pursuance thereof, prior to a determination as to whether the contractor is in compliance with this Training Special Provision. This training commitment is not intended, and not be used, to discriminate against any applicant for training, whether a member of a minority group or not.

No employee shall be employed as a trainee in any classification in which he has successfully completed a training course leading to journeyman status or in which he has been employed as a journeyman. The contractor should satisfy this requirement by including appropriate questions in the employee application or by other suitable means. Regardless of the method used the contractor's records should document the findings in each case.

The minimum length and type of training for each classification will be as established in the training program selected by the contractor and approved by the Department of Highways and Transportation and the Federal Highway Administration. The Department of Highways and Transportation and the Federal Highway Administration shall approve a program if it is reasonably calculated to meet the equal employment opportunity obligations of the contractor and to qualify the average trainee for journeyman status in the classification concerned by the end of the training period. Furthermore, apprenticeship programs registered with the U.S. Department of Labor, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau and training programs approved but not necessarily sponsored by the U.S. Department of Labor, Manpower Administration, Bureau of Apprenticeship and Training shall also be considered acceptable provided it is being administered in a manner consistent with the equal employment
obligations of Federal-aid highway construction contracts. Approval or acceptance of a training program shall be obtained from the State prior to commencing work the classification covered by the program. It is the intention of these provisions that the training is to be provided in the construction crafts rather than clerk-typists or secretarial-type positions. Training is permissible in lower level management positions such as office engineers, estimators, timekeepers, etc., where the training is oriented toward construction applications. Training in the laborer classification may be permitted provided that significant and meaningful training is provided and approved by the division office. Some off-site training is permissible as long as the training is an integral part of an approved training program and does not comprise a significant part of the overall training.

Except as otherwise noted below, the contractor will be reimbursed 80 cents per hour of training given an employee on this contract in accordance with an approved training program. As approved by the engineer, reimbursement will be made for training persons in excess of the number specified herein. This reimbursement will be made even though the contractor receives additional training program funds from other sources, provided such other sources does not specifically prohibit the contractor from receiving other reimbursement. Reimbursement for off-site training indicated above may only be made to the contractor where he does one or more of the following and the trainees are concurrently employed on a Federal-aid project; contributes to the cost of the training; provides the instruction of the trainee; or pays the trainee's wages during the off-site training period.

No payment shall be made to the contractor if either the failure to provide the required training, or the failure to hire the trainees as a journeyman, is caused by the contractor and evidences a lack of good faith on the part of the contractor in meeting the requirements of this Training Special Provision. It is normally expected that a trainee will begin his training on the project as soon as feasible after start of work utilizing the skill involved and remain on the project as long as training opportunities exist in his work classification or until he has completed his training program. It is not required that all trainees be on board for the entire length of the contract. A contractor will have fulfilled his responsibilities under this Training Special Provision if he has provided acceptable training to the number of trainees specified. The number trained shall be determined on the basis of the total number enrolled on the contract for a significant period.

Trainees will be paid a least 60 percent of the appropriate minimum journeymen's rate specified in the contract for the first half of the of the training period, 75 percent for the third quarter of the training period, and 90 percent for the last quarter of the training period, unless apprentices or trainees is an approved existing program are enrolled as trainees on this project. In fact case, the appropriate rates approved by the Department of Labor or Transportation in connection with the existing program shall apply to all trainees being trained for the same classification who are covered by this Training Special Provisions.

The contractor shall furnish the trainee a copy of the program he will follow in providing the training.

The contractor shall provide each trainee with a certification showing the type and length of training satisfactorily completed.

The contractor will provide for the maintenance of records and furnish periodic reports documenting his performance under this Training Special Provision.

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INTERMODAL SURFACE TRANSPORTATION EFFICIENCY ACT & TRANSPORTATION EQUITY ACT

Recipients of Federal-aid highway funds authorized under Titles I (other than Part B) and V of the Intermodal Surface Transportation Efficiency Act of 1991 (ISTEA), or Titles I, III, and V of the Transportation Equity Act for the 21st Century (TEA-21) are required to comply with the regulations of 49 Code of Federal Regulations (CFR) Part 26 - Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs.

DISADVANTAGED BUSINESS ENTERPRISE (DBE) PROGRAM SPECIFICATION

The U.S. Department of Transportation (DOT) requires that the Delaware Department of Transportation continue the established Disadvantaged Business Enterprise (DBE) Program for participation in U.S. DOT programs and that the program follow the final rules as stated in 49 CFR Part 26 and the Department's approved DBE Program plan.

The following definitions apply to this subpart:
Disadvantaged Business Enterprise or DBE means a for-profit small business concern (1) that is at least 51 percent owned by one or more individuals who are both socially and economically disadvantaged or, in the case of a corporation, in which 51 percent of the stock is owned by one or more such individuals; and, (2) whose management and daily business operations are controlled by one or more of the socially and economically disadvantaged individuals who own it.

DOT-assisted contract means any contract between a recipient and a contractor (at any tier) funded in whole or in part with DOT financial assistance, including letters of credit or loan guarantees, except a contract solely for the purchase of land.

Good Faith Efforts means efforts to achieve a DBE goal or other requirement of this part which, by their scope, intensity, and appropriateness to the objective, can reasonably be expected to fulfill the program requirement.

Joint Venture means an association of a DBE firm and one or more other firms to carry out a single, for-profit business enterprise, for which the parties combine their property, capital, efforts, skills and knowledge, and in which the DBE is responsible for a distinct, clearly defined portion of the work of the contract and whose share in the capital contribution, control, management, risks, and profits of the joint venture are commensurate with its ownership interest.

Race-conscious measure or program is one that is focused specifically on assisting only DBEs, including women-owned DBEs.

Race-neutral measure or program is one that is, or can be, used to assist all small businesses. For the purposes of this part, race-neutral includes gender neutrality.

Small Business concern means, with respect to firms seeking to participate as DBEs in DOT-assisted contracts, a small business concern as defined pursuant to section 3 of the Small Business Act and Small Business Administration regulations implementing it (13 CFR part 121) that also does not exceed the cap on average annual gross receipts specified in 49 CFR §26.65(b).

Socially and economically disadvantaged individuals means any individual who is a citizen (or lawfully admitted permanent resident) of the United States and who is - (1) any individual who a recipient finds to be a socially and economically disadvantaged individual on a case-by-case basis; (2) any individual in the following groups, members of which are rebuttably presumed to be socially and economically disadvantaged:

(i) Black Americans which includes persons having origins in any of the Black racial groups of Africa;
(ii) Hispanic Americans which includes persons of Mexican, Puerto Rican, Cuban, Dominican, Central or South American, or other Spanish or Portuguese culture or origin, regardless of race;
(iii) Native Americans which includes persons who are American Indians, Eskimos, Aluets, or Native Hawaiians;
(iv) Asian-Pacific Americans which includes persons whose origins are from Japan, China, Taiwan, Korea, Burma (Myanmar), Vietnam, Laos, Cambodia (Kampuchea), Thailand, Malaysia, Indonesia, the Philippines, Brunei, Samoa, Guam, the U.S. Trust Territories of the Pacific Islands (Republic of Palau), the Commonwealth of the Northern Marianas Islands, Macao, Fiji, Tonga, Kirbati, Juvalu, Nauru, Federated States of Micronesia, or Hong Kong;
(v) Subcontinent Asian Americans which includes persons whose origins are from India, Pakistan, Bangladesh, Bhutan, the Maldives Islands, Nepal or Sri Lanka;
(vi) Women;
(vii) Any additional groups whose members are designated as socially and economically disadvantaged by the SBA, at such time as the SBA designation becomes effective.

DelDOT will establish specific goals for each particular DOT-assisted project which will be expressed as a percentage of the total dollar amount of contract bid. The specific contract goals for this contract are:

Disadvantaged Business Enterprise 15 % Percent

DelDOT continues to reserve the right to approve DBE subcontractors and all substitutions of DBE subcontractors prior to award and during the time of the contract.

Bidders are required to submit with their bids the completed DBE Program Assurance portion of the Certification document which will state the bidders intent of meeting the goals established for this contract; or in the instance where a contractor cannot meet the assigned DBE Goals for this contract, he/she shall at the time of bid submit documentation required to verify that he/she has made a Good Faith Effort to meet the DBE Goals. Guidance for submitting a Good Faith Effort is identified in the next section and in the DBE
Program Plan. Further, the apparent low bidder must submit to DelDOT within five (5) calendar days after the bid opening, executed originals of each and every DBE subcontract to satisfy contract goals consistent with the DBE Program Assurance submitted as part of the bid package.

No contract work shall be performed by a DBE subcontractor until the executed DBE subcontract is approved in writing by DelDOT and the Department has issued the required Notice to Proceed. Any DBE subcontract relating to work to be performed pursuant to this contract, which is submitted to DelDOT for approval, must contain all DBE subcontractor information, the requirements contained in this contract, and must be fully executed by the contractor and DBE subcontractor.

Each contract between the prime contractor and each DBE subcontractor shall at the minimum include the following:

1. All pertinent provisions and requirements of the prime contract.
2. Description of the work to be performed by the DBE subcontractor.
3. The dollar value of each item of work to be completed by the DBE subcontractor and the bid price of each item of work to be completed by the DBE subcontractor.

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CRITICAL DBE REQUIREMENTS

A bid may be held to be non-responsive and not considered if the required DBE information is not provided. In addition, the bidder may lose its bidding capability on Department projects and such other sanctions as the Department may impose. It is critical that the bidder understands:

1. In the event that the bidder cannot meet the DBE goal as set forth in this specification, he/she shall at the time of bid submit to the Department that percentage of the DBE Goal that will be met, if any, on the written and notarized assurance made a part of this contract. The contractor shall also at the time of bid submit all documentation that the contractor wishes to have the Department consider in determining that the contractor made a Good Faith Effort to meet contract DBE Goals. The Department will not accept Good Faith Effort documentation other than on the scheduled date and time of the bid opening. However, the Department may ask for clarification of information submitted should the need arise.

2. A bid which does not contain either a completely executed DBE Program Assurance and/or Good Faith Effort documentation, where appropriate, shall be declared non-responsive and shall not be considered by the Department.

3. Failure of the apparent low bidder to present originals of all DBE subcontracts to substantiate the volume of work to be performed by DBE's as indicated in the bid within five (5) calendar days after the bid opening shall create a rebuttable presumption that the bid is not responsive.

4. Bidders are advised that failure to meet DBE Goals during the term of the contract may subject them to Department sanctions as identified in the DBE Program Plan.

5. In the execution of this contract, the successful bidder agrees to comply with the following contract clauses:

Prompt Payment: The prime contractor/consultant receiving payments shall, within 30 days of receipt of any payment, file a statement with the Department on a form to be determined by the Department that all subcontractors furnishing labor or material have been paid the full sum due them at the stage of the contract, except any funds withheld under the terms of the contract as required by Chapter 8, Title 17 of the Delaware Code, annotated and as amended. Any delay or postponement of payment from the above referenced time frame may occur only for good cause following written approval of DelDOT. This clause applies to both DBE and non-DBE subcontractors.

Retainage: The prime contractor agrees to return retainage to each subcontractor within 15 calendar days after the subcontractor's work is satisfactorily completed. Any delay or postponement of payment from the above referenced time frame may occur only for good cause following written approval of DelDOT. This clause covers both DBE and non-DBE subcontractors. As guidance, once a subcontractor has satisfactorily completed the physical work, and has given to the prime contractor a certified statement that all laborers, lower tier contractors, and materialmen who have furnished labor and materials to the subcontractor have been paid all monies due them, the prime contractor shall return retainage to the subcontractor within 15 calendar days.
6. In the execution of this contract, the successful bidder agrees to comply with the following contract assurance and will include this same language in each subcontractor contract:

"The contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such remedy as the recipient deems appropriate." 49 CFR Section 26.13

7. In addition to this specification, bidders must comply with all provisions of the rules and regulations adopted by the U.S. Department of Transportation for DBE participation in U.S. DOT and DelDOT Programs (49 CFR Part 26) and the Delaware Department of Transportation Disadvantaged Business Enterprise Program Plan; each of which is hereby incorporated and made part of this specification. Bidders are also reminded that they must be responsible and responsive bidders in all other aspects aside from the DBE Program in order to be awarded the contract.

8. In accordance with 49 CFR 26.53(f)(1), DelDOT requires that a prime contractor not terminate a DBE subcontractor without prior written consent from the DelDOT Civil Rights Office. This includes, but is not limited to, instances in which a prime contractor seeks to perform work originally designated for a DBE subcontractor with its own forces or those of an affiliate, a non-DBE firm, or with another DBE firm.

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GUIDANCE FOR GOOD FAITH EFFORT

When the DBE Goals established for a contract by DelDOT are not met, the contractor shall demonstrate good faith efforts to meet the DBE contract goals. The contractor shall demonstrate that the efforts made were those that a contractor actively and aggressively seeking to meet the goals established by DelDOT would make, given all relevant circumstances. Evidence of this good faith effort will be submitted with the bid at the time of the bid opening.

The contractor is expected to demonstrate good faith efforts by actively and aggressively seeking out DBE participation in the project to the maximum extent, given all relevant circumstances. Following are the kinds of efforts that may be taken but are not deemed to be exclusive or exhaustive and DelDOT will consider other factors and types of efforts that may be relevant:

1. Efforts made to select portions of the work proposed to be performed by DBEs in order to increase the likelihood of achieving the stated goal. Selection of portions of work are required to at least equal the goal for DBE utilization specified in this contract.

2. Written notification at least ten (10) calendar days prior to the opening of a bid soliciting DBE interest in participating in the contract as a subcontractor or supplier and for specific items of work.

3. Efforts made to obtain and negotiate with DBE firms for specific items of work:
   a. Description of the means by which firms were solicited (i.e. by telephone, e-mail, written notice, advertisement).
   b. The names, addresses, telephone numbers of DBE's contacted, the dates of initial contact; and whether initial solicitations of interest were followed-up by contacting the DBEs to determine with certainty whether the DBEs were interested.
   c. A description of the information provided to DBE firms regarding the plans, specifications and estimated quantities for portions of the work to be performed.
   d. A statement of why additional agreements with DBE's were not reached in order to meet the projected goal.
   e. Listing of each DBE contacted but not contracted and the reasons for not entering a contract.

4. Efforts made to assist DBEs that need assistance in obtaining bonding, insurance, or lines of credit required by the contractor.

5. Reasons why certified DBEs are not available or not interested.

6. Efforts to effectively use the services of available disadvantaged community organizations; disadvantaged contractor's groups; local, state and federal DBE assistance offices; and other organizations that provide assistance in recruitment and placement of DBEs.

The following are examples of actions that may not be used as justification by the contractor for failure to meet DBE contract goals:
1. Failure to contract with a DBE solely because the DBE was unable to provide performance and/or payment bonds.
2. Rejection of a DBE bid or quotation based on price alone.
3. Rejection of a DBE because of its union or non-union status.
4. Failure to contract with a DBE because the contractor normally would perform all or most of the work in the contract.

Administrative reconsideration:

Within five (5) days of being informed by DelDOT that it is not responsive because it has not documented sufficient good faith efforts, a bidder may request administrative reconsideration. Bidder should make this request in writing to the following reconsideration official: Director of Finance, DelDOT, 800 Bay Road, Dover, Delaware 19901, and Email a copy to dot-ask@state.de.us. The reconsideration official will not have played any role in the original determination that the bidder did not document sufficient good faith efforts.

As part of this reconsideration, the bidder will have the opportunity to provide written documentation or argument concerning the issue of whether it met the goal or made adequate good faith efforts to do so. The bidder will have the opportunity to meet in person with the reconsideration official, explaining the basis for finding that the bidder did or did not meet the goal or make adequate good faith efforts to do so. The final decision made by the reconsideration official will be communicated to the bidder in writing. The result of the reconsideration process is not administratively appealable to the U.S. Department of Transportation.

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REQUIRED CONTRACT PROVISIONS - FEDERAL-AID CONSTRUCTION CONTRACTS
(Exclusive of Appalachian Contracts)


I.  General

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under Title 23 (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services).

2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.

3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors.

II. Nondiscrimination

The provisions of this section related to 23 CFR Part 230 are applicable to all Federal-aid construction contracts and to all related construction subcontracts of $10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.
In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR 60, 29 CFR 1625-1627, Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding $10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR 60, and 29 CFR 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), and Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The following provision is adopted from 23 CFR 230, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

1. Equal Employment Opportunity: Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630, 29 CFR 1625-1627, 41 CFR 60 and 49 CFR 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

   a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract.

   b. The contractor will accept as its operating policy the following statement:

      "It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."

2. EEO Officer: The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.

3. Dissemination of Policy: All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

   a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.

   b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

   c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.
d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

4. Recruitment: When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.

a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.

b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.

c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.

5. Personnel Actions: Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

6. Training and Promotion:

a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).
c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

7. Unions: If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:

a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.

b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.

8. Reasonable Accommodation for Applicants / Employees with Disabilities: The contractor must be familiar with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established there under. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.

9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment: The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.

a. The contractor shall notify all potential subcontractors and suppliers and lessors of their EEO obligations under this contract.

b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.

10. Assurance Required by 49 CFR 26.13(b):

a. The requirements of 49 CFR Part 26 and the State DOT’s U.S. DOT-approved DBE program are incorporated by reference.

b. The contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the contracting agency deems appropriate.
11. Records and Reports: The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.

   a. The records kept by the contractor shall document the following:

      (1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;

      (2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and

      (3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women;

   b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on Form FHWA-1391. The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of $10,000 or more.

The contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location, under the contractor's control, where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding $2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size). The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. Contracting agencies may elect to apply these requirements to other projects.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 “Contract provisions and related matters” with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

1. Minimum wages

   a. All laborers and mechanics employed or working upon the site of the work, will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.
Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph 1.d. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph 1.b. of this section) and the Davis-Bacon poster (WH–1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

b. (1) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:

(i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and

(ii) The classification is utilized in the area by the construction industry; and

(iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(3) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. The Wage and Hour Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(4) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs 1.b.(2) or 1.b.(3) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

c. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

d. If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program. Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.
2. Withholding

The contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract, or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the contracting agency may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

3. Payrolls and basic records

a. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

b. (1) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the contracting agency. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g., the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH–347 is available for this purpose from the Wage and Hour Division Web site at http://www.dol.gov/esa/whd/forms/wh347instr.htm or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the contracting agency for transmission to the State DOT, the FHWA or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the contracting agency.

(2) Each payroll submitted shall be accompanied by a “Statement of Compliance,” signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(i) That the payroll for the payroll period contains the information required to be provided under §5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under §5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;
(ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

(3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH–347 shall satisfy the requirement for submission of the “Statement of Compliance” required by paragraph 3.b.(2) of this section.

(4) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.

c. The contractor or subcontractor shall make the records required under paragraph 3.a. of this section available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the FHWA may, after written notice to the contractor, the contracting agency or the State DOT, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

4. Apprentices and trainees

a. Apprentices (programs of the USDOL).

Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice.

The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.
In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

b. Trainees (programs of the USDOL).

Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration.

The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration.

Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.

d. Apprentices and Trainees (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

5. Compliance with Copeland Act requirements. The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.

6. Subcontracts. The contractor or subcontractor shall insert Form FHWA-1273 in any subcontracts and also require the subcontractors to include Form FHWA-1273 in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.

7. Contract termination: debarment. A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

8. Compliance with Davis-Bacon and Related Act requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.
9. Disputes concerning labor standards. Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

10. Certification of eligibility.

a. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).


V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

The following clauses apply to any Federal-aid construction contract in an amount in excess of $100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.

1. Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.

2. Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in paragraph (1.) of this section, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (1.) of this section, in the sum of $10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (1.) of this section.

3. Withholding for unpaid wages and liquidated damages. The FHWA or the contacting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (2.) of this section.

4. Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (1.) through (4.) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (1.) through (4.) of this section.

VI. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price,
excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

a. The term “perform work with its own organization” refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions:

1. the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;
2. the prime contractor remains responsible for the quality of the work of the leased employees;
3. the prime contractor retains all power to accept or exclude individual employees from work on the project; and
4. the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract.

2. The contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

5. The 30% self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements.

VII. SAFETY: ACCIDENT PREVENTION

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.
2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C.3704).

VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

By submission of this bid/proposal or the execution of this contract, or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any person who is or will be utilized in the performance of this contract is not prohibited from receiving an award due to a violation of Section 508 of the Clean Water Act or Section 306 of the Clean Air Act.

2. That the contractor agrees to include or cause to be included the requirements of paragraph (1) of this Section X in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements.
X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost $25,000 or more – as defined in 2 CFR Parts 180 and 1200.

1. Instructions for Certification – First Tier Participants:

   a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.

   b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.

   c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default.

   d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

   e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. “First Tier Covered Transactions” refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). “Lower Tier Covered Transactions” refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). “First Tier Participant” refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). “Lower Tier Participant” refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

   f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

   g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the $25,000 threshold.

   h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (https://www.epls.gov/), which is compiled by the General Services Administration.
i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

* * * * *

2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:

a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;

(2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification; and

(4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

2. Instructions for Certification - Lower Tier Participants:

(Applicable to all subcontracts, purchase orders and other lower tier transactions requiring prior FHWA approval or estimated to cost $25,000 or more - 2 CFR Parts 180 and 1200)

a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. “First Tier Covered Transactions” refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). “Lower Tier Covered Transactions” refers to any covered transaction under a First Tier Covered Transaction.
“First Tier Participant” refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). “Lower Tier Participant” refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the $25,000 threshold.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (https://www.epls.gov/), which is compiled by the General Services Administration.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

* * * * *

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion—Lower Tier Participants:

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

* * * * *

XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed $100,000 (49 CFR 20).

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed $100,000 and that all such recipients shall certify and disclose accordingly.

* * * * *

CARGO PREFERENCE ACT
Requirements in the Federal-aid Highway Program

(a) Agreement Clauses. “Use of United States-flag vessels:
(1) Pursuant to Pub. L. 664 (43 U.S.C. 1241(b)) at least 50 percent of any equipment, materials or commodities procured, contracted for or otherwise obtained with funds granted, guaranteed, loaned, or advanced by the U.S. Government under this agreement, and which may be transported by ocean vessel, shall be transported on privately owned United States-flag commercial vessels, if available.

(2) Within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, ‘on-board’ commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (a)(1) of this section shall be furnished to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590.

(b) Contractor and Subcontractor Clauses. “Use of United States-flag vessels: The contractor agrees—
(1) To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels.

(2) To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, ‘on-board’ commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (b) (1) of this section to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590.

(3) To insert the substance of the provisions of this clause in all subcontracts issued pursuant to this contract.

NOTE:
This requirement applies to material or equipment that is acquired for a specific Federal-aid highway project. It is not applicable to goods or materials that come into inventories independent of an FHWA funded-contract.

* * * * *

BUY AMERICA
Requirements in the Federal-aid Highway Program

By signing and submitting this proposal, the bidder certifies that:
In accordance with 23 U.S.C, 313 and 23 CFR 635.410, all iron and steel materials permanently incorporated into this project will be produced in the United States and that all manufacturing processes involving these materials will occur in the U.S, except that a minimal amount of foreign steel or iron materials may be used, provided the cost of the foreign materials does not exceed 0.1 percent of the total Contract cost or $2,500.00, whichever is greater. If such minimal amount of foreign steel is used, the Contractor shall maintain a record of the costs to ensure that the allowable limit is not exceeded. This documentation shall be presented to the Department upon request.

At the Department's request, I/we will provide manufacturer's/supplier's documentation verifying domestic origin as defined in the Specifications. All Materials accepted on the basis of such Certificate of Compliance may be sampled by the Department and tested at any time. Use of Material on the basis of Certificate of Compliance shall not relieve the Contractor of responsibility for incorporating Material in the Project conforming to the requirements of the Contract. Any Material not conforming to such requirements will be subject to rejection whether in place or not. The Department reserves the right to refuse to permit the use of Material on the basis of Certificate of Compliance.

* * * * *
APPENDICES TO THE TITLE VI ASSURANCE

APPENDIX A

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees as follows:

1. Compliance with Regulations: The contractor (hereinafter includes consultants) will comply with the Acts and the Regulations relative to Non-discrimination in Federally-assisted programs of the U.S. Department of Transportation, (Federal Highway Administration (FHWA), or Federal Transit Authority (FTA), as they may be amended from time to time, which are herein incorporated by reference and made a part of this contract.

2. Non-discrimination: The contractor, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor will not participate directly or indirectly in the discrimination prohibited by the Acts and the Regulations, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR Part 21.

3. Solicitations for Subcontracts, Including Procurements of Materials and Equipment: In all solicitations, either by competitive bidding, or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials, or leases of equipment, each potential subcontractor or supplier will be notified by the contractor of the contractor's obligations under this contract and the Acts and the Regulations relative to Non-discrimination on the grounds of race, color, or national origin.

4. Information and Reports: The contractor will provide all information and reports required by the Acts and the Regulations, and will permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Recipient or the Federal Highway Administration (FHWA), or Federal Transit Authority (FTA) to be pertinent to ascertain compliance with such Acts, Regulations, and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish the information, the contractor will so certify to the Recipient or the Federal Highway Administration (FHWA), or Federal Transit Authority (FTA), as appropriate, and will set forth what efforts it has made to obtain the information.

5. Sanctions for Noncompliance: In the event of a contractor's noncompliance with the Non-discrimination provisions of this contract, the Recipient will impose such contract sanctions as it or the Federal Highway Administration (FHWA), or Federal Transit Authority (FTA) may determine to be appropriate, including, but not limited to:
   - withholding payments to the contractor under the contract until the contractor complies;
   - and/or cancelling, terminating, or suspending a contract, in whole or in part.

6. Incorporation of Provisions: The contractor will include the provisions of paragraphs one through five in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Acts and the Regulations. The contractor will take action with respect to any subcontract or procurement as the Recipient or the Federal Highway Administration (FHWA), or Federal Transit Authority (FTA) may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the contractor becomes involved in, or is threatened with litigation by a subcontractor, or supplier because of such direction, the contractor may request the Recipient to enter into any litigation to protect the interests of the Recipient. In addition, the contractor may request the United States to enter into the litigation to protect the interests of the United States.
APPENDIX E

During the performance of this contract, the contractor or consultant, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees to comply with the following nondiscrimination statutes and authorities; including but not limited to:

Pertinent Non-Discrimination Authorities:

Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21.

The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970,(42 U.S.C. § 460 I), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);

Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 et seq.), (prohibits discrimination on the basis of sex);


The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 et seq.), (prohibits discrimination on the basis of age);

Airport and Airway Improvement Act of 1982,(49 USC §471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex);

The Civil Rights Restoration Act of 1987,(PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964,The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973,by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, sub-recipients and contractors, whether such programs or activities are Federally funded or not);

Titles II and III of the Americans with Disabilities Act, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131 - 12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38;

The Federal Aviation Administration's Non-discrimination statute (49 U.S.C. § 41123) (prohibits discrimination on the basis of race, color, national origin, and sex);

Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures nondiscrimination against minority populations by discouraging programs; policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;

Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);

Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 U.S.C. 1681 et seq).

* * * * *
PREVAILING WAGES

Included in this proposal are the minimum wages to be paid various classes of laborers and mechanics as determined by the Department of Labor of the State of Delaware in accordance with Title 29 Del.C. §6960, relating to wages and the regulations implementing that Section.

REQUIREMENT BY DEPARTMENT OF LABOR FOR SWORN PAYROLL INFORMATION

Title 29 Del.C. §6960 stipulates;

(b) Every contract based upon these specifications shall contain a stipulation that the employer shall pay all mechanics and laborers employed directly upon the site of the work, unconditionally and not less often than once a week and without subsequent deduction or rebate on any account, the full amounts accrued at time of payment, computed at wage rates not less than those stated in the specifications, regardless of any contractual relationship which may be alleged to exist between the employer and such laborers and mechanics. The specifications shall further stipulate that the scale of wages to be paid shall be posted by the employer in a prominent and easily accessible place at the site of the work, and that there may be withheld from the employer so much of accrued payments as may be considered necessary by the Department of Labor to pay to laborers and mechanics employed by the employer the difference between the rates of wages required by the contract to be paid laborers and mechanics on the work and rates of wages received by such laborers and mechanics to be remitted to the Department of Labor for distribution upon resolution of any claims.

(c) Every contract based upon these specifications shall contain a stipulation that sworn payroll information, as required by the Department of Labor, be furnished weekly. The Department of Labor shall keep and maintain the sworn payroll information for a period of 6 months from the last day of the work week covered by the payroll.

Bidders are specifically directed to note the Department of Labor's prevailing wage regulations implementing §6960 relating to the effective date of the wage rates, at Part VI., Section C., which in relevant part states:

"Public agencies (covered by the provisions of 29 Del.C. §6960) are required to use the rates which are in effect on the date of the publication of specifications for a given project. In the event that a contract is not executed within one hundred twenty (120) days from the date the specifications were published, the rates in effect at the time of the execution of the contract shall be the applicable rates for the project."

PREVAILING WAGE REQUIREMENTS

It is DelDOT's understanding that the Davis-Bacon Act is not a preemptive statute in the broad sense, and does not preempt or displace State of Delaware prevailing wage requirements.

When a contract for a project contains both Federal Davis-Bacon and State of Delaware prevailing wage standards because of concurrent Federal and State coverage, the employer's minimum wage obligations are determined by whichever standards are higher.
PREVAILING WAGES FOR **HIGHWAY CONSTRUCTION** EFFECTIVE MARCH 15, 2018

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CERTIFIED: 06/08/18

BY: [Signature]
ADMINISTRATOR, OFFICE OF LABOR LAW ENFORCEMENT


CLASSIFICATIONS OF WORKERS ARE DETERMINED BY THE DEPARTMENT OF LABOR. FOR ASSISTANCE IN CLASSIFYING WORKERS, OR FOR A COPY OF THE REGULATIONS OR CLASSIFICATIONS, PHONE 302-760-8200.

NON-REGISTERED APPRENTICES MUST BE PAID THE MECHANIC'S RATE.

PROJECT: T201100901.01 HSIP SC US 9 and SR 5 Intersection, Sussex County
General Decision Number: DE180021
Superseded General Decision Number: DE20170021
STATE: Delaware
Construction Type: Highway
COUNTY: Sussex County in Delaware

HIGHWAY CONSTRUCTION PROJECTS

Note: Under Executive Order (EO) 13658, an hourly minimum wage of $10.35 for calendar year 2018 applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2015. If this contract is covered by the EO, the contractor must pay all workers in any classification listed on this wage determination at least $10.35 per hour (or the applicable wage rates listed on this wage determination, if it is higher) for all hours spent performing on the contract in calendar year 2017. The EO minimum wage rate will be adjusted annually. Please note that this EO applies to the above-mentioned types of contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but it does not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1 (a) (2) - (60). Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

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</thead>
<tbody>
<tr>
<td>Bricklayer</td>
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<tr>
<td>Carpenter</td>
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<td>Cement Mason/Concrete Finisher</td>
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ELECTRICIAN

<table>
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<tr>
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<tr>
<td>Electrician</td>
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<td>Line Worker</td>
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<table>
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<tbody>
<tr>
<td>Ironworker</td>
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<tr>
<td>Laborer</td>
<td>38.63</td>
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<td>Millwright</td>
<td>13.93</td>
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</table>

Painter 63.14

Power Equipment Operator:

<table>
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</thead>
<tbody>
<tr>
<td>Piledriver</td>
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<tr>
<td>Power Equipment Operators</td>
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<td>Sheet Metal Worker</td>
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<tr>
<td>Truck Driver</td>
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</table>

WELDERS: Receive rate prescribed for craft performing operation to which welding is incidental.

36
Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR 5.5(a) (1) (ii)).

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of “identifiers” that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than “SU” or “UAVG” denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

Survey Rate Identifiers

Classifications listed under an “SU” identifier indicated that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.
WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

* an existing published wage determination
* a survey underlying a wage determination
* a Wage and Hour Division letter setting forth a position on a wage determination matter
* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations
Wage and Hour Division
U.S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator
U.S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board
U. S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION

APPLICABILITY OF DAVIS-BACON LABOR STANDARD PROVISIONS TO FLAGGERS

The U.S. Department of Labor has established that the duties of flaggers working on contracts covered by the Davis-Bacon Act, are manual and physical in nature. Accordingly, all employees performing the work of flaggers on Davis-Bacon covered contracts shall be entitled to receive applicable prevailing wage rates.

* * * * *
ALL AGENCY MEMORANDUM NO. 130
U.S. DEPARTMENT OF LABOR
EMPLOYMENT STANDARDS ADMINISTRATION
WAGE AND HOUR DIVISION
WASHINGTON, DC 20210
HIGHWAY CONSTRUCTION

Highway projects include the construction, alteration, or repair of roads, streets, highways, runways, taxiways, alleys, trails, paths, parking areas, and other similar projects not incidental to building or heavy construction.

EXAMPLES: Alleys, Base Courses, Bituminous treatments, Bridle Paths, Concrete pavement, Curbs, Excavation and embankment (for road construction), Fencing (highway), Grade crossing elimination (overpasses and underpasses), Guard rails on highway, Highway signs, Highway bridges (overpasses, underpasses, grade separation), Medians, Parking lots, Parkways, Resurfacing streets and highways, Roadbeds, Roadways, Runways, Shoulders, Stabilizing courses, Storm sewers incidental to road construction, Street paving, Surface courses, Taxiways, and Trails.

ANY QUESTIONS REGARDING THE APPLICATION OF THE GUIDELINES ABOVE TO A PARTICULAR PROJECT OR ANY DISPUTES REGARDING THE APPLICATION OF THE WAGE SCHEDULES ARE TO BE REFERRED TO THE WAGE AND HOUR DIVISION, U.S. DEPARTMENT OF LABOR FOR RESOLUTION, AND THE INSTRUCTIONS OF THE WAGE AND HOUR DIVISION ARE TO BE OBSERVED IN ALL INSTANCES.

* ALL AGENCY MEMORANDUM NO. 130
  U.S. DEPARTMENT OF LABOR
  EMPLOYMENT STANDARDS ADMINISTRATION
  WAGE AND HOUR DIVISION
  WASHINGTON, DC  20210
SPECIAL PROVISIONS
401502 - ASPHALT CEMENT COST ADJUSTMENT

For Sections 401, 402, and 403, payments to the Contractor shall be adjusted to reflect increases or decreases in the Delaware Posted Asphalt Cement Price when compared to the Project Asphalt Cement Base Price, as defined in these Special Provisions.

The Delaware Posted Asphalt Cement Price will be issued monthly by the Department and will be the industry posted price for Asphalt Cement, F.O.B. Philadelphia, Pennsylvania. The link for the posting is http://www.deldot.gov/information/business/bids/asphalt_cement_english.shtml.

The Project Asphalt Cement Base Price will be the Delaware Posted Asphalt Cement Price in effect on the date of advertisement.

All deviations of the Delaware Posted Asphalt Cement Price from the Project Asphalt Cement Base Price are eligible for cost adjustment. No minimum increases or decreases or corresponding percentages are required to qualify for cost adjustment.

Actual quantity of asphalt cement qualifying for any Asphalt Cement Cost Adjustment will be computed using the weight of eligible asphalt that is shown on the QA/QC pay sheets as a percentage for the delivered material.

If the mix was not inspected and no QA/QC pay sheet was generated, then the asphalt percentage will be obtained from the job mix formula for that mix ID.

The asphalt percentage eligible for cost adjustment shall only be the virgin asphalt cement added to the mix.

There shall be no separate payment per ton cost of asphalt cement. That cost shall be included in the various unit prices bid per ton for those bid items that contain asphalt cement (mentioned above).

The Asphalt cement cost adjustment will be calculated on grade PG 64-22 asphalt regardless of the actual grade of asphalt used. The Project Asphalt Cement Base Price per ton for the project will be the Delaware Posted Asphalt Cement Price in effect on the date of project advertisement.

If the Contractor exceeds the authorized allotted completion time, the price of asphalt cement on the last authorized allotted work day, shall be the prices used for cost adjustment during the time liquidated damages are assessed. However, if the industry posted price for asphalt cement goes down, the asphalt-cement cost shall be adjusted downward accordingly.

NOTE:

Application of Asphalt Cement Cost Adjustment requirements as indicated above shall apply only to those contracts involving items related to bituminous base and pavements, and with bitumen, having a total of 1,000 tons or more of hot-mix bid quantity in case of Sections 401, 402 and 403.

5/05/15
202560 - CONTAMINATED MATERIAL

Description:

Contaminated Material is defined as solids or liquids (including soil) potentially contaminated with a hazardous substance, requiring special handling and/or disposal per state or federal regulation.

This work describes the excavation, removal and treatment/disposal of contaminated materials resulting from project construction including utility and other types of excavation activities in accordance with the locations and notes on the Plans, and as directed by the Engineer or the Department's environmental representative. The Contractor will be notified of the Department's environmental representative at the pre-construction meeting.

Overview of Costs:

Potential contaminated solids may affect contractor's costs as follows;

Additional cost to normal excavation requirements:
- Cost of 8 mil plastic for placement under and over solid contaminated material,
- Maintaining the segregated contaminated solids staging area.

Reduced cost to normal excavation requirements:
- Not required to, or charged for, transport of contaminated material from site.
- Not required to, or charged for, disposal of contaminated soil.

Potential contaminated liquids will affect contractor’s cost as follows;

Additional cost to normal excavation requirements:
- None

Reduced cost to normal excavation requirements:
- None

Construction Methods and Responsibilities:

Contractor's Responsibilities for potential contaminated solids:

The Contractor shall be responsible for providing the appropriate equipment and personnel necessary to excavate, stage, and load contaminated material for off-site disposal, as identified from previous site environmental investigations or identified during construction activities. The work will be performed in accordance with the procedures described in the site specific "Contaminated Material and Water Removal Work Plan" prepared by the Department's environmental representative. The Department will provide a copy of this plan after the project is awarded and before any work begins. The Contractor shall adhere to applicable Occupational Safety and Health standards, Guidelines and/or Laws. This will include compliance with 29 CFR Part 1910.

After award of the Contract, the Contractor shall immediately be responsible for notifying the Department's HAZMAT Program Manager's office (760-2463) for scheduling coordination with the environmental representative. The contractor shall submit a proposed schedule of work to the Department for review and approval prior to any commencement of work on this site. The Contractor is required to perform to a high standard of workmanship to assure protection of workers, local water supplies, and the environment. The Contractor shall coordinate with the utility companies prior to excavation. The Department's environmental representative shall be present during all phases of work associated with the excavation and removal of potentially contaminated material. Payment will not be made for any work done when a Department approved Inspector or environmental representative is not present to provide environmental oversight.

Specific tasks to be performed by the Contractor will include excavating soil per the project specifications. The Contractor will segregate "contaminated" soil as designated by the Department or their environmental representative, from "clean" soil and place the "contaminated" soil in a designated on-site staging area constructed by the Contractor. At a minimum the staging area needs to be lined with 8-mil plastic and a berm constructed to minimize storm water run-off. The "contaminated" soil will need to be covered by the Contractor at the end of each work day. The Contractor will be responsible for loading contaminated soil
onto trucks arranged by the Department's environmental representative on the days the contaminated soil is shipped off-site to a licensed disposal/treatment facility. The Contractor will backfill and compact the excavated area(s) according to the project specifications and payment will be made under that item of the Contract.

**Department's Responsibilities:**

The Department is responsible for providing and paying; the environmental representative; the transportation of contaminated material for disposal; and the disposal of contaminated material.

The "Contaminated Material and Water Removal Work Plan" will identify; the procedures to be used to excavate and stage the contaminated material; the licensed treatment/disposal facility where the Department will ship the contaminated material; the method the material will be transported to the treatment/disposal facility; and any additional health and safety requirements for site personnel.

The Department's environmental representative will conduct a health and safety briefing prior to commencement of activities on the sites to insure an understanding of all applicable standards, guidelines, laws, procedures, etc. consistent with the successful completion of this type of activity. The Department's environmental representative will conduct air monitoring during any excavation activities at the site to identify and mitigate fire, explosion and vapor hazards.

The Department's environmental representative shall coordinate the excavation activities with all applicable local, state, and federal environmental regulatory agencies. The Department's environmental representative will also oversee the excavation, removal and treatment/disposal of the material in the designated area(s) and perform such tests as field screening for soil contamination utilizing vapor monitoring techniques and collect soil samples for laboratory analysis to meet the requirements of the treatment/disposal facility, DNREC and/or the USEPA. The Department's environmental representative's personnel will subcontract with the disposal/treatment facility to provide transportation and disposal/treatment of all contaminated materials to be removed as part of the project. The Department's environmental representative is responsible for measuring the quantity of contaminated material removed, via certified scale weights, for the Department's records.

**Method of Measurement:**

The quantity of contaminated material will not be measured. It will be included in the excavation quantity.

**Basis of Payment:**

No additional payment will be made for the handling of contaminated material included in the excavation quantities. Contractor's costs for handling contaminated material as described herein are to be included in the standard excavation pay items included in this contract, and will constitute full compensation for excavation, constructing and maintaining the segregated soil staging area, placement of the contaminated soil in the staging area, providing plastic and daily covering of the segregated soil staging area, and loading of contaminated soil for removal by the Department.

This item is a contingency item and the Department reserves the right to delete from the Contract. The Contractor shall make no claims for additional compensation because of deletion of the item.

08/14/2017
Description:

The Contractor's attention is directed to the presence of an aged cemetery wall located on Parcel 2-R and church building located on Parcel 14-R within close proximity to the proposed sidewalk and/or to the construction site as shown on the Plans.

The Contractor will be responsible for repairing any damage that the cemetery wall and church building incur due to his construction activities, including but not limited to the removal of the existing sidewalk and pavement. The Contractor shall repair with similar materials to which the original structures were constructed.

The Contractor shall have the complete exterior and interior of all church building and cemetery wall within the project limits which might be susceptible to incur damage due to construction, videotaped prior to commencing any construction activities. The Contractor shall furnish all equipment, including cameras, tapes, lighting equipment, etc., and labor required in securing permanent visual records of the buildings in their pre-construction condition; and shall employ an individual regarded as a professional in his area of work to conduct the video-taping operations.

The Contractor shall submit to the Engineer for approval, his proposed equipment and professional background of the individual to be employed to perform the work. All existing church building and cemetery wall imperfections such as wall and ceiling cracks, damaged or inoperable windows, inoperable or damaged electrical and plumbing facilities (including leaking pipes), missing or damaged exterior brick or tile, and stucco cracks shall be well documented. Two copies of the completed video-taped records and any other type of documentation made will be submitted to the Department and the Harbeson United Methodist Church for permanent possession.

Also, the Contractor shall establish reference points (targets) at locations along the cemetery wall to measure any settlement or rotation of the existing structure during construction of the project. Reference points are to be placed at fifty foot intervals along the cemetery wall. Contractor will be responsible to record existing condition coordinates and elevations a minimum of ten days prior to the anticipated Notice to Proceed and submit to the Engineer. Contractor is responsible for recording measurements at these target locations and submit to the Engineer on a monthly basis throughout the duration of the contract.

The Contractor will notify the owners of the church building and cemetery at least one (1) week prior to scheduling the video taping of the church building and cemetery wall; and shall cooperate with the owners while performing this work.

Within ten days of the last chargeable day of construction, the church building and cemetery wall will be inspected for damage by the Contractor, the Engineer, and a church/cemetery representative. All damage found to have occurred after commencement of construction and as a result of construction activities will be repaired by the Contractor at his own expense.

Basis of Payment:

This work includes furnishing all labor, materials, equipment, making necessary repairs, monitoring reference points, incidentals, and will be considered incidental to the cost of the project and no additional compensation will be made for this work.

6/7/2018
Description:

It is the intent of this Special Provision to qualify the use of milled hot-mix asphalt pavement material in lieu of graded aggregate as a base course. All requirements of Section 301 shall remain in effect except as modified below:

Materials:

The material used to construct milled hot-mix asphalt pavement base courses shall be uniformly graded with a maximum size of 1 1/2".

Subgrade Preparation:

The subgrade shall be properly constructed in accordance with Subsection 202.03.10 I. No base course material shall be placed until the subgrade has been approved by the Engineer.

Placement:

a. Equipment. The milled material shall be spread uniformly by an approved spreading machine or box in such a manner that no segregation occurs. A conventional motor grader will not be approved for placement of milled material on mainline roadway sections.

Where it is not possible to use a spreading machine or box in patching or other tight areas, other approved methods can be used only in such manner that no segregation occurs. Compaction shall be uniformly attained by approved rollers or compactors. No milled materials shall be placed until approved equipment is on the Project site and is operational.

b. Spreading and Compacting. Milled material shall be placed in successive layers. Each layer shall be placed in a level, uniform cross-section not to exceed 12" in depth, loose measurement, unless otherwise approved by the Engineer. The milled material shall be deposited and spread parallel to the centerline and the layer shall extend to the full width as shown on the Plans. The milled material shall be handled so that no segregation of fine or coarse particles occurs. No more than 1,000' of material, as measured along the roadway centerline, shall be spread in advance of compaction operations. Each layer shall be properly compacted as specified, before starting the next layer.

Compaction or rolling shall be performed parallel to the roadway centerline starting at the edges and progressing toward the center. It shall continue until each layer is thoroughly and uniformly compacted to the full width as shown on the Plans.

The milled material shall be compacted by the following method: a sheepsfoot roller (minimal 50 ton static roller) shall make the required number of passes on the base material to achieve the target density followed by a back-drag by either a bulldozer or a motor grader. After the pavement base material has been placed, a 15 ton/1800 vpm (minimum) vibratory steel wheel roller shall compact the base material. Compaction will be measured per subsection Performance below. In small areas where the above noted equipment cannot be used, the contractor must request approval from the Department to place the millings with other equipment. The Department reserves the right to reject or approve the areas for placement of millings as determined by the Engineer.

After compaction, all voids in the surface of each layer will be filled with millings and compacted (with the vibratory steel wheel roller) until the layer of base material is well bonded and firm, as determined by the Engineer.

In no case shall vehicles be allowed to travel in a single track or to form ruts in the base course. If any sharp irregularities are formed in the subgrade or base course material, the affected area shall be scarified to a depth of 6" and compacted to conform to the requirements of Section 202 or this Section.

c. Performance. Compaction of milled hot-mix asphalt pavement base courses will be monitored by measuring the in-place density using a nuclear density gauge and comparing it to a control strip target.
density. The mean base compaction shall be at least 98% of the control strip target density and sufficiently uniform that individual test results are at least 96% of the control strip target density, the base course represented by the test will be considered defective and the Contractor shall further compact the area. After further compaction, the original test site and one other randomly selected site within the area will be tested. The average of two test results will be included in the mean density for that day's placement.

To determine the control strip target density, a control strip with a minimum length of 300' shall be constructed at the beginning of work on each pavement base. Each control strip is to remain in place and become a section of the completed roadway. A control strip shall have an area of at least 400 yd². For small areas, the Contractor may request to have a test strip waived. This request shall be submitted to the Engineer for review.

Upon completion of the rolling, the mean density of the control strip will be determined by averaging the results of ten nuclear density tests taken at randomly selected sites within the control strip. The mean density of the control strip shall be the target density for the remainder of the pavement base course which it represents. Compaction shall be expressed as a percentage of the target density.

The finished surface of the graded aggregate base course shall not vary from that required on the Plans by more than 1/2" when tested with a 10' straightedge applied to the surface parallel to the centerline of the pavement and when tested with a template cut to the cross-section of the pavement. The actual thickness of the graded aggregate base course shall not be more than 1/2" less than the thickness shown on Plans. Those portions of completed base course not meeting these performance requirements shall be completely removed and replaced with proper material placed in accordance with this Section.

A straightedge meeting the approval of the Engineer shall be supplied by the Contractor at each placement operation. The straightedge shall be constructed of rigid materials that resist warping and bending.

Method of Measurement:

The quantity of milled hot-mix base course will be measured by the cubic yard and will be paid for under Item 301001 - Graded Aggregate Base Course. The volume of cubic yards will be measured as the number of square yards of surface area of milled hot-mix base course, placed and accepted, multiplied by the depths shown on the Plans. If the depth of milled hot-mix base course, placed and accepted, is greater than the depth shown on the Plans, the Plan depth will be used to measure the quantity of payment.

If the limits of measurement for pay quantities for milled hot-mix base course are designated on the Plans, the quantity of milled hot-mix base course measured for payment will be the number of square yards of surface area multiplied by the depth placed within the payment lines and grades shown on the Plans. If the limits are not designated on the Plans, or have been changed by the Engineer, in-place dimensions of the accepted milled hot-mix base course will be established. The computation of quantity will be made from cross-sections taken after the completion of work under this Section.

Materials placed beyond the designated lines and grades as shown on the Plans or beyond the limits established by the Engineer will not be measured for payment.

There will be no separate payment made for filling voids with millings as required under Placement subsection (b) Spreading and Compaction.

Basis of Payment:

Millings used for Base Course will be paid at the unit bid price for Item 301001 - Graded Aggregate Base Course, Type B. All costs to bring the millings into compliance with the requirements of 301500 are incidental to Item 301001. No payment will be made under this item 301500.

Price and payment will constitute full compensation for hauling, stockpiling (includes any double handling of material), preparing the subgrade, placing and compacting the materials, and for all labor, equipment, tools and incidental required to complete the work.
No additional compensation will be made to the Contractor to crush, screen or otherwise modify the milled hot-mix base course to meet the necessary gradation.

No payment will be made for materials placed beyond the designated lines and grades as shown on the Plans or beyond the limits established by the Engineer.
621500 - TEMPORARY TIMBER MAT

Description:

The item shall consist of furnishing all materials and constructing a temporary timber mat for access across the wetland area as shown on the Plans and as directed by the Engineer. All equipment shall utilize this temporary timber mat when trying to access the stockpile/staging area and the underside of the bridge.

Materials:

In accordance with Section 621 of the Standard Specifications and the following:

Timber shall have a strength and grade adequate to support the Contractor's anticipated vehicular or equipment loads. Any preservative treatment applied to the matting shall be environmentally safe for wet conditions and be preapproved by the Department.

Hardware shall be in accordance with Section 1041.05 of the Standard Specifications.

Construction Methods:

The Contractor shall submit to the Department for approval shop drawings and design calculations indicating the layout, size of members, arrangement of members and the construction methods at least two weeks prior to initiating construction. This information shall be signed and sealed by a Professional Engineer registered in the State of Delaware. A timber mat system is shown on the plans and shall be used for conceptual purposes only. The actual timber mat system utilized for the construction shall be designed for the anticipated construction loads and shall be compatible with the environment. Placement of stone within the wetland area is not permitted.

The temporary timber matting should be periodically inspected by the Contractor and any damaged or deteriorated components should be replaced. The Contractor assumes full responsibility for the load carrying capability of the system and for its anchorage, as required, to resist high water flows. No additional compensation will be granted for repairing any portion of the system damaged during naturally occurring weather events or contractor usage. The Contractor is responsible for retrieving lost mats and repairing any damage caused by naturally occurring weather events.

Basis of Payment:

The payment for the item shall be made for at the contract unit price bid per Lump Sum for "621500 - Temporary Timber Mat", which price and payment shall constitute full compensation for furnishing and placing all materials, for design, submission of signed and sealed drawings and computations, installation and removal of timber mat materials, and for all labor, equipment, tools and incidentals required to complete the work.

6/30/17
701507 - Curb Retaining Wall, Type 1

**Description:**

This work consists of furnishing all materials and constructing Curb Retaining Wall in accordance with locations, notes, and details on the Plans; the Standard Specifications; and as directed by the Engineer.

**Materials and Construction Methods:**

Materials and construction methods for Curb Retaining Wall must conform to the requirements of Section 610, 611, 612 and 701 of the Standard Specifications, and the notes, plan sheet schedules, and the Standard Construction Details.

**Method of Measurement:**

The Engineer will measure Curb Retaining Wall as the number of linear feet measured along the linear face of acceptably installed and completed Curb Retaining Wall as specified. Any Curb Retaining Wall showing cracks shall be replaced in sections that have a minimum length of 10 feet, at no cost to the Department.

**Basis of Payment:**

Price and payment will constitute full compensation for excavation within the template of the Item(s), supplying and placing GABC, compaction, forms and forming, supplying, placing, finishing and curing Portland cement concrete, Class A, epoxy-coated reinforcing steel, expansion, sealing and saw cutting, backfill and backfilling, removal of surplus Materials, removal and replacement of cracked and/or damaged Curb Retaining Wall and all labor, equipment, tools and incidentals required to complete the Work. Excavation and embankment outside the template of the Item(s) shall be paid under Section 202. Rock removal shall be paid under Section 202. Undercut excavation shall be paid under Section 202. For removal and replacement of curb; P.C.C. removal is paid under Section 211, saw cutting is paid under Section 762 and Bituminous Pavement Patching is paid under Section 402 in addition to the curb Item.

Price and payment for precast will constitute full compensation for excavation within the template of the Item(s), supplying and placing GABC, compaction, backfill and backfilling, for furnishing all Materials, including reinforcing bar, related to the precast units; designing, fabricating and installing the units on site; and for all labors, tools, Equipment and incidentals required to complete the Work. Accessories and associated elements will be incidental to the respective Item.
707500 - CHANNEL BED FILL

**Description:**

Furnish and place Channel Bed Fill to the limits specified in the construction plan set.

**Materials:**

Provide aggregate material meeting the following requirements:

Provide natural, rounded, unwashed and uncrushed aggregate material meeting the gradation of Table 1 when tested in accordance with AASHTO T-11 and T-27.

a. Aggregate material meeting this requirement may be located within the excavation area of the project. The Contractor may salvage this material at his/her discretion by separating and stockpiling the material meeting the requirements of Table 1 and Notes 1&2.
b. Angular quarried aggregate is unacceptable.
c. The cost of salvaging and stockpiling existing material and removing excess stockpiled material is incidental to 707500 - Channel Bed Fill.

**Table 1**

<table>
<thead>
<tr>
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</thead>
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<td>100</td>
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<td></td>
</tr>
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**Notes:**

¹ Salvaged materials may contain material exceeding this size and be acceptable.
² Salvaged materials may contain up to 20% passing the 3/8-inch sieve but not to exceed 10% passing the #200 sieve when tested in accordance with T-11.
³ Unless noted otherwise on plan sheets, Light gradation shall be used in locations in Sussex County
⁴ Unless noted otherwise on plan sheets, Medium gradation shall be used in locations in Kent and New Castle Counties.

**Method of Measurement:**

Quantity of Channel Bed Fill will be measured by cubic yards of material acceptably placed.

**Basis of Payment:**

The quantity of Channel Bed Fill will be paid for at the Contract unit price per cubic yard. Price and Payment will constitute full compensation for all labor, equipment, and other incidentals required to salvage, stockpile, maintain, furnish, haul, place, and remove and dispose of all material necessary to complete the work.

Excavation of existing streambed material will be paid under its respective item.

11/9/16
710500 - INSTALLATION OF WATER MAIN AND ACCESSORIES

Description:

The items shall consist of installing the Artesian Water Company, Inc. ("AWC") casing pipe and water main in accordance with the locations, details and notes on the Plans, and as directed by the Engineer. The work shall be performed in accordance with these Special Provisions, Delaware Standard Specifications, and the requirements of the Standards and Specifications of AWC. In case of conflict between these Special Provisions, Delaware Standard Specifications, and the Standards and Specifications of AWC, the Standards and Specifications and all other requirements of AWC shall prevail. Prior to this item, the existing Bridge 3-646 on US 9 shall be removed as specified in Provision 211000 - Removal of Structures and Obstructions.

Materials:

Waterline materials such as casing pipe, water main, fittings, and other accessories as listed in the details and under this Special Provisions shall be supplied by AWC and pre-assembled by AWC prior to the state contract.

The contractor shall be responsible for providing all material and equipment that is necessary to move and place the pre-assembled waterline in accordance with the locations, details and notes on the Plans, and as directed by the Engineer.

Water Main Installation Description of Work:

INSTALLATION OF NEW FACILITIES

1. Prior to the state contract, an AWC Contractor shall construct, assembly and test a 54' section of 16" ductile iron water main situated in a 40' section of 24" steel casing pipe in accordance with the details and notes on plan sheet AW-1.

2. Contractor shall install waterline assembly at a depth 18" below the invert of the proposed culvert and in accordance with the locations, details and notes on the Plans, and as directed by the Engineer during the replacement of Bridge 3-646 on US 9.

Installation of Water Main General Notes:

1. Depth and location of water main in project area is based on design data. As-Built information will be provided to the contractor prior to the start of construction.

2. No valve or other control on the existing system shall be operated for any purpose by Contractor. The proper AWC personnel will operate all existing system devices.

3. Hydrant connections by Contractor are prohibited. This method may not be utilized during any phase of the project.

4. Contractor shall contact the AWC Inspector at (302) 218-0489 at least 1 week prior to the start of construction. A representative from AWC must observe and approve all water utility work in accordance with these Special Provisions, Delaware Standard Specifications, and the requirements of the Standards and Specifications of AWC.

5. Contractor shall field verify all utilities prior to the start of construction.
6. All excavations for installation of water main and accessories shall be kept free from water.

7. A minimum ten feet (10') horizontal and eighteen inch (18") vertical separation, as measured from the outside of each pipe, shall be provided for all water mains from sanitary sewer (gravity and force mains) and storm sewers. A minimum eighteen inch (18") vertical separation shall be provided between the water main and any crossing utility.

8. Contractor shall provide accurate as-built information to the AWC Inspector and Engineer prior to substantial completion of the work indicating both horizontal and vertical coordinates of all fittings, valves and water main (on 50'stationing), located by GPS using Delaware State Plane Coordinate System NAD83/91 horizontal and NAVD88 vertical datum.

Method of Measurement:

The quantity for this item will not be measured. The item Lump Sum price shall include the cost of furnishing all labor, equipment, instruments, concrete, all-thread and other material necessary to satisfactorily complete the work as herein described under this item that are a part of this contract.

Basis of Payment:

Payment will be made at the item Lump Sum price.

5/2/2018
Description:

This work consists of adjusting and repairing existing sanitary manholes in accordance with notes and details on the Plans and as directed by the Engineer.

Materials and Construction Methods:

Materials and construction methods shall conform to the applicable requirements of Section 711 of the Standard Specifications, and the Standard Specifications of the owner of the sewer system. If there is a conflict between the Department's Specifications and the Specifications of the owner, the latter will prevail.

Method of Measurement and Basis of Payment:

The method of measurement and basis of payment for the item shall be made in accordance with Subsections 711.04 and 711.05 of the Standard Specifications.

1/4/17
1) **Description:**

This work consists of construction lay out including; stakes, lines and grades as specified below. Subsection 105.10 Construction Stakes, Lines and Grades of the Standard Specifications is voided.

Based on contract plans and information provided by the Engineer, the Contractor shall stake out right-of-way and easements lines, limits of construction and wetlands, slopes, profile grades, drainage system, centerline or offset lines, benchmarks, structure working points and any additional points to complete the project.

The Engineer will only establish the following:

- (a) Original and final cross-sections for borrow pits.
- (b) Final cross-sections: Top and bottom pay limit elevations for all excavation bid items that are not field measured by Construction inspection personnel. The Contractor shall notify the Engineer when these pay limit elevations are ready and allow for a minimum of two calendar days for the Engineer to obtain the information.
- (c) Line and grade for extra work added on to the project plans.

2) **Equipment.** The Contractor shall use adequate equipment/instruments in a good working order. He/she shall provide written certification that the equipment/instrument has been calibrated and is within manufacturer's tolerance. The certification shall be dated a maximum of 9 months before the start of construction. The Contractor shall renew the certification a minimum of every 9 months. The equipment/instrument shall have a minimum measuring accuracy of [3mm+2ppmxD] and an angle accuracy of up to 2.0 arc seconds or 0.6 milligons. If the Contractor chooses to use GPS technology in construction stakeout, the Contractor shall provide the Engineer with a GPS rover and Automatic Level for the duration of the contract. The GPS rover shall be in good working condition and of similar make and model used by the Contractor. The Contractor shall provide up to 8 hours of formal training on the Contractor's GPS system to a maximum of four Engineer's appointees (DELDOT Construction Inspectors). At the end of the contract, the Engineer will return the GPS rover to the Contractor. If any of the equipment/instruments are found to be out of adjustment or inadequate to perform its function, such instrument or equipment shall be immediately replaced by the Contractor to the satisfaction of the Engineer. Choosing to use GPS technology does not give the contractor authority to use machine control. Construction Engineering (GPS) Machine Control Grading shall only be used if noted in the General Notes in the plan set outlining the available files that will be provided to the Contractor and "the Release for delivery of documents in electronic form to a contractor" are signed by all parties prior to delivery of any electronic files. Only files designated in the General Notes shall be provided to the contractor. If machine control grading is allowed on the project see the "machine control" section of this specification. GPS technology and machine control technology shall not be used in the construction of bridges.

3) **Engineering/Survey Staff.** The Contractor shall provide and have available for the project an adequate engineering staff that is competent and experienced to set lines and grades needed to construct the project. The engineering personnel required to perform the work outlined herein shall have experience and ability compatible with the magnitude and scope of the project. Additionally, the Contractor shall employ an engineer or surveyor licensed in the State of Delaware to be responsible for the quality and accuracy of the work done by the engineering staff. When individuals or firms other than the Contractor perform any professional services under this item, that work shall not be subject to the subcontracting requirements of Subsection 108.01 of the Standard Specifications. The Contractor shall assume full responsibility for any errors and/or omissions in the work of the engineering staff described herein. If construction errors are caused due to erroneous work done under Construction Engineering the Contractor accepts full responsibility, no
matter when the error is discovered. Consideration will not be given for any extension of contract time or additional compensation due to delays, corrective work, or additional work that may result from faulty and erroneous construction stakeout, surveying, and engineering required by this specification.

**Construction Methods:**

4) **Performance Requirements:**

(a) Construction Engineering shall include establishing the survey points and survey centerlines; finding, referencing, offsetting the project control points; running a horizontal and vertical circuit to verify the precision of given control points. Establishing plan coordinates and elevation marks for culverts, slopes, subbase, subsurface drains, paving, subgrade, retaining walls, and any other stakes required for control lines and grades; and setting vertical control elevations, such as footings, caps, bridge seats and deck scree. The Contractor shall be responsible for the preservation of the Department's project control points and benchmarks. The Contractor shall establish and preserve any temporary control points (traverse points or benchmarks) needed for construction. Any project control points (traverse points) or benchmarks conflicting with construction of the project shall be relocated by the Contractor. The Contractor as directed by the Engineer must replace any or all stakes that are destroyed at any time during the life of the contract. The Contractor shall re-establish centerline points and stationing prior to final cross-sections by the Engineer. The Vertical Control error of closure shall not exceed 0.035 ft times \([\text{Square root of number of miles in the level run}]\) (0.01 m times \([\text{square root of number of kilometers}]\)). The Horizontal Control precision ratio shall have a minimum precision of 1:20,000 feet (1 meter per 20,000 meters or 1:20,000) of distance traversed prior to adjustment.

(b) The Contractor shall perform construction centerline layout of all roadways, ramps and connections, etc. from project control points set by the Engineer. The Contractor using the profiles and typical sections provided in the plans shall calculate proposed grades at the edge of pavement or verify information shown on Grades and Geometric sheets.

(c) The Contractor shall advise the Engineer of any horizontal or vertical alignment revisions needed to establish smooth transitions to existing facilities. The Contractor must immediately bring to the attention of the Engineer any potential drainage problem within the project limits. The Engineer must approve any proposed variation in profile, width or cross slope.

(d) The Contractor shall establish the working points, centerlines of bearings on bridge abutments and on piers, mark the location of anchor bolts to be installed, check the elevation of bearing surfaces before and after they are ground and set anchor bolts at their exact elevation and alignment as per Contract Plans. Before completion of the fabrication of beams for bridge superstructures, the Contractor shall verify by accurate field measurements the locations both vertically and horizontally of all bearings and shall assume full responsibility for fabricated beams fitting and bearing as constructed. After beam erection and concurrently with the Department project surveyors or their designated representative, the Contractor shall survey top of beam elevations at a maximum of 10-ft (3.0-meter) stations and compute scree grades. These shall be submitted to the Engineer for review and approval before the stay in place forms are set. Construction stakes and other reference control marks shall be set at sufficiently frequent intervals to assure that all components of the structure are constructed in accordance with the lines and grades shown on the plans. The Contractor will be responsible for all structure alignment control, grade control and all necessary calculations to establish and set these controls.

(e) The Contractor, using contract plans, shall investigate proposed construction for possible conflicts with existing and proposed utilities. The Contractor shall then report such conflicts to the Engineer for resolution. All stakes for utility relocations, which will be performed by others, after the Notice
to Proceed has been given to the Contractor, shall be paid for under item 763597 - Utility Construction Engineering.

(f) The Contractor shall be responsible for the staking of all sidewalk and curb ramp grades in accordance with the plans and the Departments Standard Construction Details. The Contractor shall review the stakeout with the Engineer prior to construction. The Engineer must approve any deviation from plans, Department Standard Construction Details and Specifications in writing. The Contractor shall be responsible for any corrective actions resulting from problems created by adjustments if they fail to obtain such approval.

(g) If wetland areas are involved and specifically defined on the Plans the following shall apply:

i. It is the intent of these provisions to alert the Contractor, that he/she shall not damage or destroy wetland areas, which exist beyond the construction limits. These provisions will be strictly enforced and the Contractor shall advise his/her personnel and those of any Subcontractor of the importance of these provisions.

ii. All clearing operations and delineation of wetlands areas shall be performed in accordance with these Special Provisions. Before any clearing operation commences the Contractor shall demarcate wetlands at the Limits of Construction throughout the entire project as shown on the Plans labeled as Limits of Construction or Wetland Delineation to the satisfaction of the Engineer.

iii. The material to be used for flagging the limits of construction shall be orange vinyl material with the wording "Wetland Boundary" printed thereon. In wooded areas, the flagging shall be tied on the trees, at approximate 20-foot (6.1 meter) intervals through wetland areas. In open field and yard areas that have been identified as wetlands, 3 foot (one meter) wooden grade stakes shall be driven into the ground at approximate 20 foot (6.1 meter) intervals and tied with the flagging.

iv. If the flagging has been destroyed and the Engineer determines that its use is still required, the Contractor shall reflag the area at no cost to the Department. If the Contractor, after notification by the Engineer that replacement flagging is needed, does not replace the destroyed flagging within 48 hours, the Engineer may proceed to have the area reflagged. The cost of the reflagging by the Engineer will be charged to the Contractor and deducted from any monies due under the Contract.

v. At the completion of construction, the Contractor shall remove all stakes and flagging.

vi. The Contractor shall be responsible for any damages to wetlands located beyond the construction limits, which occurs from his/her operations during the life of the Contract. The Contractor shall restore all temporarily disturbed wetland areas to their preconstruction conditions. This includes restoring bank elevations, streambed and wetland surface contours and wetlands vegetation disturbed or destroyed. The expense for this restoration shall be borne solely by the Contractor.

(h) Whenever the Engineer will be recording data for establishment of pay limits, the Contractor will be invited to obtain the data jointly with the Engineer’s Survey Crew(s) in order to agree with the information. If the Contractor’s representative is not able to obtain the same data, then the information obtained by the Engineer shall be considered the information to be used in computing the quantities in question.

5) Submittals. All computations necessary to establish the exact position of all work from the control points shall be made and preserved by the Contractor. All computations, survey notes, electronic files, and other records necessary to accomplish the work shall be made available to the Department in a neat and organized manner at any time as directed by the Engineer. The Engineer may check all or any portion of the stakeout survey work or notes made by the Contractor and any necessary correction to the work shall be made as soon as possible. The Contractor shall furnish the Engineer with such assistance as may be required for checking
all lines, grades, and measurements established by the Contractor and necessary for the execution of the work. Such checking by the Engineer shall not relieve the Contractor of his/her responsibility for the accuracy or completeness of the work. Copies of all notes must be furnished to the engineer at the completion of the project.

The Contractor shall submit any of the following at the Engineer's request:

(a) Proposed method of recording information in field books to ensure clarity and adequacy.
(b) A printout of horizontal control verification, as well as coordinates, differences and error of closure for all reestablished or temporary Control Points.
(c) A printout of vertical control verification, with benchmark location elevation and differences from plan elevation.
(d) Sketch of location of newly referenced horizontal control, with text printout of coordinates, method of reference and field notes associated with referencing control - traverse closure report.
(e) Description of newly established benchmarks with location, elevation and closed loop survey field notes - bench closure report
(f) All updated electronic and manuscript survey records.
(g) Stakeout plan for each structure and culvert.
(h) Computations for buildups over beams, screed grades and overhang form elevations.
(i) A report showing differences between supplied baseline coordinates and field obtained coordinates, including a list of preliminary input data.
(j) Any proposed plan alteration to rectify a construction stakeout error, including design calculations, narrative and sealed drawings.
(k) Baseline for each borrow pit location.
(l) Detailed sketch of proposed overhead ground mounted signs or signals showing obstructions that may interfere with their installation.
(m) Copies of cut sheets.

Machine Control Grading

This Section of the specification shall only be used if machine control is authorized for use on the project.

Description:

This specification contains the requirements for grading operations utilizing Global Positioning Systems (GPS).

Use of this procedure and equipment is intended for grading the subgrade surface; it is not intended for the use in constructing final surface grades. The Contractor may use any manufacturer's GPS machine control equipment and system that results in achieving the grading requirements outlined in section 202 of the standard specifications. The Contractor shall convert the electronic data provided by the Department into the format required by their system. The Department will only provide the information outlined in this document and no additional electronic data will be provided.

The Contractor shall perform at least one 500 foot test section with the selected GPS system to demonstrate that the Contractor has the capabilities, knowledge, equipment, and experience to properly operate the system and meet acceptable tolerances. The engineer will evaluate and make the determination as to whether additional 500 foot test sections are required. If the Contractor fails to demonstrate this ability to the satisfaction of the Department, the Contractor shall construct the project using conventional surveying and staking methods.
Materials:

All equipment required to perform GPS machine control grading, including equipment needed by DelDOT to verify the work, shall be provided by the Contractor and shall be able to generate end results that are in accordance with the requirements of Division 200 - EARTHWORK of the Standard Specifications.

Construction:

a. DelDOT Responsibilities:

1. The Department will set initial vertical and horizontal control points in the field for the project as indicated in the contract documents, (plans set). If the Contractor needs to establish new control points they shall be traversed from existing control points and verified to be accurate by conventional surveying techniques.

2. The Department will provide the project specific localized coordinate system.

3. The Department will provide data in an electronic format to the Contractor as indicated in the General Notes.

   a. The information provided shall not be considered a representation of actual conditions to be encountered during construction. Furnishing this information does not relieve the Contractor from the responsibility of making an investigation of conditions to be encountered including, but not limited to site visits, and basing the bid on information obtained from these investigations, and the professional interpretations and judgments of the Contractor. The Contractor shall assume the risk of error if the information is used for any purpose for which the information is not intended.

   b. Any assumption the Contractor makes from this electronic information shall be at their risk. If the Contractor chooses to develop their own digital terrain model the Contractor shall be fully responsible for all cost, liability, accuracy and delays.

   c. The Department will develop and provide electronic data to the Contractor for their use as part of the contract documents in a format as indicated in the General Notes. The Contractor shall independently ensure that the electronic data will function in their machine control grading system.

4. The Files that are provided were originally created with the computer software applications MicroStation (CADD software) and INROADS (civil engineering software). The data files will be provided in the native formats and other software formats described below. The contractor shall perform necessary conversion of the files for their selected grade control equipment. The Department will furnish the Contractor with the following electronic files:

   a. CAD files
      i. Inroads -Existing digital terrain model (.DTM)
      ii. Inroads -Proposed digital terrain model (.DTM)
      iii. Microstation -Proposed surface elements - triangles

   b. Alignment Data Files:
      i. ASCII Format
5. The Engineer shall perform spot checks of the Contractor's machine control grading results, surveying calculations, records, field procedures, and actual staking. If the Engineer determines that the work is not being performed in a manner that will assure accurate results, the Engineer may order the Contractor to redo such work to the requirements of the contract documents, and in addition, may require the Contractor to use conventional surveying and staking, both at no additional cost to the Department.

B. Contractor's Responsibilities

1. The Contractor shall provide the Engineer with a GPS rover and Automatic Level, for use during the duration of the contract. At the end of the contract, the GPS rover and Automatic Level will be returned to the Contractor. The Contractor shall provide a total of 8 hours of formal training on the Contractor's GPS machine control system to the Engineer and up to three additional Department appointees per rover.

2. The Contractor shall review and apply the data provided by the Department to perform GPS machine control grading.

3. The Contractor shall bear all costs, including but not limited to the cost of actual reconstruction of work, that may be incurred due to application of GPS machine control grading techniques. Grade elevation errors and associated corrections including quantity adjustments resulting from the contractor's use of GPS machine control shall be at no cost to the Department.

4. The Contractor shall convert the electronic data provided by the Department into a format compatible with their system.

5. The Contractor's manipulation of the electronic data provided by the Department shall be performed at their own risk.

6. The Contractor shall check and if necessary, recalibrate their GPS machine control system at the beginning of each workday in accordance with the manufacturer's recommendations, or more frequently as needed to meet the requirements of the project.

7. The Contractor shall meet the accuracy requirements as detailed in the Standard Specifications.

8. The Contractor shall establish secondary control points at appropriate intervals and at locations along the length of the project. These points shall be outside the project limits and/or where work is performed. These points shall be at intervals not to exceed 1000 feet. The horizontal position of these points shall be determined by conventional survey traverse and adjustments from the original baseline control points. The conventional traverse shall meet or exceed the Department's Standards. The elevation of these control points shall be established using differential leveling from the project benchmarks, forming a closed loop. A copy of all new control point information including closure report shall be provided and approved by the Engineer prior to construction activities. The Contractor shall be responsible for all errors resulting from their efforts and shall correct deficiencies to the satisfaction of the Engineer and at no additional cost to the Department.

9. The Contractor shall provide stakes at all alignment control points, at every 500 foot stationing, and where required for coordination activities involving environmental agencies and utility companies at the Contractor's expense. Work that is done solely for utility companies and that is beyond the work performed under item 763501 - Construction shall follow and be paid for under item 763597 -Utility Construction Engineering.
10. The Contractor shall at a minimum set hubs at the top of finished grade at all hinge points on the cross section at 500 foot intervals on the main line and at least 4 cross sections on side roads and ramps as directed by the engineer or as shown on the plans. Placement of a minimum of 4 control points outside the limits of disturbance for the excavation of borrow pits, Stormwater Management Ponds, wetland mitigation sites etc. These control points shall be established using conventional survey methods for use by the Engineer to check the accuracy of the construction.

11. The Contractor shall preserve all reference points and monuments that are identified and established by the Engineer for the project. If the Contractor fails to preserve these items the Contractor shall reestablish them at no additional cost to the Department.

12. The Contractor shall provide control points and conventional grades stakes at critical points such as, but not limited to, PC's, PT's, superelevation points, and other critical points required for the construction of drainage and roadway structures.

13. No less than 2 weeks before the scheduled preconstruction meeting, the Contractor shall submit to the Engineer for review a written machine control grading work plan which shall include the equipment type, control software manufacturer and version, and proposed location of the local GPS base station used for broadcasting differential correction data to rover units.

14. The Contractor shall follow the guidelines set forth in the "Geometric Geodetic Accuracy Standards and Specifications for Using GPS Relative Positioning Techniques" and follow a minimum of Second Order Class 1, (2-I) classification standards.

Automated equipment operations have a high reliance on accurate control networks from which to take measurements, establish positions, and verify locations and features. Therefore, a strong contract control network in the field which is the same or is strongly integrated with the project control used during the design of the contract is essential to the successful use of this technology with the proposed Digital Terrain Model (DTM). Consistent and well designed site calibration for all machine control operations (as described below under Contract Control Plan) are required to ensure the quality of the contract deliverables. The Contract Control Plan is intended to document which horizontal and vertical control will be held for these operations. Continued incorporation of the Base Station(s) as identified in the Contract Control Plan is essential to maintaining the integrity of positional locations and elevations of features. The Contract Control Plan shall be submitted to the Department for review and approval by the Departments Survey Section 3 weeks prior to the start of any machine control work. The Contractor shall operate and maintain all elements of the Machine Grade Control continuously once the operations begin until otherwise approved by the Engineer.

**Contract Control Plan:**

The Contractor shall develop and submit a Contract Control Plan for all contracts which use Machine Control Grading. Contract control includes all primary and secondary horizontal and vertical control which will be used for the construction contract. Upon the Contractor's completion of the initial survey reconnaissance and control verification, but prior to beginning primary field operations, the Contractor shall submit a Contract Control Plan document (signed and sealed by the Delaware licensed Land Surveyor or Delaware Professional Engineer who oversees its preparation) for acceptance by the Engineer, which shall include the following:

1. A control network diagram of all existing horizontal and vertical control recovered in the field as contract control.

2. Include a summary of the calculated closures of the existing control network, and which control has been determined to have been disturbed or out of tolerance from its original positioning.
3. An explanation of which horizontal and vertical control points will be held for construction purposes. If necessary include all adjustments which may have been made to achieve required closures.

4. An explanation of what horizontal and vertical control (including base stations) was set to accomplish the required stakeout or automated machine operation. Include how the position of these new control points was determined.

5. Describe the proposed method and technique (technology and quality control) for utilizing the control to establish the existing and/or proposed feature location and to verify the completed feature location and/or measured quantity.

6. A listing of the horizontal and vertical datums to be used and the combined factor to be used to account for ellipsoidal reduction factor and grid scale factor.

7. If the Contractor chooses to use machine control as a method of measuring and controlling excavation, fill, material placement or grading operations as a method of measuring and controlling excavation, fill, material placement or grading operations, the Contractor Control Plan shall include the method by which the automated machine guidance system will initially be site calibrated to both the horizontal and vertical contract control, and shall describe the method and frequency of the calibration to ensure consistent positional results.

8. Issues with equipment including inconsistent satellite reception of signals to operate the GPS machine control system will not result in adjustment to the "Basis of Payment" for any construction items or be justification for granting contract time extension.

**Method of Measurement:**

The quantity of Construction Engineering will not be measured.

**Basis of Payment:**

Payment will be made at the Lump Sum price bid for the item "Construction Engineering". The price bid shall include the cost of furnishing all labor, equipment, instruments, stakes and other material necessary to satisfactorily complete the work as herein described under this item for all roads and structures that are a part of the contract. Adjustment in payment will be made for the deletion or addition of work not shown in the contract documents.

Monthly payment will be made under this item in proportion to the amount of work done as determined by the Engineer.

10/5/16
Description:

The Contractor shall plan, schedule and construct the Project by using a Critical Path Method Project Schedule (CPM) meeting the requirements of these specifications. Use the CPM for coordinating and monitoring the Work specified in the Contract Documents including all activities of Subcontractors, vendors, suppliers, utilities, railroads, the Department, and all other parties associated with the construction of the Contract. Include all Work in the CPM; including but not limited to submittals, major procurement, delivery, and construction activities. Include all activities, including bid items, quantified in the Contract Documents. Base the CPM upon the entirety of the Contract Documents. Utilize CPM software that generates files compatible with Primavera P6 Project Management Release: 7.0.0.

Scheduling Representative:

Designate a scheduling representative prior to submission of the Original Critical Path Method Project Schedule (OCPM). The scheduling representative is the person primarily responsible for development and maintenance of the CPM schedule; the Contractor’s representative in all matters regarding the schedule; and the Contractor’s designated attendee for all schedule related meetings. The scheduling representative shall also be knowledgeable of the status of all parts of the Work throughout the duration of the Project. Replacement of the scheduling representative will require written approval from the Engineer.

Submit the qualifications of the scheduling representative to the Engineer for approval. This approval is required before the OCPM will be accepted. The scheduling representative shall have at least three years of verifiable experience for preparing and maintaining CPM project schedules on Contracts of similar size and complexity.

Critical Path, Project Completion Date, and Float:

The critical path is defined as the series of activities in a CPM that has the longest path in time. The submitted activity sequence and durations must generate a CPM with only one critical path. Divide Project wide activities such as Maintenance of Traffic, Construction Engineering, or Temporary Erosion Control that, by their nature, generate long durations and complement other activities into “establish” and “conclude” activities to prevent this type of Work from occupying a significant portion of the critical path.

The project start date, or initial data date, of the original CPM shall be the first chargeable day of Work. Nonproductive Work and administrative activities may begin and/or end prior to the project start date. The Original CPM must use all of the Contract Time and contain a critical path containing exactly zero float. Early completion schedules are not permitted. The schedule ending date of the Original CPM that uses all of the Project Time is the contract completion date.

Total Float is the difference between the schedule’s finish date and the contract completion date. Free float is the difference in time between an activity’s early finish and late finish. Free float is a shared commodity for the use of the Department and the Contractor and is not for the exclusive use or benefit of either party. Both parties have the full use of free float until depleted.
Submittal of the OCPM; the Start of Work and the Schedule of Record:

Complete and submit the proposed original CPM schedule (OCPM) database and the written narrative (WN) within 30 calendar days after Contract is Awarded. The WN is a description of any elements of the Schedule that deviate from the proposed construction sequence shown in the Contract Documents. Submit the OCPM in CPM format fully compatible with Primavera P6 Project Management Release: 7.0.0 by email or CD ROM as a single compressed database in CPM format.

The Engineer will complete the review of the OCPM within 30 calendar days after submittal. If required, a Joint Review Conference will be convened at which time the Engineer and Contractor may make corrections and adjustments to the proposed OCPM. If a revision is necessary due to the Engineer’s review or the Joint Review Conference, submit the proposed revision within seven calendar days after receiving the Engineer’s review comments or within seven calendar days after the date of the Joint Review Conference, whichever is the latest. Make revisions in accordance with the requirements for the OCPM. The Engineer will respond to the revised OCPM within seven calendar days after receipt. Clearly identify each submittal and resubmittal for clarity by labeling “2nd Draft”, “3rd Draft”, etc.

Do not start any Work until the OCPM is accepted. If the Engineer is ready to issue a Notice to Proceed but the OCPM is not yet accepted, the Engineer may issue the NTP and start Contract Time, but forbid Work to begin until the OCPM is accepted. The Engineer may partially accept a OCPM and allow Work to begin if the required corrections to the OCPM are minor, but the Engineer will not accept submittals that do not show the complete schedule. The Engineer will not pay any estimates until the OCPM is partially accepted. Once the OCPM is partially accepted, the Engineer will pay the first estimate. If the Contractor fails to make a good faith effort to address the Engineer’s comments before the second estimate is due for payment, the Engineer will not pay the second estimate until a good faith effort is made by the Contractor to comply. The Engineer may not withhold an estimate payment if, within the estimate period in question, the Engineer has failed to provide timely review comments in response to the Contractor’s submittal. The Engineer may, however, withhold the payment of subsequent estimates if the Contractor fails to make a good faith effort to address the Engineer’s comments. Upon issuance of the Notice to Proceed, the start date utilized in the OCPM will be adjusted to comply with the first chargeable day of Work. Any delay in starting Work caused by the acceptance of the OCPM by the Engineer will not be considered as a basis for any adjustment in the Contract amount or time. For Contracts that have fast-tracked starts, the Engineer and the Contractor may agree to alter the response times and approval dates listed above. Upon notification that the OCPM has been accepted, the corrected copy will become the CPM of record. The CPM of record shall be the Contractor’s work plan for completing the entire Contract as specified in the Contract Documents.

Requirements for the OCPM:

The format of the OCPM database shall be the precedence diagram method with days as the planning unit and shall be based on Calendar Days. Use the Department’s partially predetermined coding structure (CS) that is furnished by the Engineer.

Activity Sequencing. Activity sequence must be logical and representative of the Contractor’s order of the Work. Successors and predecessors determine the schedule logic or activity sequence. A given activity cannot start until all of the given activity’s predecessors have been completed. Use only finish to start dependency relationships (links); do not use lag times without approval from the Engineer. The Engineer may request that the Contractor resequence the activities to reflect realistic job logic. When scheduling using multiple resources, each resource unit shall have a corresponding activity. Durations of activities include all the time necessary to complete the activity including, but not limited to, Contractor’s non-work periods (other than those shown on the calendars), reasonably foreseeable inclement weather, weekends and holidays. Base schedule calculations on retained logic, contiguous durations, and total float as finish float.
Activity Resources. Sequence activities to reflect resource apportionment. Logically connect and code each activity to reflect the crew (resource) performing the operation. Submit a summary list of crews, their crew codes, and their operation(s) with each schedule submission, unless unchanged. Identify responsibility for each activity. Identify Subcontractors, DBE’s, utilities and Work performed by others that affects the Schedule.

Breakdown and Durations of Activities. An individual activity is required for each construction element or each activity not under the control of the Contractor that affects the sequence or progress of the Work. The Engineer reserves the right to require additional breakdown of the Work activities at any time. Each activity must be identified by a name, symbol and coding, and shall have a duration, sequence, responsibility and resource(s). Choose activity names that are descriptive and identify single construction elements. Activity symbols, or ID’s, shall be unique and systematic.

Activity types must be either “task”, “start milestone”, or “finish milestone”. Do not use “hammock” type activities. Date constraints, float and duration constraints, and/or flags for activities are not permitted.

Assign a reasonable duration to each activity representative of its scope. Durations may not exceed 14 calendar days unless approved by the Engineer. Determine the duration of each activity by using productivity rates based on Calendar Days.

Include the preparation and approval of Working Drawings as activities. Include phasing (staging) milestones as activities. Correlate phasing milestones with the sequence of construction provided in the Contract Documents. Use a separate start and finish milestone activity to delineate each phase (stage).

Utility Work. Include all Work performed by utilities on the Project as activities in the OCPM. Include each utility item of Work shown in the Contract’s Utility Statement as an activity. Durations for utility activities shall be the same as the durations shown in the Utility statement for each activity unless otherwise approved by the Engineer.

Calendars. Assign a calendar to each activity in the schedule. Use a minimum of 6 calendars, when applicable: (1) Full Schedule; (2) Permit Requirements; (3) Winter Condition; (4) Concrete Work; (5) Asphalt Paving Work; and (6) Nighttime Asphalt Paving Work. Use additional calendars if needed. Calendar non-work periods shall reflect the average Delaware weather history for the jobsite and the restrictions identified in the Contract Documents. The Contractor may choose perform Work during an activity’s calendar non-work period at no additional cost to the Department if weather conditions are favorable for such Work and the Work does not violate a set forth in the Contract Documents. The maximum allowable non-work period for each calendar is set forth below. The Contractor may choose to shorten non-work periods at his/her discretion.

<table>
<thead>
<tr>
<th>CALENDAR</th>
<th>MAXIMUM NON-WORK PERIOD</th>
</tr>
</thead>
<tbody>
<tr>
<td>Full Schedule</td>
<td>None</td>
</tr>
<tr>
<td>Winter Condition</td>
<td>December 1 through March 15</td>
</tr>
<tr>
<td>Concrete Work</td>
<td>December 1 through March 15</td>
</tr>
<tr>
<td>Asphalt Paving</td>
<td>November 15 through March 15</td>
</tr>
<tr>
<td>Nighttime Asphalt Paving</td>
<td>October 15 through April 30</td>
</tr>
</tbody>
</table>

Written Narrative (WN). Provide a written narrative (WN) as part of the OCPM explaining the following:

(a) Relationships between activities not obviously identified
(b) Equipment usage and limitations.
(c) Manpower usage and limitations.
(d) Use of additional shifts and overtime.
(e) Activity codes, abbreviations, and activity identification system.
(f) All calendars utilized in the CPM and the basis of determining each non-work period
(g) All abbreviations.
(h) Use of calendars.
(i) Any other conditions that affect the schedule and are not readily discernible in the database.

**CPM Updates:**

Provide monthly updates to the CPM of record. Meet with the Engineer once a month prior to submitting the update to review the status of the schedule’s activities. Prepare an updated list of activities showing all of the actual start and actual finish for each of the schedule’s activities so that both parties can agree on the dates. Use the dates that were agreed upon in the meeting to status the CPM of record and submit the updated schedule to the Engineer for approval. Assign a unique file name to each update (Number/version). The data date of the update shall be the next day after the end of the update period. As part of the monthly update, submit a written description that identifies any delays or disruptions to the schedule experienced during the period of an update, any change in manpower or equipment, and any potential delays to the completion date of the schedule.

Do not include any revisions to the CPM without prior approval. Failure to submit complete updates in a timely manner may result in the withholding of estimates by the Engineer. The Engineer agrees to refrain from withholding estimates unless the Contractor is habitually late in providing updates, is more than four weeks late in submitting an update or has failed to submit an update that is part of a resolution to a serious problem that must be addressed immediately.

**Revisions to the Schedule of Record:**

Revisions are defined as any changes to the database other than status updates, log entries and moving the data date. Discuss any proposed revisions to the CPM verbally with the Engineer. If the revision is minor in nature, the Engineer may allow the revision to be included on the next Update of the CPM. If the Engineer determines that the revision is not minor in nature, submit the proposed revision for review and approval prior to deviating from the approved CPM. When a revision to the CPM is required due to changes in the Contract initiated by the Engineer, immediately contact the Engineer to discuss the changes. The Engineer may allow a deviation from the approved CPM for specific mitigating activities.

The Engineer may direct the Contractor to revise the schedule of record at the Contractor’s expense if: the critical path has less than minus ten (-10) Calendar Days of total float due to the Contractor’s failure to perform the Work in accordance with the schedule; the Contractor requests to re-sequence the Work; and/or the Contractor has performed a significant amount of Work out of sequence. The Engineer may direct the Contractor to revise the schedule for any other reason; and such a revision will be paid at the unit cost for a CPM Revision.

The Engineer will review and respond to the proposed revision within 7 Calendar Days after receipt. Resubmit, if required, within seven calendar days after receipt of the Engineer’s review comments. The Engineer reserves the right to reject any proposed revision that adversely impacts the Department, utilities, or other concerned parties.

**Extensions of Contract Time and/or Incentive/Disincentive Dates.**

Make requests for extension of Contract time in writing and subject to the notice and timeliness of submission provisions as provided for elsewhere in the Contract. Requests for an extension of Contract time or change in an incentive/disincentive date will be evaluated by the Engineer’s analysis of the CPM of record and any proposed revision submitted. Include in the request a written narrative of the events that impacted
the schedule and a detailed explanation of why the Contractor cannot meet the requirements of the schedule of record. Only delays to activities that affect the Contract completion date or will be considered for an extension of Contract time. Only delays to activities that affect the completion duration of an incentive/disincentive period will be considered for an extension of an incentive/disincentive completion date. The extension of the specified Contract completion date or incentive/disincentive date will be based upon the number of Calendar Days the Contract completion date or incentive/disincentive date is impacted as determined by the Engineer’s analysis. The Engineer and Contractor may agree to defer the analysis of a potential impact to the schedule until the completion of the activities that are affected. Such a deferment does not relieve the Contractor of his/her duty to identify potential impacts to the schedule in the applicable schedule updates.

All requests for extensions of Contract Time must be supported by the most recent CPM Update. If, within a reasonable period of time, the Contractor fails to make a good faith effort to produce an acceptable CPM update and uses an unacceptable CPM update to support a request for a time extension, the Contractor loses the right to receive that time extension; and/or the right to receive compensation for that delay caused in whole or in part by the Engineer.

**Final As Built Schedule.**

Submit a final CPM Schedule database within 14 Calendar Days of Substantial Completion. Failure to submit a final CPM Schedule may result in the withholding of estimates by the Engineer.

**Method of Measurement:**

The Project Control System will be measured in two items. The item, “Project Control System Development Plan” will be lump sum. The item “CPM Schedule Updates and/or Revised Updates” will be measured one each per update that is submitted and accepted.

**Basis of Payment:**

The item, “763508 – Project Control System Development Plan” will be paid at the Contract’s lump sum bid price on the next monthly estimate after completion of the requirements of the Project Control System Development Plan, which includes the approval of the Original CPM Schedule. Price and payment will constitute full compensation for preparing the CPM database, acquiring the necessary software, attending all scheduling meetings with the Department, submitting and resubmitting all documents and for all labor, tools, equipment and incidentals necessary to complete the Work.

The item, “763509 – CPM Schedule Updates and/or Revised Updates” will be paid at the Contract unit price per each approved CPM schedule update as described above. Price and payment will constitute full compensation for preparing, submitting and resubmitting all CPM updates, for attendance at all scheduling meetings with the Department, for preparing and reviewing a list of actual start and actual finish dates with the Engineer, and for all labor, tools, Equipment and incidentals necessary to complete the Work.
763594 - BENCH INSTALLATION

Description:

This work consists of installing benches (provided by the DTC) in accordance with notes and details on the Plans, the manufacturer's specifications, these specifications and as directed by the Engineer.

Materials:

The benches, including anchoring systems, will be provided by the Delaware Transit Corporation. The Contractor shall make arrangements for delivery to the site.

Construction Methods:

The benches shall be anchored to concrete pad/sidewalk in accordance with the manufacturer's recommendations at locations designed on the Plans or as directed by the Engineer.

Method of Measurement:

The quantity of benches installed will be measured as the actual number of each installed and accepted.

Basis of Payment:

The quantity of benches installed will be paid for at the Contract unit price for each. Price and payment will constitute full compensation for hauling, and placing all materials, and for all labor, equipment, tools and incidentals required to complete the work.

3/19/14
763598 - FIELD OFFICE, SPECIAL I

Description:

The field office work shall consist of furnishing, erecting, equipping, maintaining, and removing a singlewide modular office and adjacent parking area. The Contractor shall submit a specific location layout drawing and construction details for the proposed field office and its parking area for approval by the Engineer. The field office and parking area shall be for the exclusive use of Department Officials, Engineers, Designers, North Region Construction (NRC) Personnel, Consultants, and Inspectors.

The field office structure shall be free of asbestos and/or other hazardous materials. The field office and its parking area shall be constructed and installed in accordance with all applicable city, county, state, and federal codes. The Contractor shall be responsible for obtaining all required licenses and permits for installation and placement of the field office and its parking area. The costs of obtaining such licenses and permits to be incidental to the "Field Office, Special" Item. The field office shall be available for use by the Department continuously throughout the duration of the project.

Construction and Equipment:

The field office shall be new and have a minimum floor space of 600 square feet with minimum exterior dimensions of 50'-0" length by 12'-0" width. The floor to ceiling height shall be nominal 8'-0". The exterior walls, ceiling, and floor shall be insulated. The field office shall be of weather-proof construction, tightly floored and roofed, constructed with an air space above the ceiling for ventilation, supported above the ground, safely secured to its support if the support is an inground anchored foundation or otherwise by tie-downs to the ground, and fully skirted with rigid watertight covering overlapping the bottom of the exterior siding to the existing ground.

The Contractor shall provide entries to the field office by constructing a stair and deck platform with canopy at each exterior door. These entries shall be fabricated using treated dimension lumber, be constructed with hand and safety railing, be designed to last the life of the Contract, and conform to the requirements of the Architectural Accessibility Board and other federal, state and local boards, bodies and/or courts having jurisdiction in the Contract limits.

The Contractor shall construct and maintain an all weather parking area adjacent to the office of at least 2500 square feet and having a minimum of 10 functional parking spaces striped for full size cars. All weather pathways from the parking area to the entrances of the field office shall also be constructed and maintained. Snow and/or ice shall be removed from the parking area and from the entrance pathways to the field office within 12 hours after each occurrence. Costs for furnishing, placing, and maintaining the aggregate base and hot mix, and for snow and/or ice removal, to be incidental to the Field Office, Special" Item.

The ground area 30'-0" from around the perimeter of the field office to the field office shall be landscaped and maintained. If the earthen grounds do not have a stand of weed free grass, the surface of this area shall be loosened to a depth of 4" and a satisfactory seedbed shall be prepared free of debris and extraneous matter. The area shall be seeded to a healthy stand of grass or sodded, after which the area shall be watered, mowed, and trimmed a minimum of three times a month during the growing seasons. Cost for this landscaping and maintenance to be incidental to the "Field Office, Type I Special" Item.

The field office shall have full carpeting, kitchenette facilities, and interior and exterior paneling, lighting, and plumbing fixtures. The field office shall have a minimum of two (2) exterior doors, each door having a passage and a deadbolt lock. These door locks shall be keyed and at least 2 complete sets of keys shall be supplied to the Engineer's representatives. The exterior doors shall be insulated or have storm doors.
The field office shall have a minimum of six (6) windows, each window having a minimum glass area of 1150 square inches and a horizontal mini-blind covering the full glass area. The windows shall be insulated or have storm windows. All windows shall be equipped with a locking device. All doors and windows shall have screens installed and repaired when damaged.

At least two (2) outside water service connections shall be provided at the field office. Each water connection shall have a 3/4" frost proof hose bib with vacuum breaker and shall include 100 linear feet of 5/8" minimum diameter reinforced, industrial or commercial grade, soft rubber hose per connection.

The field office shall be provided with sufficient natural and artificial light and shall be adequately heated and cooled to provide comfortable working conditions.

The field office shall have satisfactory lighting, electrical outlets, heating equipment, exhaust fan, and air-conditioning connected to an operational power source. Plan and drawing areas shall have individual fluorescent lights situated over their worktables. Replacement fluorescent lights shall be furnished as required. Electrical current, water, and any fuel for heating equipment shall be furnished and the cost of such shall be borne by the Contractor. Maintenance of the heating, exhaust fan, and air-conditioning equipment shall be provided for by validated service contracts for the length of the Contract. These service contracts shall allow a Department authorized project person to deal directly with the service organization to request repair.

The Contractor shall furnish and maintain two fire extinguishers and provide one lighted "Exit" sign for each exterior passage door. Fire extinguisher(s) may be chemical or dry power and shall be UL Classification 10-B:C(min.) and shall be suitable for Types A:B:C fires. A commercial or industrial type first aid and safety kit suitable for project conditions and hazards (including snakebite) shall be provided and maintained to full capacity on a monthly basis.

The Contractor shall provide an alarm system for field office security with electronic, direct connection to a security service provider. The security system shall have interior motion, window, and entrance detectors and built in manual fire alarm. All windows of the field office shall be covered with steel bar grids as a deterrent to forced entry. The Contractor shall provide validated monitoring and service contracts for the length of the Contract. These contracts shall allow a Department authorized project person to deal directly with the security service provider to request service and/or repair.

The Contractor shall furnish and maintain an adequate supply of cold potable water, a minimum 23 cubic foot new refrigerator, and a minimum 900-watt new microwave oven. Maintenance of the potable water supply equipment, refrigerator, and microwave shall be provided for by validated service contracts for the length of the Contract. These service contracts shall allow a Department authorized project person to deal directly with the service organization to request repair.

Suitable indoor toilet facilities, conforming to the requirements of the State and Local Boards of Health or of other bodies or courts having jurisdiction in the area, shall be provided. When separate facilities for men and women are not available or required, a sign with the wording "Rest Room" (letter heights 1" minimum) shall be placed over the doorway and an adequate positive locking system shall be provided on the inside of the doorway to insure privacy. The facility(s) shall be maintained by the Contractor to be clean and in good working condition and shall be stocked by the Contractor with adequate lavatory and sanitary supplies at all times during the period of the Contract.

The Contractor shall be responsible for performing or for making arrangements for all necessary telephone connections and/or for their maintenance; for providing a new telephone equipment system, for payment of all connections and the new telephone system equipment and its installation; and for final disconnection of the telephones.
The field office telephone system shall have a total of 5 lines consisting of 2 direct single lines with call forward busy feature, 2 dedicated computer use line with broadband connection for cable, and 1 dedicated facsimile line and have 5 key sets consisting of 1 master key set having privacy feature, and 4 four-button key sets having privacy feature (1 set which may be for wall mounting), all for the official and exclusive use of the Engineer and other representatives of the Department. Arrangement shall be made to allow a Department authorized project person to deal directly with the telephone company to report outages and/or request repair. Monthly billings for the field office telephone system shall be received and paid by the Contractor. A copy of each bill shall be forwarded to the Project Resident for reimbursement on the subsequent contract pay estimate. The reimbursement will be for the amount of the bill only and shall not include any additional mark-up or profit.

For all other utilities, the Contractor shall be responsible for performing or for making arrangements for all necessary utility connections and/or for their maintenance; for payment of all utility connections, installations, service fees and bills; and for final disconnection of utilities.

The field office interior shall be furnished by the Contractor. The Contractor shall provide new and maintain the following office furnishings, all which are to be approved by the Engineer prior to installation in the field office. Placement of these furnishings shall be as directed by the Engineer. 6 full size office desks each with filing drawer and fully adjustable ergonomic design swivel chair with armrests and five leg base having wheel casters, 1 computer station with acoustical panels having minimum 60 NRC rating for privacy screen and fully adjustable ergonomic design swivel chair with armrests and five leg base having wheel casters, 1 large conference table for a minimum of 12 people with surrounding chairs with armrests, 2 folding tables minimum 6'-0'' by 3'-0'' each with ergonomic design straight back chair with armrests, 1 work table, 1 supply cabinet, 2 rough plan racks, 2 legal size filing cabinets with 4 drawers, 2 legal size fire-resistant filing cabinets with lock and key with 4 drawers and meeting fire underwriters' approval for not less than one hour test, 2 book shelves minimum 3'-6'' by 4'-6'', 3 vertical surface legal size three compartment pockets, 2 dry erase boards minimum 4' by 3' each with markers and erasers, and 2 cork bulletin boards minimum height 3' by 2'. These office furnishings will remain the property of the Contractor at the conclusion of the project.

The Contractor shall also furnish new and maintain the following office equipment, all which are to be approved by the Engineer prior to installation in the field office. The required equipment will enable the Department to synchronize project record keeping and office functions. The equipment shall be delivered in working and useable condition:

4 heavy-duty calculators having extra large 12-digit fluorescent display, full size keyboard with contoured keys, two-color ribbon printer, and AC powered;

1 compact plain paper copying machine and cabinet with stationary platen, bypass feeding, and dual loading cassette system with cassettes for letter, legal, and ledger size paper. Copy machine to have zoom and preset reduction and enlargement features, automatic two (2) sided copying, automatic document feeder with minimum 30 sheet capacity, and 20 bin collator with automatic stapling capacity;

1 desktop model, compact facsimile machine with automatic paper cutter, 10-sheet feeder, halftones with 16 levels of gray, 50-number auto dialing, answering machine hook-up, large LCD readout, date and time stamp, and advanced telephone features;

1 DVD camcorder with on-screen programming, full-range auto focus, high-speed shutter, high-resolution, bookmark search, time-lapse recording, rechargeable batteries and charger, tripod, and protective carrying case;
1 integrated color monitor and DVD/VHS cassette recorder having minimum 20" screen, automatic on/play/rewind/stop, remote, full range speaker, and digital auto tracking;

1 telephone answering machine having all-digital recording, 14 minute message capacity, selectable message time, voice prompt assistance, day/time stamp, call screening, two-digit LED message indicator, toll saver, power failure memory back-up, and message interrupt from any station; and

2 digital cameras with minimum 1/2.7" 4.0 mega pixel, 3X optical / 6X precision digital zoom, 12-bit DXP A/D conversion, 2.5" 123K pixel LCD display, 5-mode program AE and each with dual media slots, SXGA/XGA/VGA image resolution, E-mail mode. Also intelligent flash with red-eye protection, MPEG movie mode, clip motion, light metering, TEXT mode (GIF), playback zoom and resize, white balance, lithium battery system and in-camera picture effects, memory stick/card (minimum 256MB) capability, and storage case.

Consumables as required to manage the business of the project shall be provided for all office equipment for the length of the Contract. These consumables shall be furnished on request and shall include but not be limited to paper, tapes, ribbons, rolls, toner, cleaning kits, microcassette tapes and batteries, answering machine cassettes, camera batteries and memory sticks and/or discs, DVD and CD R/RW media, etc.

Maintenance of all office equipment shall be provided for by a validated service contract for the length of the Contract. This service contract shall allow a Department authorized project person to deal directly with the service organization to request repair.

Included in the unit price bid per month for the Field Office on this project will be two (2) IBM compatible Microcomputer Systems both which will be furnished and maintained by the Contractor for use by the Engineer. The specified computer systems will synchronize the construction management functions of the Department to monitor, report, and perform the accounting of the project work. The computer systems and all their related equipment specified below shall be furnished new and remain the property of the Contractor at the conclusion of the Contract. A detailed listing of the proposed computer systems and all their related equipment to be provided by the Contractor shall be submitted for approval by the Engineer prior to furnishing the Microcomputer Systems. The Microcomputer Systems shall be Laptop Computer Systems each with docking station. Each of the two (2) Microcomputer Systems shall consist of:

Central Processing Unit (CPU) - Lap Top

Pentium M processor, 740 (1.7 GHz) or better with integrated USB 2.0 and IEEE 1394 ports (firewire) and wireless networking included,

Minimum 1.0 GB RAM with expansion capability to at least 3.0 GB and clock/calendar card equivalent, and

Microsoft "Windows® XP Professional" operating system or newer;

Memory (Storage)

CD/DVD +/- RW with double layer write capability, and 100GB hard drive minimum, integrated Ethernet 10/100, and internal modem. Included software shall support double layer media writing and automatic backup of data;
Monitor (Cathode Ray Tube)

Monitor for docking station and docking station - Super Video Graphics Adapter (SVGA) minimum. 19" minimum diagonal visual area flat panel with .26 dot pitch capable of multiple frequency 256 color graphics and at least 1024 pixel resolution. Swivel base with low radiation and eyestrain protection, brightness and contrast control and

Laptop - shall have 15.4" display minimum;

Color Graphics Card

Card must be SVGA AGP interface with 64 MB onboard video memory having maximum resolution of at least 1280x720 with at least 16 bit color and video control hardware and software;

Keyboard

Keyboard shall be ergonomic, enhanced layout minimum with keyboard interface cable;

Printers

LaserJet HP 2550N network capable printer or latest model with 64 MB minimum total memory having up to 600 dpi resolution and using HPL6 printer language with all necessary software and cables for proper operation; and a HP Desk Jet color printer or latest model with photo quality print capability and with all necessary software, equipment, and cables for general operation as well as connection and sharing on a local network;

Scanner

A HP6100 color scanner with HP5770 ScanJet ADF (or equivalent brand) with all necessary software, equipment, and cables for general operation as well as connection and sharing on a local network;

Software

The latest version programs for application management (operating system), word processing, spreadsheet, and anti-virus shall be provided with all user manuals. Upgrades, maintenance, and full technical support by the manufacturer shall be provided for the length of the Contract. The required software will enable the Department to synchronize accounting and record keeping functions between the project, District, and Department offices. A list of programs to be provided shall be submitted to the Engineer for approval. Software, other than for application management and anti-virus, is to be delivered unopened to the Department's administrative office. All software is to be compatible with and for use to run on "Windows® XP Professional". The required applications software follows and is to be latest version unless noted:

- office suite - "Microsoft® Office XP Professional",
- antivirus - "McAfee® Total Protection for Small Business,
- software supporting creation of DVD +/- R/RW disks (supporting double layer media writing) and DVDR and DVDRW disks using DVDRW drive, for example: Ahead Nero, Roxio DVD/CD Creator, or some equivalent product. Note: software commonly included as part of the standard CDRW upgrade/standalone package is acceptable if included with the unit;
Related Equipment

Wireless networking hub/router (802.11g or better) with all associated hardware (adapters, cables, etc) and soft to enable wireless networking and internet connection sharing for all office computers and printers,

An electrical outlet with dedicated circuit for the main computer unit,

An optical mouse with proper driving software having complete Microsoft emulation,

An internal 56/28.8/14.4 fax modem with MNP5 error checking and complete Hayes emulation having high-speed 14.4 fax capability and regular data transmission between 2400 and 56 baud, with the latest version proper driving software,

Necessary cables for proper operation,

An uninterruptible power supply (UPS) units for protection from power loss or fluctuation, minimum of 6 outlets, adequate to provide a minimum of 30 minutes backup power for an orderly shut down of the computer system with software and connections for automatic system shutdown,

24 bit Sound Blaster compatible PCI soundcard with quality desktop speakers,

A combination surge, spike, and noise protection device with receptacles for all peripherals (may be in combination with the UPS power supply),

A wrist rest suitable for use with the furnished keyboard,

Cleaning kits for disk drives,

An anti-glare filter with grounding wire suitable for use with the furnished monitor, and

All cards, hardware, and operating, anti-virus, and equipment software to be fully installed and operational;

Maintenance and Service

Maintenance of all specified equipment and components shall be provided for by a validated service agreement for the length of the Contract. Maintenance (upgrades, replacement, full technical support) for each software application shall be provided for by validated maintenance agreement for the length of the Contract. These agreements shall allow an authorized project person to deal directly with the service organization to request repair or the maintenance organization to request assistance; and

Supplies

Consumables as required to manage the business of the project shall be provided for the Microcomputer Systems for the length of the Contract. These consumables shall be furnished on request and include but not be limited to 3-1/2" double sided high density micro floppy diskettes, compatible diskettes for provided digital cameras and memory stick media, DVDR and DVDRW media compatible supporting operational minimum to maximum speed of the DVD/RW drive unit, cut sheet paper and labels compatible with the printers, hardware and screen cleaners, and toner cartridges.
Maintenance of the field office including its adjacent parking area, for the time required, shall consist of maintenance and/or replacement of all provided items, security system, furniture and equipment, computer systems, providing lavatory supplies, providing trash containers and waste baskets, providing entrance mats at each door, providing replacement items for lighting fixtures, maintaining all utilities, providing satisfactory and sanitary janitorial and waste disposal services twice a week, providing cleanup of trash and debris on the parking lot and landscaped area once a week, and shall be included in the monthly unit cost.

The Contractor shall provide and deliver a current copy of all validated field office, equipment, and computer maintenance, service, assistance and/or monitoring agreements and/or contracts as mentioned hereinabove to the Department's administrative office on or before the first day the field office is ready for use.

**Method of Measurement:**

This item will not be measured but will be paid for on a monthly basis. Partial months will be paid at the rate of 0.033 months per day.

**Basis of Payment:**

The field office will be paid for on a unit price bid per month, which price shall be full compensation for performing the work specified and the furnishing of all materials, labor, tools, equipment and incidentals necessary to maintain the field office and its adjacent parking area and restore the field office area and adjacent parking area to match the original site condition. No separate payment will be made for costs involved for removing hazardous material or underground tanks to install these offices or the parking area.

Payment will be made only for the actual number of months that the office is acceptably provided by the Contractor.

The field office shall be ready for use not later than thirty (30) calendar days after the date of the fully executed Contract and before construction operations begin.

10/19/17
850503 - LUMINAIRE (LED), 160 WATTS

**Description:**

This work consists of furnishing and installing an LED light fixture on poles, in accordance with these specifications and as shown on the Plans.

**Materials:**

The complete fixture shall have a heavy-duty, cast-aluminum housing, door with extruded aluminum heat sink, tool-less entry, hinged removable power tray door for easy maintenance, and have fastening hardware that is stainless steel or zinc plated steel. The fixture shall meet ANSI 136.31 3.0 G vibration requirements. Fixture shall have a two-bolt slip fitter system for mounting on a 1-1/4 inch to 2-3/8 inch mounting arm connection. A grey powder coat finish, or as approved by the engineer, shall be applied to the fixture. The fixture shall also meet the following criteria:

1. Lamps: LED
2. Wattage: 165 Watt Maximum
3. Voltage: 120V - 277V
4. CRI: 70 Minimum
5. Lumens: 16000 to 20,000
6. Rated L70 Lamp Life: 100,000 Hours Minimum when operated at 25 Degrees C (77 Degrees F)
7. Distribution: Type II
8. Color Temperature: 4000 K - 4,500 K
9. Drive Current: 850mA Maximum
10. Driver: 0-10V Dimming
11. IP66 Rating for optical portion of the housing
12. 10kV/10kA minimum internal surge suppression module, meeting UL 1449/ANSI C62.41.2 Category C

Luminaire mounting height shall be as indicated on drawings.

Luminaire shall provide point illumination of not less than the given values in the table below.

Point 1 coordinates are 90 feet longitudinal distance. Point 2 coordinates are 90 feet longitudinal and 30 feet transverse. The point values given in the table are based on a 30 foot mounting height with a Light Loss Factor of 1. The point values produced by the submitted fixture shall be included with the fixture submittal.

<table>
<thead>
<tr>
<th>Foot-candle Point Table</th>
</tr>
</thead>
<tbody>
<tr>
<td>Point 1</td>
</tr>
<tr>
<td>0.27</td>
</tr>
</tbody>
</table>

Metal Parts shall be free of burrs and sharp corners and edges. Doors, frames, and other internal access shall be smooth operating and free of light leakage under operating conditions.
Factory applied labels shall comply with UL 1598. Labels shall be located where they will be readily visible to service personnel, but not seen from normal viewing angles when lamps are in place. Labels shall include the following lamp characteristics:

1. CCT and CRI for all luminaires

Luminaire finish shall be manufacturers standard paint applied to factory-assembled and tested luminaire before shipping.

The following installation requirements shall be followed:

1. Comply with NECA 1.
2. Fasten luminaire to pole.
3. Install luminaires at height indicated on drawings and level and square with finished grade.
4. Perform an illumination test.

After installation of luminaires and control devices and after electrical circuitry has been energized, test units to confirm proper operation.

Inspect each installed luminaire for damage. Replace damaged luminaires and components.

Luminaires will be considered defective if they do not pass tests and inspections.

Contractor shall provide fixture cutsheets, details, and the IESNA LM-79 and LM-80 test reports to the engineer for shop drawing review before purchasing. Provide documentation that demonstrates that the proposed model of LED luminaire has been tested for electromagnetic compliance following the measurement protocols specified in ANSI standard C63.4-2003, and required by 47 CFR 15.31.

If Contract Documents require a photocell on the cabinet for the entire lighting system, omit the photocell on the individual luminaries. Provide Photoelectric Control with solid state, cadmium sulfide type with hermetically sealed silicone rectifier rated 120volt, 60 cycle AC and 1000 watts maximum load. Ensure a built-in surge protection and fail-safe operating feature is included, so the lighting circuits remain energized in the event the photo control components become inoperative. Ensure the nominal operating levels turn on photo control at a minimum vertical illumination value of 3 footcandles and turn off at a maximum vertical illumination value of 6 footcandles. Ensure the photo control is twist lock type, with suitable mounting bracket with locking type receptacle.

All electrical Materials shall conform to the requirements of the National Electrical Code of the National Fire Protection Association, and to all local and state laws and/ordinances governing such installations.

**Warranty:**

Luminaire to be free from defects and operate as indicated for a period of 5 years from the date of delivery.

**Method of Measurement:**

The quantity of LED Light Fixtures will be measured as the actual number of luminaires provided complete in place and accepted.
Contract No. T201100901.01

**Basis of Payment:**

The quantity of LED Light Fixtures will be paid for at the Contract unit price per each. Price and payment will constitute full compensation for furnishing all materials, and for all labor, tools, equipment, and incidentals necessary to complete the item installation.

10/16/17
UTILITY STATEMENT
May 23, 2018
STATE CONTRACT # T201100901
F.A.P. # STP-5O18 (11)
P6# 10-00044
HSIP SC, US 9 & SR 5 INTERSECTION IMPROVEMENTS
SUSSEX COUNTY

The following utilities maintain facilities within the limits of this project:

DPL Transmission
DPL Distribution
Verizon
Comcast
Chesapeake Utilities
Lightower / Fiber Networks
Artesian

Utility adjustments and/or relocations shall be performed as narrated, but are not limited to the following:

ALL REFERENCES ARE BASED ON PROPOSED ALIGNMENT

DPL’S EXISTING TRANSMISSION UTILITY POLES

<table>
<thead>
<tr>
<th></th>
<th>LEFT OFFSET</th>
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</thead>
<tbody>
<tr>
<td>1.</td>
<td>615 + 25</td>
<td>55930 / 06203</td>
<td></td>
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<tr>
<td>2.</td>
<td>616 + 20</td>
<td>55939 / 06205</td>
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<tr>
<td>3.</td>
<td>616 + 30</td>
<td>55944 / 06198</td>
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<tr>
<td>4.</td>
<td>617 + 60</td>
<td>55952 / 06209</td>
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<tr>
<td>5.</td>
<td>618 + 15</td>
<td>55957 / 06210</td>
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<tr>
<td>6.</td>
<td>620 + 75</td>
<td>55971 / 06213</td>
<td></td>
</tr>
<tr>
<td>7.</td>
<td>623 + 55</td>
<td>56012 / 06224</td>
<td></td>
</tr>
</tbody>
</table>

DPL WILL REPLACE ITS EXISTING TRANSMISSION UTILITY POLES (NOTED IN THE TABLE) WITH NEW STEEL TRANSMISSION UTILITY POLES (AS SHOWN IN PLANS).
THE NEW POLES WILL BE INSTALLED AT THE FOLLOWING STATIONING WITH A PROPOSED OFFSET FROM THE EXISTING POLES AS NOTED:

<table>
<thead>
<tr>
<th></th>
<th>LEFT OFFSET</th>
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</thead>
<tbody>
<tr>
<td>1.</td>
<td>615 + 25</td>
<td>55930 / 06203</td>
<td>35' OFFSET</td>
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</tr>
<tr>
<td>2.</td>
<td>616 + 20</td>
<td>55939 / 06205</td>
<td>15' OFFSET</td>
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</tr>
<tr>
<td>3.</td>
<td>616 + 30</td>
<td>55944 / 06198</td>
<td>15' OFFSET</td>
<td></td>
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<tr>
<td>4.</td>
<td>617 + 60</td>
<td>55952 / 06209</td>
<td>20' OFFSET</td>
<td></td>
<td></td>
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<tr>
<td>5.</td>
<td>618 + 15</td>
<td>55957 / 06210</td>
<td>20' OFFSET</td>
<td></td>
<td></td>
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<tr>
<td>6.</td>
<td>620 + 75</td>
<td>55971 / 06213</td>
<td>10' OFFSET</td>
<td></td>
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<tr>
<td>7.</td>
<td>623 + 55</td>
<td>56012 / 06224</td>
<td>5' OFFSET</td>
<td></td>
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</tr>
</tbody>
</table>

*DPL’s existing Fiber Optic Aerial Span attached to existing DPL transmission utility poles will (also) be relocated onto new DPL transmission utility poles.

DPL’s Transmission Utility Relocations Will Occur During Scheduled Outages Between September 2018 unto November 2018.

*Adjustments/ relocations to (any) Artesian water facility will occur concurrent with construction schedule if incapable of being performed as advanced.

DPL’S (EXISTING) 3 – PHASE DISTRIBUTION AERIAL SPAN

*REFERENCES BASED ON PROPOSED ALIGNMENT

US 9. WEST OF THE SR 5 INTERSECTION

<table>
<thead>
<tr>
<th></th>
<th>LEFT OFFSET</th>
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</thead>
<tbody>
<tr>
<td>1.</td>
<td>602 + 75</td>
<td>55808 / 06172</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td>605 + 10</td>
<td>55831 / 06179</td>
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<tr>
<td>3.</td>
<td>608 + 10</td>
<td>55861 / 06186</td>
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<tr>
<td>4.</td>
<td>610 + 10</td>
<td>55880 / 06191</td>
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US 9. EAST OF THE SR 5 INTERSECTION

<table>
<thead>
<tr>
<th></th>
<th>LEFT OFFSET</th>
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</thead>
<tbody>
<tr>
<td>1.</td>
<td>611 + 55</td>
<td>55895 / 06195</td>
<td></td>
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<td></td>
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<tr>
<td>2.</td>
<td>613 + 15</td>
<td>55909 / 06198</td>
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<tr>
<td>3.</td>
<td>614 + 45</td>
<td>55921 / 06101</td>
<td></td>
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<tr>
<td>4.</td>
<td>616 + 90</td>
<td>55945 / 06207</td>
<td></td>
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<tr>
<td>5.</td>
<td>618 + 60</td>
<td>55963 / 06211</td>
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<tr>
<td>6.</td>
<td>619 + 52</td>
<td>55981 / 06216</td>
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SR 5 NORTH OF US 9

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<thead>
<tr>
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<tbody>
<tr>
<td>1.</td>
<td>800 + 75</td>
<td>558882 / 06197</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td>801 + 45</td>
<td>55880/06191</td>
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</table>

SR 5 SOUTH (OF US 9)

<table>
<thead>
<tr>
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<tbody>
<tr>
<td>1.</td>
<td>701 + 70</td>
<td>55895 / 06139</td>
<td></td>
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<tr>
<td>2.</td>
<td>703 + 55</td>
<td>55892 / 06157</td>
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<tr>
<td>3.</td>
<td>705 + 25</td>
<td>55892 / 06173</td>
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<tr>
<td>4.</td>
<td>706 + 25</td>
<td>55891 / 06185</td>
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</table>
(NOTE: THE FOLLOWING DPL TRANSMISSION POLES MAINTAIN DISTRIBUTION SUPPORTIVE CROSS-ARMS SUPPORTING DPL’S DISTRIBUTION SPAN)

<table>
<thead>
<tr>
<th></th>
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<tbody>
<tr>
<td>1</td>
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<tr>
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<td>620 + 75</td>
<td>55971</td>
<td>06213</td>
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<tr>
<td>5</td>
<td>623 + 55</td>
<td>56012</td>
<td>06224</td>
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DPL’S PROPOSED UTILITY RELOCATION FOR DISTRIBUTION

**COMPLETED DURING ADVANCED UTILITY RELOCATION**
Beginning in the northern (left) offset of US 9; and at the beginning of the construction limits DPL will relocate its utility poles and aerial span (noted below) between stations 602+50 unto 610+50 rearward of the proposed clear zone; and averting proposed construction/removal of the existing culvert at stationing 605+80.

**US 9, WEST OF THE SR 5 INTERSECTION**

<table>
<thead>
<tr>
<th></th>
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<tbody>
<tr>
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<td>602+75</td>
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<td>06172</td>
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<tr>
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<td>06179</td>
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<td>3</td>
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<td>55861</td>
<td>06186</td>
</tr>
<tr>
<td>4</td>
<td>610 + 10</td>
<td>55880</td>
<td>06191</td>
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</tbody>
</table>

**COMPLETED DURING ADVANCED UTILITY RELOCATION:**
Beginning in the southern leg of SR 5, on the eastern (right) offset of SR 5 at station 701+70 DPL installed a new utility pole approx. 2ft rearward of the existing utility pole to a position rearward of the proposed sidewalk, and within the proposed right of way, (as shown on plans.)

**COMPLETED DURING ADVANCED UTILITY RELOCATION:**
In the southern leg of SR 5, on the eastern (right) offset of station 703+55 DPL installed a new utility pole in a position rearward of proposed sidewalk, and within proposed right of way, (as shown on plans) and afterwards remove abandoned pole.

**COMPLETED DURING ADVANCED UTILITY RELOCATION:**
In the SE leg of the US 9/ SR 5 intersection at station 705+25 (right offset), DPL installed a new utility pole approx. 10ft rearward of the existing utility pole to a position rearward of the proposed sidewalk and proposed right of way, (as shown on plans.)

**OUTSTANDING/CONCURRENT**
In the SE leg of the US 9/ SR 5 at station 706 + 25 DPL will install a (steel), 70ft self-sustaining steel utility pole.

NOTE: The diameter of the (self-sustaining) pole at grade will measure approximately 24”. A vertical base extending 15ft below existing grade is used for support.

DPL’s aerial distribution span will extend from the SE leg of the intersection into the NW leg. As proposed and continue northward on the eastern offset of SR 5 as described:

- In the NW leg of US 9/ SR 5 intersection, DPL proposes to install a new utility pole at/near station 610+15. (The pole will be installed within the State’s right-of-way, and rearward of the proposed clear-zone. (This pole will replace existing distribution pole # 55880/ 06190.)
- In the NW leg of US 9/ SR 5 intersection DPL proposes to install a utility pole at station 800+95 (left offset), inside the State’s right-of-way, and rearward of the proposed clear-zone. (This installation will replace existing distribution pole #55882/ 06197.)

- DPL’s existing utility pole in the NW offset of SR 5 @ station 803+25 will be adjusted in the field (2ft +/- from its location) onto the PE acquired on the left offset of 803+00.

- DPL’s aerial span continues northward along the western offset of SR 5 and terminates at the existing utility pole at station 805+90.

**Note:** In the north-western leg of SR 5 at sta. 803 + 25 at/near property 15-L, DPL’s aerial facility terminates at existing utility pole PC/49 and traverses across SR 5 unto the eastern offset, and continues on private easement through wooded property (15-R) unto the rearward access of the existing DPL Sub-Station.

DPL proposes to remove the following distribution utility poles and aerial span on the northern offset of US 9 as follows:

| LEFT OFFSET | 611 + 55 | 55895 / 06195 |
| LEFT OFFSET | 613 + 15 | 55909 / 06198 |
| LEFT OFFSET | 614 + 45 | 55921 / 06101 |
| LEFT OFFSET | 616 + 90 | 55945 / 06207 |
| LEFT OFFSET | 618 + 60 | 55963 / 06211 |
| LEFT OFFSET | 619 + 52 | 55981 / 06216 |

*At station 615+60 on the south-eastern (right) of US 9, DPL will adjust a supportive/anchoring utility pole # 55930/06203 as warranted.

DPL proposes to install a buried 3-phase electric line within the proposed right of way on the southern offset of US 9’s road shoulder between stations 611 +50 and 620 +00.

DPL will install (3) individual pad-mounted cabinets in the southern offset of US 9’s road shoulder between stations 613 +00 and 615 +00. DPL will extend beneath US 9 (from the southern offset of the road shoulder into the northern offset road shoulder) at each location of the proposed pad mounted cabinets, and extend an electric service line from the pad mounted cabinets property’s 4-L, 6 -L, and 7 -L.

*Adjustments/ relocations to (any) DPL distribution facility will occur concurrent with construction schedule if incapable of being performed as advanced.

**VERIZON’S EXISTING FACILITIES:**

Between stations 602+00 and 612+00 on the southern (right) offset of the US 9/ Lewes Georgetown Highway construction alignment, Verizon maintains a **1200 pair buried cable** (having an origin west of the “beginning of construction” limits and terminating at existing Verizon utility pole #2/ K/ 108) at station 612+00.

On the southern (right) offset of US 9, beginning at station 606 + 70, Verizon maintains a 4” buried PVC conduit containing a fiber cable, and a 3” electric service. The conduit extends eastward into the SW and SE leg of US 9/ SR 5 intersection, beneath the existing road shoulder and terminates at the existing Verizon utility pole # 2/ K/ 108 at station 612 +00.
This facility extends beneath US 9 into the NW leg of the US 9/ SR 5 road shoulder, (intersecting station 610+15), and continues northward beneath the road shoulder on the western offset of SR 5 beyond stationing 810+00.

Also in the NW leg of the US 9/ SR5 road shoulder at station 801+45 an existing underground cable extends into the NE leg of the intersection at station 801+45; and proceeds northward (parallel to the construction alignment) beneath the existing road shoulder on the eastern offset of SR 5 unto a point beyond stationing 810+00/ and the construction limits.

Beginning at the southern-most offset of SR 5 on the eastern (right) offset of the construction alignment, Verizon maintains an existing aerial fiber-optic facility on existing DPL utility poles between stations 701+00 and 705+20.

In the SE leg of US 9/ SR 5, at station 705+25, Verizon’s aerial span terminates at DPL’s utility pole # 55892 / 06173, and continues underground for 120ft northward; wherein it connects to the existing underground 1200 pair telecommunication cable (previously noted) which proceeds east to west within the construction limits.

Verizon owns and maintain the following utility poles in the south-eastern offset of US 9 between stations 612+00 unto 620+15.

<table>
<thead>
<tr>
<th>RT OFFSET</th>
<th>612 + 15</th>
<th>2 / K / 108</th>
</tr>
</thead>
<tbody>
<tr>
<td>RT OFFSET</td>
<td>613 + 90</td>
<td>DST / 309</td>
</tr>
<tr>
<td>RT OFFSET</td>
<td>618+05</td>
<td>K/111/5 (55959/06203)</td>
</tr>
<tr>
<td>RT OFFSET</td>
<td>620+00</td>
<td>QC – 6 *(WITH PED 6/ K/ 112)</td>
</tr>
</tbody>
</table>

Verizon maintains an existing aerial span (fiber-optic & coaxial cable) on the following DPL utility poles in the NW offset of US 9 between stations 600+50 unto 610+10.

<table>
<thead>
<tr>
<th>LEFT OFFSET</th>
<th>602+75</th>
<th>55808 / 06172</th>
</tr>
</thead>
<tbody>
<tr>
<td>LEFT OFFSET</td>
<td>605 + 10</td>
<td>55831 / 06179</td>
</tr>
<tr>
<td>LEFT OFFSET</td>
<td>608 + 10</td>
<td>55861 / 06186</td>
</tr>
<tr>
<td>LEFT OFFSET</td>
<td>610 + 10</td>
<td>55880 / 06191</td>
</tr>
</tbody>
</table>

Verizon maintains an existing aerial span (fiber-optic & coaxial cable) on the following DPL utility poles in the NW offset of SR 5 between stations 800+00 unto 810+00.

<table>
<thead>
<tr>
<th>LEFT OFFSET</th>
<th>800 + 75</th>
<th>558882 / 06197</th>
</tr>
</thead>
<tbody>
<tr>
<td>LEFT OFFSET</td>
<td>801 + 45</td>
<td>UNMARKED</td>
</tr>
</tbody>
</table>

Verizon maintains an existing aerial span (fiber-optic & coaxial cable) on the following DPL utility poles in the SE leg of SR 5 between stations *701+00 unto *706+50.

<table>
<thead>
<tr>
<th>RIGHT OFFSET</th>
<th>701 + 70</th>
<th>55895 / 06139</th>
</tr>
</thead>
<tbody>
<tr>
<td>RIGHT OFFSET</td>
<td>703 + 55</td>
<td>55892 / 06157</td>
</tr>
<tr>
<td>RIGHT OFFSET</td>
<td>705 + 25</td>
<td>55892 / 06173</td>
</tr>
<tr>
<td>RIGHT OFFSET</td>
<td>706 + 25</td>
<td>55891 / 06185</td>
</tr>
</tbody>
</table>

**Verizon's Proposed Utility Relocations**

- Verizon will transfer its aerial span in the NW offset of US 9 onto newly installed DPL utility poles between stations 602+50 unto 610+50.
• Verizon will transfer its aerial span in the NW offset of SR 5 onto newly installed DPL utility poles between stations 801+50 unto 809+00.

• Verizon will transfer its aerial span in the SE offset of SR 5 onto newly installed DPL utility poles between stations 701+50 unto 706+50.

• Verizon will bury a 300 pair copper cable beneath the southern extension of SR 5, from the SW leg of the intersection into the SE leg of intersection (intersecting the SR 5 construction baseline at station 706+60).

*Adjustments/relocations to (any) Verizon facility will occur concurrent with construction schedule if incapable of being performed as advanced.

OPEN CUT IS NOT A VIALBLE OPTION FOR THE PROPOSED INSTALLATION FROM THE SW INTO THE SE: THE INSTALLATION WILL REQUIRE NIGHT TIME WORK SCHEDULING.

Verizon will remove existing utility poles and the aerial span (comprised of (4) aerial copper cables, (2) fiber-optic cables, and (2) copper cables) in the southern offset of the US 9 road shoulder between stations 612+50 and 620+20; and install a proposed buried cable within a joint trench/bore with DPL between stations 612+50 unto 620+50.

At station 620+50 (in the southern offset of US 9 road shoulder) Verizon’s proposed underground facility will connect/tie-into the existing underground facility at utility pole QC/6.

Verizon will install a new 200 pair buried cable in the southern offset of US 9 between stations 600+00 unto (an existing pedestal at) 606+50. Verizon proposes to install the facility (at a minimum of 16ft below the proposed pre-cast culvert at station 605+60.

• (The existing buried facility between stations 600+00 unto 606+50 will be abandoned in place.)

• VERIZON WILL RETIRE THE FOLLOWING BURIED FACILITIES IN PLACE:

An existing buried facility on the south-eastern offset of US 9 between stations 600+00 and 606+50.

An underground facility extending between the SE leg of US 9/ SR 5 unto the NW leg of US 9/ SR 5 as follows:

• (A buried facility in the SE leg of the SR 5 between stations 705+00 and 706+60 adjacent to the existing edge of pavement.)

• (The buried facility extends into the SW leg of SR 5 at station 706+10; and into the NW leg of the intersection at/ near station 610+15; terminating at utility pole 55880 / 06191 in the NW leg of the US 9/ SR 5.

An existing 152 pair buried cable on the eastern offset of SR 5 between stations 801+00 and 805+00.

An existing 400 pair buried cable on the western offset of SR 5 between stations 801+00 and 805+00.
Note: Prior to the design of DelDOT construction project T20110901 a buried Verizon cable was deactivated/abandoned in place beneath the western offset of the SR 5 road shoulder between proposed stations 803+00 unto 808+00.

Both it, and the 152 pair buried cable will be discarded as debris with other abandoned facilities within the project limits: These facilities (especially) will not conflict with proposed drainage inlets and pipe installations along the western offset of SR 5 between stations 803+00 unto 808+00.

Adjustments/relocations to (any) Verizon facility will occur concurrent with construction schedule if incapable of being performed as advanced.

Comcast

Comcast maintains an existing aerial coaxial cable and fiber-optic cable on DPL’s existing utility pole span at:

- The north-western offset of SR 5, beginning at the interior of the intersection and continuing northward.
- The south-eastern offset of SR 5, beginning at the interior of the intersection and continuing southward.
- The beginning of the construction limits on the northern (left) offset of US 9 between stations 600+00 unto 624+00.

Comcast Proposes to:

- Transfer its existing aerial span in the SE and NW offsets of SR 5 onto DPL’s relocated utility poles between stations 701+00 unto 805+00.
- Transfer its existing aerial span on the northern (left) offset of US 9 between stations 600+00 and 624+00 unto newly installed DPL utility poles.
- Transfer its existing aerial span in the southern offset of SR 5 between stations 706 +50 and 701 +50 onto newly installed DPL utility poles.
- Terminate its aerial span in the NW leg of the intersection; bore beneath SR 5 into the NE road shoulder offset, and continue underground (by way of trenching) unto the proposed DPL steel transmission utility pole at station 615 +25; at this location Comcast will resume an aerial stationing on the proposed steel utility poles continuing eastward beyond the construction limits.

Adjustments/relocations to (any) Comcast facility will occur concurrent with construction schedule if incapable of being performed as advanced.

Chesapeake Utilities

Chesapeake Utilities maintains an existing buried 12” main in the northern offset of US 9 throughout the entire construction limits (i.e. between stations 600+00 unto 624+00.)
At station 607 + 10 the buried main (in the northern offset) extends beneath US 9 into the southern offset of the road shoulder and continues on private easement (perpendicular to the construction alignment) to a location beyond the project limits.

Quality level “A” (Sub-Surface-Engineering) affirms that the buried facility is 29 ft below existing grade between stations 605+49 – 605+82 (i.e. within the vicinity of the proposed pre-cast box culvert).

Quality level “A” (Sub-Surface-Engineering) affirms that the gas main abides 8ft – 9ft below existing grade throughout the remainder of the construction limits.

*Adjustments/ relocations to (any) Chesapeake Utilities facility will occur concurrent with construction schedule if incapable of being performed as advanced.

1. Proposed Pipe 13 @ station 609 + 80.
2. Proposed Pipe 16 @ station 615 + 10.

No conflicts with proposed cross pipes
Chespk Util. will vertically adjust gas valve right offset station 612+50 +/-.
Chespk Util. existing main will remain in place approx. at proposed box culvert location.
(The main is approx. 3.5ft below the elevation of lowest proposed bridge feature * toewall @ ele 20.33).

However, if the soil is unstable at the bridge site and 3ft of excavation is required for stability the main will (thereafter) have 1ft +/- between it and stone/coarse aggregate required.

Lightower/ Fibertech

Lightower/Fibertech maintains a single aerial fiber-optic strand between on the northern offset of US 9 between stations 602+00 and 610+00. The aerial strand is attached to DPL’s existing distribution poles as follows:

**US 9. WEST OF THE SR 5 INTERSECTION**

<table>
<thead>
<tr>
<th>LEFT OFFSET</th>
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<td>55861 / 06186</td>
</tr>
<tr>
<td>LEFT OFFSET</td>
<td>610 + 10</td>
<td>55880 / 06191</td>
</tr>
</tbody>
</table>

Lightower/Fibertech maintains a single aerial fiber-optic strand on DPL utility poles in the SE leg of SR 5 between stations 701+00 and 706+25 as follows:

**SR 5 SOUTH (OF US 9)**

<table>
<thead>
<tr>
<th>RIGHT OFFSET</th>
<th>701 + 70</th>
<th>55895 / 06139</th>
</tr>
</thead>
<tbody>
<tr>
<td>RIGHT OFFSET</td>
<td>703 + 55</td>
<td>55892 / 06157</td>
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<tr>
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<td>705 + 25</td>
<td>55892 / 06173</td>
</tr>
<tr>
<td>RIGHT OFFSET</td>
<td>706 + 25</td>
<td>55891 / 06185</td>
</tr>
</tbody>
</table>

Lightower/Fibertech maintains a single aerial fiber-optic strand on DPL utility poles in the NW leg of SR 5 between stations 800+00 unto 802+00 as follows:

**SR 5 NORTH OF US 9**

<table>
<thead>
<tr>
<th>LEFT OFFSET</th>
<th>800 + 75</th>
<th>558882 / 06197</th>
</tr>
</thead>
<tbody>
<tr>
<td>LEFT OFFSET</td>
<td>801 + 45</td>
<td>55880/06191</td>
</tr>
</tbody>
</table>
Lightower/ Fibertech proposes to remove (and/or replace) its single aerial fiber-optic strand from the existing DPL utility poles named; and aerially transfer/ or install a (new) single aerial fiber-optic strand onto DPL relocated utility poles:

1. Between stations 602+00 unto 610+50, on the northern offset of US 9.
2. Between stations 701 +00 unto 706+25, on the southern offset of SR 5.
3. Between stations 800+75 unto 802+00, on the northern offset of SR 5.

*Adjustments/ relocations to (any) Lightower/Fibertech facility will occur concurrent with construction schedule if incapable of being performed as advanced.

Artesian Existing Facilities

Artesian Wastewater Management, Inc. owns and maintains existing underground sewer mains throughout the construction limits as follows:

- An 8” PVC sanitary force main on the south side of US 9 that runs from east to west through the limit of construction.
- A 14” PVC sanitary force main on the west side of SR 5 that runs from south to north through the limit of construction.
- A 16” PVC force main on the south side of US 9 from the western limit of construction to Station 610+50, then turns north and runs along the west side of SR 5 through the northern limit of construction.
- A 6” PVC force main on the west side of SR 5 that runs from southern limit of construction to the 8” PVC force main on US 9 at Station 610+60.

Installation of the sewer force mains (described) occurred in 2017.

*Adjustments/ relocations to (any) Artesian sewer facility will occur concurrent with construction schedule if incapable of being performed as advanced.

Artesian Water owns and maintains existing underground water mains within the project limits as follow:

- A 12” ductile iron potable waterline on the north side of US 9 from Station 600+00 to Station 605+00.
- The 12” waterline turns north at Station 605+00 and extends beyond the limits of construction.

Installation of the waterline occurred in 2016 and in close coordination with the highway project. Fire hydrants in conflict with proposed construction will be assessed and corrected during construction by AWC.  *Most noticeable location at station 806 +25 right offset.

At grade adjustments to AWC valves and manhole lids will be performed by the State’s Contractor during construction.

Artesian water proposes to perform the following expansion to its facilities in advance of the US 9, SR 5 construction start date:

SEQUENCE OF WORK
1. AWC Contractor shall wet-tap existing 12” ductile iron waterline at approximately Station 604+00, left 40’ with a tapping sleeve and valve, then extend a new 16” waterline under proposed P-1 and place 16” 90° bend at Station 604+00, left 26’. *Duration: 2 calendar days, MUTCD Cases TA-1; TA-3*
2. AWC Contractor shall install new 16” ductile iron waterline per plan from Station 604+00 to Station 605+31, then from Station 606+05 to 610+00, then from Station 611+10 to 623+40, a distance of approximately 1,900’. Work includes installing water valves at Stations 610+00, 611+60, and 623+20 and fire hydrants at Stations 611+55 and 621+50. Work excludes installing 16” waterline under Beaver Creek between Stations 605+31 to 606+05, which will be performed by the state contractor during Phase 1 of Contract T201100901 and excludes 16” waterline under SR 5 between Stations 610+00 and 611+10, which will be performed by the AWC contractor during Phase 2 of Contract T201100901. **Duration: 21 calendar days, MUTCD Cases TA-1; TA-3; TA-27**

3. AWC Contractor shall install new 16” ductile iron waterline per plan from Station 800+16, south to Station 701+25, a distance of approximately 600’. Work includes water valves at Stations 701+45 and 706+25 and a fire hydrant at Station 704+30. **Duration: 14 calendar days, MUTCD Cases TA-1; TA-3; TA-27**

4. AWC Contractor shall install 16” caps, and 2” blow-off assemblies on the ends of the new ductile iron pipe at Stations 623+40 and 701+25, in accordance with the waterline details shown in the plans. **Duration: 2 calendar days, MUTCD Cases TA-1; TA-3**

5. AWC Contractor shall pre-assemble 40’ of 24” steel casing pipe with 54’ of 16” ductile iron waterline in accordance with the waterline details shown in the plans. **Duration: 2 calendar days, MOT Phase 1.**

6. AWC Contractor shall test pre-assembled section of steel casing with 54’ of 16” ductile iron waterline for leakage in accordance with the requirements of AWWA C600, 2-hour duration at 150 psi. All tests shall be coordinated with the Artesian Inspector. **Duration: 1 calendar day, MUTCD Cases TA-1**

**CONSTRUCTION DURATION**

1. Advance work shall require approximately 42 calendar days to complete.

**SELECT BORROW**

1. Artesian shall provide the necessary select borrow required to complete these tasks.

**Artesian Water** proposes the state contractor as part of contract T201100901 perform the following expansion to its facilities:

**SEQUENCE OF WORK**

1. DelDOT Contractor, under Contract Item 710500, shall install pre-assembled section of steel casing with 54’ of 16” ductile iron waterline under the proposed box culvert at Beaver Creek from Station 605+41 to Station 605+95. **Duration: 1 calendar day, MOT Phase 1.**

**CONSTRUCTION DURATION**

1. Advance work shall require approximately 1-calendar days to complete.

**SELECT BORROW**

1. Advance work shall require approximately 20 tons of Select Type C Borrow for backfill of water utility trenches.

**Artesian Water** proposes to perform the following expansion to its facilities during Phase 2 of contract T201100901:

**SEQUENCE OF WORK**

1. AWC Contractor shall connect section of 16” ductile iron waterline between Stations 605+31 and 605+41 and from Stations 605+95 and 606+05. **Duration: 4 calendar days, MOT Phase 2.**

2. AWC Contractor shall connect section of 16” ductile iron waterline within SR 5 road closure between Stations 610+00 and 611+10. **Duration: 4 calendar days, MOT Phase 2.**
3. AWC Contractor shall test all completed sections of ductile iron pipe for leakage in accordance with the requirements of AWWA C600, 2-hour duration at 150 psi. All tests shall be coordinated with the Artesian Inspector. *Duration: 2 calendar day, MOT Phase 2*

4. AWC Contractor shall disinfect all new waterlines in accordance with AWWA C601, latest edition. Disinfection shall be coordinated with the Artesian Inspector. *Duration: 0.5 calendar day, MOT Phase 2*

5. Artesian Inspector shall be responsible for obtaining bacteriological tests to show that the disinfection has been satisfactory and the main can be placed in service. *Duration: 6 calendar days, MOT Phase 2*

6. Artesian Inspector shall place the main in service and coordinate any final restoration work or punch list items that need to be completed by the AWC Contractor. *Duration: 0.5 calendar day, MOT Phase 2*

---

**Adjustments/ relocations to (any) Artesian water facility will occur concurrent with construction schedule if incapable of being performed as advanced.**

---

**CONSTRUCTION DURATION**

1. Work shall require approximately 17 calendar days to complete.

---

**SELECT BORROW**

2. Artesian shall provide the necessary select borrow required to complete these tasks.

---

**ARTESIAN GENERAL NOTES:**

1. Waterline materials such as pipe, valves, fittings, and other accessories shall be supplied by AWC.

2. All waterline installation and tie-ins shall be performed by an approved AWC contractor. Contractor shall contact AWC for the latest list of approved contractors. Contractor or perspective subcontractors may apply to become an approved AWC contractor by contacting AWC for the latest Contractor Qualification Package.

3. All waterline construction, unless otherwise noted, shall be in accordance with the contract plans, details, standards, specifications, and all subsequent revisions, details and supplements.

4. No valve or other control on the existing system shall be operated for any purpose by the Contractor. The proper Artesian Water Company forces will operate all existing system devices.

---

**General Notes**

1. The Contractor’s attention is directed to Section 105.09 Utilities, Delaware Standard Specifications, August 2001. The Contractor shall contact Miss Utility (1-800-282-8555) two working days prior to any excavation. The Contractor is responsible for the support and protection of all utilities when excavating. The Contractor is responsible for ensuring proper clearances, including safety clearances, from overhead utilities for construction equipment. The Contractor is advised to check the site for access purposes for his equipment and, if necessary, make arrangements directly with the utility companies for field adjustments for adequate clearances.

2. The information shown in the Contract Documents, including the Utility Statement and the Utility Schedule contained herein, concerning the location, type and size of existing and proposed utilities, their locations, and construction timing has been compiled by the preparer based on information furnished by each of the involved Utility Companies. It shall be the responsibility of the State’s Contractor to verify all information and coordinate with the Utility Companies prior to and during construction, as specified in Section 105.09 of the Standard Specifications.
3. It is understood and agreed that the Contractor has considered in his bid all permanent and temporary utility appurtenances in their present and relocated positions as shown on the plans or described in the Utility Statement or are readily discernible and that no additional compensation will be allowed for any delays, inconvenience, or damage due to any interference from the utility facilities and appurtenances or the operation of moving them, except that the Contractor may be granted an equitable extension of time.

4. Coordination and cooperation among the Utility Companies and the State’s Contractor are of prime importance. Therefore, the Contractor is directed to contact the following Utility Company representatives with any questions regarding this work prior to submitting bids and work schedules. Proposed work schedules should reflect the Utility Companies’ proposed relocations. The Utility Companies do not work on weekends or legal holidays.

5. As outlined in Chapter 3 of the DelDOT Utilities Manual, individual utility companies are responsible for obtaining all required permits from municipal, State and federal government agencies and railroads. This includes but is not limited to water quality permits/DNREC Water Quality Certification, DNREC Subaqueous Lands/Wetlands permits, DNREC Coastal Zone Consistency Certification, County Floodplain permits (New Castle County only), U.S. Coast Guard permits, US Army Corps 404 permits, sediment and erosion permits, and railroad crossing permits.

6. Individual utility companies are required to restore any areas disturbed in conjunction with their relocation work. If an area is disturbed by a utility company and is not properly restored, the Department may have the highway contractor perform the necessary restoration. Any additional costs incurred as a result will be forwarded to the utility company.

<table>
<thead>
<tr>
<th>Name</th>
<th>Company</th>
<th>Phone Number</th>
<th>Email</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ray Roualt</td>
<td>Pepco Holdings, Inc. Transmission</td>
<td>302-454-5174</td>
<td><a href="mailto:ray.roualt@pepcoholdings.com">ray.roualt@pepcoholdings.com</a></td>
</tr>
<tr>
<td>Matthew Savage</td>
<td>Pepco Holdings, Inc. Transmission</td>
<td>302-454-4475</td>
<td><a href="mailto:matthew.savage@pepcoholdings.com">matthew.savage@pepcoholdings.com</a></td>
</tr>
<tr>
<td>Chris Potter</td>
<td>Power Delivery Solutions, LLC</td>
<td>803-665-3145</td>
<td><a href="mailto:Chris.Potter@exeloncorp.com">Chris.Potter@exeloncorp.com</a></td>
</tr>
<tr>
<td>William Whitaker</td>
<td>Pepco Holdings, Inc. Distribution</td>
<td>302-934-3356</td>
<td><a href="mailto:William.Whitaker@delmarva.com">William.Whitaker@delmarva.com</a></td>
</tr>
<tr>
<td>George Zang</td>
<td>Verizon Delaware Inc.</td>
<td>302-422-1480</td>
<td><a href="mailto:george.w.zang@verizon.com">george.w.zang@verizon.com</a></td>
</tr>
<tr>
<td>Mike Sullivan</td>
<td>Comcast Cable</td>
<td>302-841-6316</td>
<td><a href="mailto:Mike_Sullivan2@comcast.com">Mike_Sullivan2@comcast.com</a></td>
</tr>
<tr>
<td>Garth Jones</td>
<td>Chesapeake Utilities</td>
<td>302-736-6797</td>
<td><a href="mailto:gjones@chpk.com">gjones@chpk.com</a></td>
</tr>
<tr>
<td>Wayne Elmer</td>
<td>Lightower/ Fiber Networks</td>
<td>302-445-5824</td>
<td><a href="mailto:Wayne.Elmer@crowncastle.com">Wayne.Elmer@crowncastle.com</a></td>
</tr>
<tr>
<td>Bruce Turner</td>
<td>Lightower/ Fiber Networks</td>
<td>585-315-5543</td>
<td><a href="mailto:BTurner@fibertech.com">BTurner@fibertech.com</a></td>
</tr>
<tr>
<td>Adam Gould</td>
<td>Artesian Water Company, Inc.</td>
<td>302-453-6908</td>
<td><a href="mailto:AGould@artesianwater.com">AGould@artesianwater.com</a></td>
</tr>
<tr>
<td>Wayne Tyler</td>
<td>Artesian Water Company, Inc.</td>
<td>302-453-6987</td>
<td><a href="mailto:WTyler@artesianwater.com">WTyler@artesianwater.com</a></td>
</tr>
</tbody>
</table>

DEPARTMENT OF TRANSPORTATION SOLUTIONS

UTILITY COORDINATOR
Jeff. Steward@state.de.us

DATE
5/23/18
STATE OF DELAWARE
DEPARTMENT OF TRANSPORTATION
PO BOX 778
DOVER, DELAWARE 19903

CERTIFICATE OF RIGHT-OF-WAY STATUS

STATE PROJECT NO. T201100901

F.A.P. NO. STP-S018(11)

HSIP SC, US 9 and SR 5 INTERSECTION IMPROVEMENTS

SUSSEX COUNTY

Certificate of Right-of-Way Status – 100%

Status - LEVEL 1

As required by 23 CFR, Part 635, and other pertinent Federal and State regulations or laws, the following certifications are hereby made in reference to this highway project:

All necessary real property interests have been acquired in accordance with current FHWA/State directives covering the acquisition of real property; and,

All necessary rights-of-way, including control of access rights when pertinent, have been acquired including legal and physical possession; and,

All project rights of way are currently available in accordance with the project right-of-way plans; and,

Any residential displaced individuals or families have been relocated to decent, safe and sanitary housing, or adequate replacement housing has been made available in accordance with the provisions of the current Federal Highway Administration (FHWA) directive(s) covering the administration of the Highway Relocation Assistance Program; and,

All occupants have vacated the lands and improvements; and,

The State has physical possession and the right to remove, salvage, or demolish any improvements acquired as part of this project, and enter on all land.

RIGHT OF WAY SECTION

Robert Cunningham
Chief of Right of Way

June 13, 2018
ENVIRONMENTAL REQUIREMENTS

FOR
State Contract No. T201100901
Federal Aid No.: STP-S018(11)

Contract Title: HSIP Sussex County, US 9 and SR 5 Intersection Improvements

In accordance with the procedural provisions for implementing the National Environmental Policy Act of 1969, as amended, the referenced project has been processed through the Department’s Environmental Review Procedures and has been classified as a Level D/Class II Action.

PERMIT REQUIREMENTS:

The proposed construction work for this project requires permit approval from the agencies listed below. It is the responsibility of the contracting agency -- the Delaware Department of Transportation, Division of Transportation Solutions -- to obtain the necessary permits to ensure that the contractor complies with the requirements and conditions established by the regulatory agencies. Written authorization from the permitting agencies is required and paperwork for on-site posting is anticipated. The proposed work for this project will be authorized under the permits listed below:

REQUIRED PERMITS AND APPROVAL STATUS:

- Delaware Department of Natural Resources and Environmental Control (DNREC) Wetlands & Subaqueous Lands Section (WSLS) – Subaqueous Lands Permit – SP-463/17, Issued February 7, 2018, Expires February 7, 2021
- Delaware Coastal Zone Management (CZM) – Issued – Project is not located in a Critical Resource Water
- DNREC Water Quality Certification (WQC) - Issued – Project is not located in a Critical Resource Water

SPECIFIC REQUIREMENTS:

Compliance with all requirements of the permits is the responsibility of the contractor, who will follow all special conditions or requirements as stated within those permits. The contractor will be subject to penalties, fines, and the risk of shut down as mandated by laws governing permitting agencies if such conditions and requirements are violated or ignored. Therefore, all special conditions, general requirements, and/or other required provisions specified within the permits must be followed. Those obligations are indicated or listed within the permit package, which can be obtained from the DelDOT Contract Administration Office.

Additional requirements by DelDOT not specified within the permits, but listed below, are also the responsibility of the contractor. Noncompliance with these requirements may result in shut down of the project at the contractor’s expense.

1. The contractor shall employ measures during construction to prevent spills of fuels or lubricants. If a spill should occur, efforts shall be undertaken to prevent its entry into wetlands, aquatic, or drainage areas. Any spills entering wetlands, aquatic, or drainage areas shall be removed immediately. The Division of Water Resources (DNREC), Wetlands & Aquatic Protection Branch, 302-739-4691, shall be notified of any spill(s) within six (6) hours of their occurrence. That office will determine the effectiveness of spill and contamination removal and specify remediation efforts as necessary.

2. All construction debris, excavated material, brush, rocks, and refuse incidental to the work shall be placed either on shore above the influence of flood waters or on some suitable disposal site approved by the department.

3. The disposal of trees, brush, and other debris in any stream corridor, wetland surface water or any drainage ditch is prohibited.

4. There shall be no stockpiling of construction materials or temporary fills in wetlands or subaqueous lands unless otherwise specified on project plans and approved by permitting agencies that govern them. It is the contractor’s responsibility to coordinate and secure those additional permits/amendments in deviating from the plan.

5. Construction debris shall be kept from entering adjacent waterways, wetlands, ground cover, or drainage areas. Any debris that enters these areas shall be removed immediately. Netting, mats, or establishing confined work areas in stages may be necessary to address these issues.

6. Refuse material resulting from routine maintenance of worker equipment and heavy machinery is prohibited from being disposed or deposited onto or into the ground. All used oils and filters must be recycled or disposed of properly.
7. Use of harmful chemical wash water to clean equipment or machinery is discouraged. If undertaken, the residue water and/or material must be collected or contained such that it will be disposed of properly. It shall not be deposited or disposed of in waterways, streams, wetlands, or drainage areas.

8. The contractor shall follow all requirements as indicated in the Environmental Compliance Sheet. It is be the contractor’s responsibility to ensure that workers also follow this requirement. As part of the restrictions, please note the timetables reflected in the contract for the in-stream/water work for endangered species protection.

9. Fill material shall be free of oil and grease, debris, wood, general refuse, plaster and other pollutants, and shall contain no broken asphalt.

ENVIRONMENTAL COMPLIANCE SHEET:

The contractor shall pay special attention to specific construction requirements as indicated in the US Army Corps of Engineer and DNREC Subaqueous Lands Permit as well as the Environmental Compliance (EC) Note and Plans (pages 37).

1. Specifically, please note the environmental requirements as indicated in the following notes:
   - **Natural Resource Issues** – See EC note 2.
   - **Cultural Resources** – See EC note 3. Reference project notes no. 22, 23, 24, 26, 27, and 28.
   - **Stream Restoration and Slope Riprap Treatments** – See EC note 4.
   - **Protection of Resources** – See EC note 5 and EC note 8.
     - Please also see EC notes 7-9 for more guidance.
     - Notify DelDOT’s Roadside Environmental Administrator at least two days prior to pruning trees or shrubs.
     - Do not disturb vegetation on the south bank of Beaverdam Creek downstream of BR 3-645.
     - Only alternate silt fence shall be used for stream diversion on the downstream side of BR 3-645.
   - **Planting Guidance** – See EC note 6.

2. DelDOT Environmental Studies Section (302) 760-2264 must be notified if there are any changes to the project methods, footprint, materials, or designs, to allow the Department to coordinate with the appropriate resource agencies (COE, DNREC, and SHPO), for approval.
RAILROAD STATEMENT

For

State Contract No.: T201100901
Federal Aid No.: 
Project Title: HSIP SC, US 9 and SR 5 Intersection Improvements

The following railroad companies maintain facilities within the contract limits:

☐ Amtrak  ☐ Maryland & Delaware
☐ CSX  ☐ Norfolk Southern
☐ Delaware Coast Line  ☐ Wilmington & Western
☐ East Penn  ☑ None

DOT Inventory No.: __________  No. Trains/Day: ________  Passenger Trains (Y / N): ____

In accordance with 23 CFR 635, herein is the railroad statement of coordination (check one):

☑ No Railroad involvement.

☐ Railroad Agreement unnecessary but railroad flagging required. The contractor shall follow requirements stated in the DelDOT Maintenance of Railroad Traffic Item in the Special Provisions. Contractor shall coordinate railroad flagging with DelDOT’s Railroad Program Manager at (302) 760-2183.

☐ Railroad Agreement required. The necessary railroad agreement, attached, is complete and fully executed. Railroad related work to be undertaken and completed as required for proper coordination with physical construction schedules. The Contractor shall follow requirements stated in the DelDOT Maintenance of Railroad Traffic Item in the Special Provisions. Contractor shall coordinate railroad flagging with DelDOT’s Railroad Program Manager at (302) 760-2183.

Approved As To Form:

Robert A. Perrifte
DelDOT Railroad Program Manager

10 August, 2016
DATE
BID PROPOSAL FORMS

CONTRACT  T201100901.01

FEDERAL AID PROJECT  STP-S018(11)

UNLESS OTHERWISE DIRECTED, SUBMIT ALL FOLLOWING PAGES TO:

DEPARTMENT OF TRANSPORTATION
BIDDERS ROOM (B1.11.01)
800 BAY ROAD
DOVER, DELAWARE 19901

Identify the following on the outside of the sealed envelope:

- Contract Number T201100901.01
- Name of Contractor
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<th>ITEM DESCRIPTION</th>
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SECTION 0001  BRIDGE

<p>| 0010 | EXCAVATION              | CY 122.000 |          |
| 0020 | EXCAVATION              | CY 1035.000|          |
| 0030 | BACKFILL, (BORROW TYPE C) | CY 155.000 |          |
| 0040 | BORROW, TYPE B          | CY 32.000   |          |
| 0050 | DELAWARE NO. 3          | TON 80.000  |          |
| 0060 | DELAWARE NO. 57         | TON 600.000 |          |
| 0070 | CONCRETE MASONRY, PARAPET, CLASS A | CY 18.000 |          |
| 0080 | BAR REINFORCEMENT, EPOXY COATED | LB 3400.000 |          |
| 0090 | BOX CULVERT             | CY 194.000 |          |</p>
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CONTRACT ID: T201100901.01  PROJECT(S): STP-S018(11)

All figures must be typewritten.

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<th>BID AMOUNT</th>
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<td>LUMP</td>
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</tr>
</tbody>
</table>

SECTION 0001 TOTAL

SECTION 0002 FIXED QUANTITY

| 0220    | EXCAVATION AND EMBANKMENT | 6240.000 | CY |

SECTION 0002 TOTAL

SECTION 0003 ROADWAY

| 0230    | CLEARING AND GRUBBING    | LUMP     | LUMP |
| 0240    | UNDERCUT EXCAVATION      | 689.000  | CY  |
| 0250    | TEST HOLE                | 45.000   | CY  |
| 0260    | BORROW, TYPE A           | 1143.000 | CY  |
## SCHEDULE OF ITEMS

**CONTRACT ID:** T201100901.01  
**PROJECT(S):** STP-S018(11)

All figures must be typewritten.

**CONTRACTOR:**

<table>
<thead>
<tr>
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<th>ITEM DESCRIPTION</th>
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## DELAWARE DEPARTMENT OF TRANSPORTATION

**SCHEDULE OF ITEMS**

**CONTRACT ID:** T201100901.01  **PROJECT(S):** STP-S018(11)

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<td>4.000 EACH</td>
<td></td>
<td></td>
</tr>
<tr>
<td>831002</td>
<td>INSTALL UP TO 4&quot; SCHEDULE 80 HDPE CONDUIT (BORE)</td>
<td>1712.000 LF</td>
<td></td>
<td></td>
</tr>
<tr>
<td>831004</td>
<td>INSTALL UP TO 4&quot; SCHEDULE 80 PVC CONDUIT (TRENCH)</td>
<td>3665.000 LF</td>
<td></td>
<td></td>
</tr>
<tr>
<td>831006</td>
<td>INSTALL UP TO 4&quot; GALVANIZED STEEL CONDUIT (TRENCH)</td>
<td>10.000 LF</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
CONTRACT ID: T201100901.01     PROJECT(S): STP-S018(11)

All figures must be typewritten.

CONTRACTOR :________________________________________________________________

<table>
<thead>
<tr>
<th>LINE NO</th>
<th>ITEM DESCRIPTION</th>
<th>APPROX. QUANTITY</th>
<th>UNIT PRICE</th>
<th>BID AMOUNT</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>AND UNITS</td>
<td>DOLLARS</td>
<td>CTS</td>
</tr>
<tr>
<td>---------</td>
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<td>------------</td>
<td>---------</td>
<td>-----</td>
</tr>
<tr>
<td>832008</td>
<td>FURNISH AND</td>
<td>INSTALL 1-CONDUCTOR #6</td>
<td>11210.000</td>
<td>LF</td>
</tr>
<tr>
<td>832028</td>
<td>FURNISH AND</td>
<td>INSTALL #6 BARE STRANDED COPPER, TYPE USE-2</td>
<td>3842.000</td>
<td>LF</td>
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<tr>
<td>834003</td>
<td>POLE BASE, TYPE</td>
<td>3B</td>
<td>4.000</td>
<td>EACH</td>
</tr>
<tr>
<td>834005</td>
<td>POLE BASE, TYPE</td>
<td>4A</td>
<td>4.000</td>
<td>EACH</td>
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<tr>
<td>834007</td>
<td>POLE BASE EXTENSION</td>
<td></td>
<td>252.000</td>
<td>CF</td>
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<tr>
<td>835002</td>
<td>CABINET BASE TYPE</td>
<td>M</td>
<td>1.000</td>
<td>EACH</td>
</tr>
<tr>
<td>835003</td>
<td>CABINET BASE TYPE</td>
<td>P</td>
<td>1.000</td>
<td>EACH</td>
</tr>
<tr>
<td>835004</td>
<td>CABINET BASE TYPE</td>
<td>R</td>
<td>1.000</td>
<td>EACH</td>
</tr>
<tr>
<td>836001</td>
<td>INSTALLATION OF STEEL POLE (EQUAL TO OR GREATER THAN 17 FEET AND LESS THAN 40 FEET)</td>
<td>4.000</td>
<td>EACH</td>
<td></td>
</tr>
<tr>
<td>842006</td>
<td>FURNISH AND</td>
<td>INSTALL EMBEDDED METERED SERVICE PEDESTAL (100 AMP)</td>
<td>1.000</td>
<td>EACH</td>
</tr>
</tbody>
</table>

CANNOT BE USED FOR BIDDING
## Schedule of Items

**Contract ID:** T201100901.01  
**Project(s):** STP-S018(11)

All figures must be typewritten.

<table>
<thead>
<tr>
<th>LINE NO.</th>
<th>ITEM DESCRIPTION</th>
<th>APPROX. QUANTITY AND UNITS</th>
<th>UNIT PRICE DOLLARS</th>
<th>CTS</th>
<th>BID AMOUNT DOLLARS</th>
<th>CTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>846001</td>
<td>FURNISH AND INSTALL LOOP WIRE</td>
<td>900.000 LF</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1230</td>
<td>INSTALL LOOP WIRE</td>
<td>900.000 LF</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1240</td>
<td>INSTALL LOOP WIRE</td>
<td>1.000 EACH</td>
<td>120/240;100 AMP</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1250</td>
<td>LIGHTING CONTROL AND DISTRIBUTION ENCLOSURE</td>
<td>23.000 EACH</td>
<td>160 WATTS</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1260</td>
<td>ALUMINUM LIGHTING STANDARD WITH SINGLE DAVIT ARM, 30' POLE</td>
<td>23.000 EACH</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>1270</td>
<td>SILT FENCE</td>
<td>3554.000 LF</td>
<td></td>
<td></td>
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<tr>
<td>1280</td>
<td>INLET SEDIMENT CONTROL, DRAINAGE INLET</td>
<td>10.000 EACH</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>1290</td>
<td>INLET SEDIMENT CONTROL, CURB INLET</td>
<td>27.000 EACH</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1300</td>
<td>COMPOST FILTER LOGS</td>
<td>60.000 LF</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1310</td>
<td>TOPSOIL, 6&quot; DEPTH</td>
<td>7812.000 SY</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Notes:**
- All figures must be typewritten.
- Cannot be used for bidding.
CONTRACT ID: T201100901.01      PROJECT(S): STP-S018(11)

All figures must be typewritten.

CONTRACTOR :

<table>
<thead>
<tr>
<th>LINE NO</th>
<th>ITEM DESCRIPTION</th>
<th>APPROX. QUANTITY AND UNITS</th>
<th>UNIT PRICE</th>
<th>BID AMOUNT</th>
</tr>
</thead>
<tbody>
<tr>
<td>908014</td>
<td>PERMANENT GRASS SEEDING, DRY GROUND</td>
<td>23436.000 SY</td>
<td></td>
<td></td>
</tr>
<tr>
<td>908017</td>
<td>TEMPORARY GRASS SEEDING</td>
<td>23436.000 SY</td>
<td></td>
<td></td>
</tr>
<tr>
<td>908020</td>
<td>EROSION CONTROL BLANKET MULCH</td>
<td>480.000 SY</td>
<td></td>
<td></td>
</tr>
<tr>
<td>908023</td>
<td>STABILIZED CONSTRUCTION ENTRANCE</td>
<td>100.000 TON</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

SECTION 0003 TOTAL

TOTAL BID

---

CANNOT BE USED FOR BIDDING
AFFIDAVIT

OF

EMPLOYEE DRUG TESTING PROGRAM

4104 Regulations for the Drug Testing of Contractor and Subcontractor Employees Working on Large Public Works Projects requires that Contractors and Subcontractors implement a program of mandatory drug testing for Employees who work on Large Public Works Contracts funded all or in part with public funds.

We hereby certify that we have in place or will implement during the entire term of the contract a Mandatory Drug Testing Program for our employees on the jobsite, including subcontractors, that complies with this regulation:

Contractor Name: __________________________________________
Contractor Address: _________________________________________

________________________________________
Authorized Representative (typed or printed):

________________________________________
Authorized Representative (signature):

Title: __________________________________________

Sworn to and Subscribed before me this _____________ day of ______________________ 20____.

My Commission expires ___________________.  NOTARY PUBLIC __________________________.

THIS PAGE MUST BE SIGNED, NOTARIZED, AND RETURNED WITH YOUR BID.

(This form is required from the prime contractor only, not required from subcontractors)

CA 03/2018
BID REQUIREMENTS AND INSTRUCTIONS FOR
DELDOT DBE GOOD FAITH EFFORT FORM

ATTENTION: APPARENT LOW BIDDER!

CRITICAL DBE REQUIREMENTS
Failure of the apparent low bidder to present originals of all DBE subcontracts to substantiate the volume of work to be performed by DBE's as indicated in the bid within five (5) calendar days after the bid opening shall create a rebuttable presumption that the bid is not responsive.

WITHIN FIVE (5) CALENDAR DAYS AFTER BID OPENING THE APPARENT LOW BIDDER MUST:
FURNISH THE FOLLOWING INFORMATION ACCORDING TO PARAGRAPH A OR PARAGRAPH B BELOW;

**Paragraph A:** within five (5) Calendar Days after bid opening the Department must receive:

1. An original of each and every DBE subcontract which must include all subcontractor information requirements contained in the contract specifications. Each subcontract agreement must refer to and contain a printed version of form FHWA-1273 - Revised May 1, 2012 available here: http://www.fhwa.dot.gov/programadmin/contracts/1273/1273.pdf

2. A description of work each DBE is to perform;

3. The dollar value of each item of work to be completed by the DBE subcontractor by both bid price and subcontract price;

4. A copy of each subcontractor's Delaware Business License.

**OR:**

**Paragraph B:** within five (5) Calendar Days after bid opening the Department must receive:

1. A completed original of the attached 'DELDOT DBE GOOD FAITH EFFORT FORM', and

2. Within fifteen (15) Calendar Days after bid opening, all items from Paragraph A above.

All documents are to be furnished to: DBE Program Manager - GFE
Department of Transportation
800 Bay Road
Dover, DE 19901
IF A BIDDER FAILS TO RETURN THIS FORM WITHIN THE FIVE (5) DAY DEADLINE, THE DELAWARE DEPARTMENT OF TRANSPORTATION MAY DETERMINE THAT THE BIDDER IS NOT RESPONSIBLE AND THEREFORE NOT ELIGIBLE FOR CONTRACT AWARD. PLEASE SUBMIT ONE FORM FOR EACH CERTIFIED DBE FIRM. BIDDERS ARE ENCOURAGED TO SUBMIT THIS FORM PRIOR TO THE FIVE (5) DAY DEADLINE.

In conjunction with its bid for the above Contract No., Bidder (Prime Contractor) will enter into a subcontract with ____________________________ (the Subcontractor) committing to participation by the DBE firm ____________________________ (DBE Participant) with DelDOT Certification Number ______ (if Subcontractor previously listed is also the DBE Participant, please restate name and provide DBE Certification Number) which will receive at least $_____________ (Total Subcontract Amount/Percentage) for performing the following products/services for the Contract.

<table>
<thead>
<tr>
<th>NAICS CODE</th>
<th>WORK ITEM, SPECIFICATION NUMBER, LINE ITEMS OR WORK CATEGORIES (IF APPLICABLE)</th>
<th>DESCRIPTION OF SPECIFIC PRODUCTS AND/OR SERVICES</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>

Prime Contractor affirmation: I solemnly affirm under the penalties of perjury that the information provided in this DBE Subcontractor Project Participation Affidavit is true to the best of my knowledge, information, and belief. I acknowledge that, for purposes of determining the accuracy of the information provided herein, the DBE Program Office may request additional information, including, without limitation, copies of the subcontract agreements and quotes.

Subcontractor affirmation: I solemnly affirm under the penalties of perjury that the information provided in this DBE Subcontractor Project Participation Affidavit is true to the best of my knowledge, information, and belief. I acknowledge that, for purposes of determining the accuracy of the information provided herein, the DBE Program Office may request additional information, including, without limitation, copies of the subcontract agreements and quotes.

DBE Participant affirmation: I solemnly affirm under the penalties of perjury that the information provided in this DBE Subcontractor Project Participation Affidavit is true to the best of my knowledge, information, and belief. I acknowledge that, for purposes of determining the accuracy of the information provided herein, the DBE Program Office may request additional information, including, without limitation, copies of the subcontract agreements and quotes. I further acknowledge that my DBE firm is participating in the contract for the kind and amount of work provided in the Prime Contractor or Subcontractor’s commitment.
### PRIME CONTRACTOR

<table>
<thead>
<tr>
<th>Signature of Representative</th>
<th>Printed Name and Title:</th>
<th>Firm’s Name</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Federal Identification Number:</th>
<th>Address:</th>
<th>Telephone:</th>
</tr>
</thead>
</table>

| Date:                            |                        |                        |

**Notary**

---

### SUBCONTRACTOR (SECOND-TIER)

<table>
<thead>
<tr>
<th>Signature of Representative</th>
<th>Printed Name and Title:</th>
<th>Firm’s Name</th>
</tr>
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</table>

<table>
<thead>
<tr>
<th>Federal Identification Number:</th>
<th>Address:</th>
<th>Telephone:</th>
</tr>
</thead>
</table>

| Date:                            |                        |                        |

---

### SUBCONTRACTOR (THIRD-TIER)

<table>
<thead>
<tr>
<th>Signature of Representative</th>
<th>Printed Name and Title:</th>
<th>Firm’s Name</th>
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</thead>
</table>

<table>
<thead>
<tr>
<th>Federal Identification Number:</th>
<th>Address:</th>
<th>Telephone:</th>
</tr>
</thead>
</table>

| Date:                            |                        |                        |

---

**IF DBE FIRM IS A THIRD-TIER SUBCONTRACTOR, THIS FORM MUST ALSO BE EXECUTED BY THE SECOND-TIER SUBCONTRACTOR THAT HAS THE SUBCONTRACT AGREEMENT WITH THE DBE FIRM**

**IF YOU ARE USING THIS FORM FOR YOUR GOOD FAITH EFFORT, THE SIGNED SUBCONTRACT AGREEMENTS MUST BE RECEIVED BY THE DBE PROGRAM OFFICE WITHIN FIFTEEN (15) CALENDAR DAYS FROM THE DATE OF THE BID OPENING.**

---

As the apparent low bidder, to be considered for the award this form must be furnished within five (5) Calendar Days after the bid opening to:

**DBE Program Manager - GFE**

Department of Transportation, 800 Bay Road, Dover, DE 19901

---

GFE form page 2 of 2
CERTIFICATION
Contract No. T201100901.01
Federal Aid Project No. STP-S018(11)

The undersigned bidder, ________________________________
whose address is _______________________________________
and telephone number is ______________________ hereby certifies the following:

I/We have carefully examined the location of the proposed work, the proposed plans and specifications, and will be bound, upon award of this contract by the Department of Transportation, to execute in accordance with such award, a contract with necessary surety bond, of which contract this proposal and said plans and specifications shall be a part, to provide all necessary machinery, tools, labor and other means of construction, and to do all the work and to furnish all the materials necessary to perform and complete the said contract within the time and as required in accordance with the requirements of the Department of Transportation, and at the unit prices for the various items as listed on the preceding pages.

Bidder's Certification Statement [US DOT Suspension and Debarment Regulation (49 CFR 29)]:

NOTICE: All contractors who hold prime contracts (Federal Aid) with DelDOT are advised that the prime contractor and subcontractors are required to submit to DelDOT a signed and notary attested copy of the Bidder Certification Statement for each and every subcontract that will be utilized by the prime contractor. This Certification must be filed with DelDOT prior to written approval being granted for each and every subcontractor. Copies of the Certification Form are available from the appropriate District Construction Office.

Under penalty of perjury under the laws of the United States, that I/We, or any person associated therewith in the capacity of (owner, partner, director, officer, principal, investigator, project director, manager, auditor, or any position involving the administration federal funds):

a. am/are not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

b. have not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

c. do not have a proposed debarment pending; and,

d. have not been indicted, convicted, or had a civil judgement rendered against (it) by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted, indicate below to whom it applies, initiating agency, and dates of action. Providing false information may result in criminal prosecution or administrative sanctions.

(Insert Exceptions)

DBE Program Assurance:

NOTICE: In accordance with 49 CFR Part 26 the undersigned, a legally authorized representative of the bidder listed below, must complete this assurance.

By its signature affixed hereto, assures the Department that it will attain DBE participation as indicated:

Disadvantaged Business Enterprise _______ percent (blank to be filled in by bidder)
The foregoing quantities are considered to be approximate only and are given as the basis for comparison of bids. The Department of Transportation may increase or decrease the amount of any item or portion of the work as may be deemed necessary or expedient. Any such increase or decrease in the quantity for any item will not be regarded as a sufficient ground for an increase or decrease in the unit prices, nor in the time allowed for the completion of the work, except as provided in the contract.

Accompanying this proposal is a surety bond or a security of the bidder assigned to the Department of Transportation, for at least ten (10) percentum of total amount of the proposal, which deposit is to be forfeited as liquidated damages in case this proposal is accepted, and the undersigned shall fail to execute a contract with necessary bond, when required, for the performance of said contract with the Department of Transportation, under the conditions of this proposal, within twenty (20) days after date of official notice of the award of the contract as provided in the requirement and specifications hereto attached; otherwise said deposit is to be returned to the undersigned.

By submission of this proposal, each person signing on behalf of the bidder, certifies as to its own organization, under penalty of perjury, that to the best of each signer’s knowledge and belief:

1. The prices in this proposal have been arrived at independently without collusion, consultation, communication, or Agreement with any other bidder or with any competitor for the purpose of restricting competition.

2. Unless required by law, the prices which have been quoted in this proposal have not been knowingly disclosed and will not knowingly be disclosed by the bidder, directly or indirectly, to any other bidder or competitor prior to the opening of proposals.

3. No attempt has been made or will be made by the bidder to induce any other person, partnership, or corporation to submit or not to submit a proposal for the purpose of restricting competition.

I/We acknowledge receipt and incorporation of addenda to this proposal as follows:

<table>
<thead>
<tr>
<th>No.</th>
<th>Date</th>
<th>No.</th>
<th>Date</th>
<th>No.</th>
<th>Date</th>
<th>No.</th>
<th>Date</th>
</tr>
</thead>
</table>

**BIDDERS MUST ACKNOWLEDGE RECEIPT OF ALL ADDENDA**

**MUST INSERT DATE OF FINAL QUESTIONS AND ANSWERS ON WEBSITE: **

Sealed and dated this _____ day of __________ in the year of our Lord two thousand __________ (20__).

________________________________________
Name of Bidder (Organization)

Corporate Seal

By: _____________________________________

Authorized Signature

Attest ____________________________________

Title

SWORN TO AND SUBSCRIBED BEFORE ME this ____ day of ____________, 20__.

________________________________________
Notary

CANNOT BE USED FOR BIDDING
BID BOND

TO ACCOMPANY PROPOSAL
(Not necessary if security is used)

KNOW ALL MEN BY THESE PRESENTS That:

of __________________________ in the County of ___________ and State of _______________ as
Principal, and __________________________ of __________________________ in the County of
________________________ and State of ___________ as Surety, legally authorized to do business in the State of
Delaware ("State"), are held and firmly unto the State in the sum of __________________________
________________________ Dollars ($_______), or _____ percent not to exceed __________________________
Dollars ($_________), of amount of bid on
Contract No. T201100901.01, to be paid to the State for the use and benefit of its Department of
Transportation ("DelDOT") for which payment well and truly to be made, we do bind ourselves, our and
each of our heirs, executors, administrators, and successors, jointly and severally for and in the whole
firmly by these presents.

NOW THE CONDITION OF THIS OBLIGATION IS SUCH That if the above bounden Principal
who has submitted to the DelDOT a certain proposal to enter into this contract for the furnishing of
certain materiel and/or services within the State, shall be awarded this Contract, and if said Principal
shall well and truly enter into and execute this Contract as may be required by the terms of this Contract
and approved by the DelDOT, this Contract to be entered into within twenty days after the date of official
notice of the award thereof in accordance with the terms of said proposal, then this obligation shall be
void or else to be and remain in full force and virtue.

Sealed with _____________ seal and dated this _____ day of ______________ in the year of our Lord
two thousand and ____________ ( 20__ ).

SEALED, AND DELIVERED IN THE
presence of

________________________________________
Name of Bidder (Organization)

________________________________________
Corporate
Seal

By: ________________________________
Authorized Signature

________________________________________
Attest

________________________________________
Title

________________________________________
Name of Surety

Witness: ______________________________

By: ________________________________

________________________________________
Title

CANNOT BE USED FOR BIDDING