## STATE OF DELAWARE

This Copy is for information only. You must request a CD from DelDOT in order to bid.



## DEPARTMENT OF TRANSPORTATION

## **BID PROPOSAL**

# for CONTRACT T201407004.01

FEDERAL AID PROJECT NO. ESTP-2014(21)

## CANTILEVER AND OVERHEAD SIGN STRUCTURES, OPEN END, FY16-18

NEW CASTLE COUNTY

ADVERTISEMENT DATE: September 28, 2015

COMPLETION TIME: 1,095 Calendar Days

SPECIFICATIONS FOR ROAD AND BRIDGE CONSTRUCTION DELAWARE DEPARTMENT OF TRANSPORTATION AUGUST 2001

Bids will be received in the Bidder's Room at the Delaware Department of Transportation's Administration Building, 800 Bay Road, Dover, Delaware until 2:00 P.M. local time <u>October 27, 2015</u>

## Contract No.T201407004.01 Federal Aid Project No. ESTP-2014(21)

# CANTILEVER AND OVERHEAD SIGN STRUCTURES, OPEN END, FY16-18 NEW CASTLE COUNTY

## **GENERAL DESCRIPTION**

## LOCATION

These improvements are located in NEW CASTLE County more specifically shown on the Location Map(s) of the enclosed Plans.

## **DESCRIPTION**

The improvements consist of furnishing all labor and materials for this project, which involves the removal and replacement of Cantilever and Overhead Sign Structures along the I-95 and I-495 Corridors and associated ramps, including the foundations. The contract is a three-year open-end contract, which will allow additional locations to be added over the three-year period as issues are identified, and other incidental construction in accordance with the location, notes and details shown on the plans and as directed by the Engineer.

## **COMPLETION TIME**

All work on this contract must be complete within  $\underline{1,095}$  Calendar Days. It is the Department's intent to issue a Notice to Proceed such that work starts on or about January 4, 2016.

## PROSPECTIVE BIDDERS NOTES:

- 1. BIDDERS MUST BE REGISTERED with DelDOT and request a cd of the official plans and specifications in order to submit a bid. Contact DelDOT at dot-ask@state.de.us, or (302) 760-2031.
- 2. QUESTIONS regarding this project are to be e-mailed to <u>dot-ask@state.de.us</u> no less than six business days prior to the proposal opening date in order to receive a response. Please include T201407004.01 in the subject line. Responses to inquiries are posted on-line at <a href="http://www.bids.delaware.gov">http://www.bids.delaware.gov</a>.
- 3. This project incorporates the electronic bidding system **Expedite**, **version 5.9a**. Bidders wishing to use the electronic bidding option will find the installation file on the plan holders bid file disk. The installation file and instructions are also available on DelDOT's Website at: <a href="http://www.deldot.gov/information/business/bids/const">http://www.deldot.gov/information/business/bids/const</a> proj bid info.shtml.
- 4. Each proposal must be accompanied by a deposit of either surety bond or security for a sum equal to at least 10% of the bid.
- 5. No retainage will be withheld on this contract.
- 6. The Department's External Complaint Procedure can be viewed on DelDOT's Website at; <a href="http://www.deldot.gov/information/business/">http://www.deldot.gov/information/business/</a>, or you may request a copy by calling (302) 760-2555.
- 7. **SPECIFICATIONS**: New Supplemental Specifications to the August 2001 Standard Specifications were issued November 24, 2014 and apply to this project. They can be <u>viewed here</u>. The Department is currently updating the August 2001 Specifications for Road and Bridge Construction. Through this update, some Divisions were renumbered and some new ones were created and added. The **Specifications Note** document is for the use by the bidders to reference the new numbers to the past numbers used for bidding purposes on previous Department contracts.
- 8. **PLEASE NOTE** the requirements of special provision 'Changes to Project Documents During Advertisement' have moved to Supplemental Specifications, the special provision is no longer needed.
- 9. **PLEASE NOTE** federal requirements for the DBE program under 49CFR §26.53(b)(3)(i)(B) have changed effective November 3, 2014. Submission of DBE participation information is now required from the lowest apparent bidder no later than seven (7) days after bid opening (formerly 10 days).
- 10. **BREAKOUT SHEETS** MUST be submitted either with your bid documents; or within seven (7) calendar days following the bid due date by the lowest apparent bidder. Refer to instructions adjacent to the Breakout Sheets in this document.

# Contract No.T201407004.01 CONSTRUCTION ITEMS UNITS OF MEASURE

English Code	English Description	Multiply By	Metric Code	Metric Description	Suggested CEC Metric Code
ACRE	Acre	0.4047	ha	Hectare	HECTARE
BAG	Bag	N/A	Bag	Bag	BAG
C.F.	Cubic Foot	0.02832	m³	Cubic Meter	M3
C.Y.	Cubic Yard	0.7646	m³	Cubic Meter	M3
EA-DY	Each Day	N/A	EA-DY	Each Day	EA-DY
EA-MO	Each Month	N/A	EA-MO	Each Month	EA-MO
EA/NT	Each Night	N/A	EA-NT	Each Night	EA/NT
EACH	Each	N/A	EA	Each	EACH
GAL	Gallon	3.785	L	Liter	L
HOUR	Hour	N/A	h	Hour	HOUR
INCH	Inch	25.4	mm	Millimeter	MM
L.F.	Linear Foot	0.3048	m	Linear Meter	L.M.
L.S.	Lump Sum	N/A	L.S.	Lump Sum	L.S.
LA-MI	Lane Mile	1.609	LA-km	Lane-Kilometer	LA-KM
LB	Pound	0.4536	kg	Kilogram	KG
MFBM	Thousand Feet of Board Measure	2.3597	m³	Cubic Meter	М3
MGAL	Thousand Gallons	3.785	kL	Kiloliter	KL
MILE	Mile	1.609	km	Kilometer	KM
S.F.	Square Foot	0.0929	m <sup>2</sup>	Square Meter	M2
S.Y.	Square Yard	0.8361	m²	Square Meter	M2
SY-IN	Square Yard-Inch	0.8495	m²-25 mm	Square Meter-25 Millimeter	M2-25 MM
TON	Ton	.9072	t	Metric Ton (1000kg)	TON
N.A.*	Kip	4.448	kN	Kilonewton	N.A.*
N.A.*	Thousand Pounds per Square Inch	6.895	MPa	Megapascal	N.A.*

<sup>\*</sup>Not used for units of measurement for payment.

## Contract No. T201407004.01

## TABLE OF CONTENTS

GENERAL DESCRIPTION	
LOCATION	
DESCRIPTION	
COMPLETION TIME	1
PROSPECTIVE BIDDERS NOTES	
CONSTRUCTION HEMIS UNITS OF MEASURE	. 11
GENERAL NOTICES	1
SPECIFICATIONS.	• 🖠
CLARIFICATIONS	
ATTESTING TO NON-COLLUSION.	$\frac{1}{1}$
QUANTITIES	. 1
QUANTITIESPREFERENCE FOR DELAWARE LABOREQUALITY OF EMPLOYMENT OPPORTUNITY ON PUBLIC WORKS	. 1
EQUALITY OF EMPLOYMENT OPPORTUNITY ON PUBLIC WORKS	. 1
TÀX CLEARANCE	
LICENSE	. 2
DIFFERING SITE CONDITIONS.	. $\frac{2}{3}$
CONFLICT WITH FEDERAL STATUTES OR REGULATIONS.  FEDERAL LABOR AND EMPLOYMENT REQUIREMENTS.	. $\frac{3}{2}$
CONVICT PRODUCED MATERIALS.	. 3
CONVICT PRODUCED MATERIALS:	. 3
NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION	. =
STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY	
TRAINING SPECIAL PROVISIONS	. 5
INTERMODAL SURFACE TRANSPORTATION EFFICIENCY ACT	10
DISADVANTAGED BUSINESS ENTERPRISE (DBE) PROGRAM SPECIFICATION	
CRITICAL DBE REQUIREMENTS	12
GUIDANCE FOR GOOD FAITH EFFORT	13
<b>REQUIRED CONTRACT PROVISIONS</b> - FEDERAL-AID CONSTRUCTION CONTRACTS	
I. GENERAL	15
II. NONDISCRIMINATION.	15
III. NONSEGREGATED FACILITIES	19
V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT	2/
VI SURI ETTING OR A SSIGNING THE CONTRACT	$\frac{27}{24}$
VI. SUBLETTING OR ASSIGNING THE CONTRACT. VII. SAFETY: ACCIDENT PREVENTION.	$\frac{27}{25}$
VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS.	$\frac{25}{26}$
IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION	
CONTROL ACTX. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY	26
X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY	26
XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING	29
APPENDICES TO THE TITLE VI ASSURANCE	<u>30</u>
DDEVIAH ING WACEG	20
PREVAILING WAGES	32
PREVAILING WAGE REQUIREMENTS	32
APPLICABILITY OF DAVIS-BACON LABOR STANDARD PROVISIONS TO FLAGGERS  ALL AGENCY MEMORANDUM NO. 130	36
ALL AGENCT MEMORANDOM NO. 150	<u> </u>
SUPPLEMENTAL SPECIFICATIONS.	38
	<u> </u>
SPECIAL PROVISIONS	39
CONSTRUCTION ITEM NUMBERS.	40
CONSTRUCTION ITEM NUMBERS. 401502 - ASPHALT CEMENT COST ADJUSTMENT.	41
202560 - CONTAMINATED MATERIAL	42
211523 – REMOVAL OF P.C.C. BARRIER	44
602579 - DRILLING HOLES AND INSTALLING DOWELS.	45
605761 - STEEL SIGN STRUCTURES, TUBULAR ARCH, CANTILEVER	46
605762 - STEEL SIGN STRUCTURES, TUBULAR ARCH, OVERHEAD	46

## Contract No. T201407004.01

618537 - DRILLED SHAFT, 54"
618538 - DRILLED SHAFT, 60"
618539 - DRILLED SHAFT ROCK SOCKET, 48"
720529 - P.C.C. SAFETY BARRIER PERMANENT, SINGLE FACE
720653 – P.C.C. SAFETY BARRIER PERMANENT, SINGLE FACE, MODIFIED
720517 - IMPACT ATTENUATOR, TYPE I
720517 - IMPACT ATTENUATOR, TYPE I
727547- REMOVAL OF FENCE
727547- REMOVAL OF FENCE
748548 - PERMANENT PAVEMENT STRIPING, EPOXY RESIN PAINT, WHITE/YELLOW, 5"
748549 -PERMANENT PAVEMENT STRIPING, EPOXY RESIN PAINT, WHITE/YELLOW, 10
748557 - PERMANENT PAVEMENT STRIPING, EPOXY RESIN PAINT, BLACK, 3"
748564 - RETROREFLECTIVE PREFORMED PATTERNED MARKINGS, 5"
748565 - RETROREFLECTIVE PREFORMED PATTERNED MARKINGS, 10"
748566 - RETROREFLECTIVE PREFORMED PATTERNED CONTRAST MARKINGS, 8" 84
748567 - RETROREFLECTIVE PREFORMED PATTERNED CONTRAST MARKINGS, 13" 84
748530 - REMOVAL OF PAVEMENT STRIPING89
$749500 - SIGN PANEL \dots 900$
749502 - SIGN PANEL OVERLAYS ON EXISTING SIGN
763501 - CONSTRUCTION ENGINEERING
763612 – JOB ORDER MOBILIZATION, NORTH DISTRICT
<u></u>
UTILITY STATEMENT
RIGHT OF WAY CERTIFICATE
ENVIRONMENTAL STATEMENT
RAILROAD STATEMENT
BID PROPOSAL FORMS
BREAKOUT SHEET
<b>CERTIFICATION</b>
BID BOND

### **GENERAL NOTICES**

## **SPECIFICATIONS:**

The specifications entitled "Delaware Standard Specifications for Road and Bridge Construction, August, 2001", hereinafter referred to as the Standard Specifications; Supplemental Standard Specifications; the Special Provisions; notes on the Plans; this Bid Proposal; and any addenda thereto, shall govern the work to be performed under this contract.

## **CLARIFICATIONS:**

Under any Section or Item included in the Contract, the Contractor shall be aware that when requirements, responsibilities, and furnishing of materials are outlined in the details and notes on the Plans and in the paragraphs preceding the "Basis of Payment" paragraph in the Standard Specifications or Special Provisions, no interpretation shall be made that such stipulations are excluded because reiteration is not made in the "Basis of Payment" paragraph.

## ATTESTING TO NON-COLLUSION:

The Department requires as a condition precedent to acceptance of bids a sworn statement executed by, or on behalf of, the person, firm, association, or corporation to whom such contract is to be awarded, certifying that such person, firm, association, or corporation has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with such contract. The form for this sworn statement is included in the proposal and must be properly executed in order to have the bid considered.

## **QUANTITIES:**

The quantities shown are for comparison of bids only. The Department may increase or decrease any quantity or quantities without penalty or change in the bid price.

## PREFERENCE FOR DELAWARE LABOR:

Delaware Code, Title 29, Chapter 69, Section 6962, Paragraph (d), Subsection (4)b

"In the construction of all public works for the State or any political subdivision thereof, or by firms contracting with the State or any political subdivision thereof, preference in employment of laborers, workmen or mechanics shall be given to bona fide legal citizens of the State who have established citizenship by residence of at least 90 days in the State. Each public works contract for the construction of public works for the State or any political subdivision thereof shall contain a stipulation that any person, company or corporation who violates this section shall pay a penalty to the Secretary of Finance equal to the amount of compensation paid to any person in violation of this section."

## EQUALITY OF EMPLOYMENT OPPORTUNITY ON PUBLIC WORKS:

Delaware Code, Title 29, Chapter 69, Section 6962, Paragraph (d), Subsection (7)

"a. As a condition of the awarding of any contract for public works financed in whole or in part by State appropriation, such contracts shall include the following provisions:

During the performance of this contract, the contractor agrees as follows:

1. The contractor will not discriminate against any employee or applicant for employment because of race, creed, color, sex, sexual orientation or natural origin. The contractor will take positive steps to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, creed, color, sex, sexual orientation or national origin. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places available to employees and applicants for employment notices to be provided by the contracting agency setting forth this nondiscrimination clause.

2. The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to race, creed, color, sex or national origin.'

## TAX CLEARANCE:

As payments to each vendor or contractor aggregate \$2,000, the Division of Accounting will report such vendor or contractor to the Division of Revenue, who will then check the vendor or contractor's compliance with tax requirements and take such further action as may be necessary to insure compliance.

## LICENSE:

A person desiring to engage in business in this State as a contractor shall obtain a license upon making application to the Division of Revenue. Proof of said license compliance to be made prior to, or in conjunction with, the execution of a contract to which he has been named.

## SUBCONTRACTOR LICENSE: 29 DEL. C. §6967:

(c) Any contractor that enters a public works contract must provide to the agency to which it is contracting, within 30 days of entering such public works contract, copies of all occupational and business licenses of subcontractors and/or independent contractors that will perform work for such public works contract. However, if a subcontractor or independent contractor is hired or contracted more than 20 days after the contractor entered the public works contract the occupational or business license of such subcontractor or independent contractor shall be provided to the agency within 10 days of being contracted or hired.

## DIFFERING SITE CONDITIONS,

## SUSPENSIONS OF WORK and SIGNIFICANT CHANGES IN THE CHARACTER OF WORK:

<u>Differing site conditions</u>: During the progress of the work, if subsurface or latent physical conditions are encountered at the site differing materially from those indicated in the contract of if unknown physical conditions of an unusual nature, differing materially from those ordinarily encountered and generally recognized as inherent in the work provided for in the contract are encountered at the site, the party discovering such conditions shall promptly notify the other party in writing of the specific differing conditions before they are disturbed and before the affected work is performed.

Upon written notification, the engineer will investigate the conditions, and if he/she determines that the conditions materially differ and cause an increase or decrease in the cost or time required for the performance of any work under the contract, an adjustment, excluding loss of anticipated profits, will be made and the contract modified in writing accordingly. The engineer will notify the contractor of his/her determination whether or not an adjustment of the contract is warranted. No contract adjustment which results in a benefit to the contractor will be allowed unless the contractor has provided the required written notice.

No contract adjustment will be allowed under their clause for any effects caused on unchanged work.

<u>Suspensions of work ordered by the engineer:</u> If the performance of all or any portion of the work is suspended or delayed by the engineer in writing for an unreasonable period of time (not originally anticipated, customary or inherent to the construction industry) and the contractor believes that additional compensation and/or contract time is due as a result of such suspension or delay, the contractor shall submit to the engineer in writing a request for adjustment within 7 calendar days of receipt of the notice to resume work. The request shall set fourth the reasons and support for such adjustment.

Upon receipt, the engineer will evaluate the contractor's request. If the engineer agrees that the cost and/or time required for the performance of the contract has increased as a result of such suspension and the suspension was caused by conditions beyond the control of and not the fault of the contractor, its suppliers, or subcontractors at any approved tier, and not caused by weather, the engineer will make an adjustment (excluding profit) and modify the contract in writing accordingly. The engineer will notify the contractor of his/her determination whether or not an adjustment of the contract is warranted.

No contract adjustment will be allowed unless the contractor has submitted the request for adjustment within the time prescribed.

No contract adjustment will be allowed under this clause to the extent that performance would have been suspended or delayed by any other cause, or for which an adjustment is provided for or excluded under any other term or condition of this contract.

Significant changes in the character of work: The engineer reserves the right to make, in writing, at any time during the work, such changes in quantities and such alterations in the work as are necessary to satisfactorily complete the project. Such changes in quantities and alterations shall not invalidate the contract nor release the surety, and the contractor agrees to perform the work as altered.

If the alterations or changes in quantities significantly change the character of the work under the contract, whether or not changed by any such different quantities or alterations, an adjustment, excluding loss of anticipated profits, will be made to the contract. The basis for the adjustment shall be agreed upon prior to the performance of the work. If a basis cannot be agreed upon, then an adjustment will be made either for or against the contractor in such amount as the engineer may determine to be fair and equitable.

The term "significant change" shall be construed to apply only to the following circumstances:

- (A) When the character of the work as altered differs materially in kind or nature from that involved or included in the original proposed construction or
- (B) When a major item of work, as defined elsewhere in the contract, is increased in excess of 125 percent or decreased below 75 percent of the original contract quantity. Any allowance for an increase in quantity shall apply only to that portion in excess of 125 percent of original contract item quantity, or in case of a decrease below 75 percent, to the actual amount of work performed.

## CONFLICT WITH FEDERAL STATUTES OR REGULATIONS:

Delaware Code, Title 29, Chapter 69, Section 6904, Paragraph (a):

"If any provision of this subchapter conflicts or is inconsistent with any statute, rule or regulation of the federal government applicable to a project or activity, the cost of which is to be paid or reimbursed in whole or in part by the federal government, and due to such conflict or inconsistency the availability of federal funds may be jeopardized, such provision shall not apply to such project or activity."

## FEDERAL LABOR AND EMPLOYMENT REQUIREMENTS

Federal Regulation 23 CFR § 635.117(b) Labor and employment, states:

"No procedures or requirement shall be imposed by any State which will operate to discriminate against the employment of labor from any other State, possession or territory of the United States, in the construction of a Federal-aid project."

## **CONVICT PRODUCED MATERIALS:**

- (a) Materials produced after July 1, 1991, by convict labor may only be incorporated in a Federal-aid highway construction project if such materials have been:
  - (1) Produced by convicts who are on parole, supervised release, or probation from a prison or
  - (2) Produced in a qualified prison facility and the cumulative annual production amount of such materials for use in Federal-aid highway construction does not exceed the amount of such materials produced in such facility for use in Federal-aid highway construction during the 12-month period ending July 1, 1987.
- (b) Qualified prison facility means any prison facility in which convicts, during the 12-month period ending July 1, 1987, produced materials for use in Federal-aid highway construction projects.

Contract No. T201407004.01

## TO REPORT BID RIGGING ACTIVITIES:

The U. S. Department of Transportation (DOT) operates the below toll-free "hotline" Monday through Friday, 8:00 a.m. to 5:00 p.m. eastern time. Anyone with knowledge of possible bid rigging, bidder collusion, or other fraudulent activities should use the "hotline" to report such activities.

The "hotline" is part of the DOT's continuing effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the DOT Inspector General. All information will be treated confidentially and caller anonymity will be respected.

TO REPORT BID RIGGING ACTIVITIES CALL 1-800-424-9071

### Contract No. T201407004.01

## NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY (EXECUTIVE ORDER 11246)

- 1. The Offeror's or Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Specifications" set forth herein.
- 2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate work force in each trade on all construction work in the covered area, are as follows:

Goals for Minority Participation In

Each Trade

Goals for Female Participation In

Each Trade

12.3% (New Castle County) 14.5% (Kent & Sussex Counties) 6.9% (Entire State)

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally assisted) performed in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the contractor also is subject to the goals for both its federally involved and non-federally involved construction.

The Contractor's compliance with the Executive Order and the Executive Order and the regulations in CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4.3(a), and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order, and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

- 3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within 10 working days of award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address, and telephone number of the subcontractor; employer identification number of the subcontractor; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the subcontract is to be performed.
- 4. As used in this Notice, and in the contract resulting from this solicitation, the "covered area" is NEW CASTLE County.

REV. 11-3-80

# STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY CONSTRUCTION CONTRACT SPECIFICATIONS (EXECUTIVE ORDER 11246)

## 1. As used in these specifications:

- a. "Covered area" means the geographical area described in the solicitation from which this contract resulted;
- b. "Director" means Director, Office of Federal Contract Compliance Programs, United States Department of Labor, or any person to whom the Director delegates authority;
- c. "Employer identification number" means the Federal Social Security number used on the Employer's Quarterly Federal Tax Return, U.S. Treasury Department Form 941.
- d. "Minority" includes:
  - i. Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
  - ii. Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);
  - iii. Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands); and
  - iv. American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).
- 2. Whenever the Contractor, or any Subcontractor at any tier, subcontracts a portion of the work involving any construction trade, it shall physically include in each subcontract in excess of \$10,000 the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this contract resulted.
- 3. If the Contractor is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the U.S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) shall be in accordance with that Plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or Subcontractor participating in an approved Plan is individually required to comply with its obligations under the EEO clause, and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors or Subcontractors toward a goal in an approved plan does not excuse any covered Contractor's or Subcontractor's failure to take good faith efforts to achieve the Plan goals and timetables.
- 4. The Contractor shall implement the specific affirmative action standards provided in paragraphs 7a through 7p of these specifications. The goals set forth in the solicitation from which this contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. Covered Construction contractors performing construction work in geographical areas where they do not have a Federal or federally assisted construction contract shall apply the minority and female goals established for the geographical area where the work is being performed. Goals are published periodically in the Federal Register in notice form, and such notices may be obtained from any Office of Federal Contract Compliance Program Office or from the Federal procurement contracting offices. The Contractor is expected to make substantially uniform progress in meeting its goals in each craft during the period specified.
- 5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement, to refer either minorities or women shall excuse the Contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant thereto.
- 6. In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.

- 7. The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:
  - a. Ensure and maintain a working environment free of harassment, intimidation, and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, where possible, will assign two or more women to each construction project. The Contractor shall specifically ensure that all foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.
  - b. Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.
  - c. Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefor, along with whatever additional actions the Contractor may have taken.
  - d. Provide immediate written notification to the Director when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.
  - e. Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under 7b above.
  - f. Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.
  - g. Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other employment decisions including specific review of these items with on-site supervisory personnel such as Superintendents, General Foreman, etc., prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.
  - h. Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractors and Subcontractors with whom the Contractor does or anticipates doing business.
  - i. Direct its recruitment efforts, both oral and written, to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month prior to the date for the acceptance of applications for apprenticeship or other training by any recruitment source, the Contractor shall send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process.
  - j. Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer and vacation employment to minority and female youth both on the site and in other areas of a Contractor's work force.
  - k. Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR Part 60-3.

- l. Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.
- m. Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.
- n. Ensure that all facilities and company activities are nonsegregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.
- o. Document and maintain a record of all solicitations of offers for subcontractors from minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.
- p. Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.
- 8. Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations (7a through p). The efforts of a contractor association, joint contractor-union, contractor-community, or other similar group of which the Contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under 7a through p of these Specifications provided that the Contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the Contractor's minority and female work force participating, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply, however, is the Contractor's and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.
- 9. A single goal for minorities and a separate single goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner (for example, even though the Contractor has achieved its goals for women generally, the Contractor may be in violation of the Executive Order if a specific minority group of women is under utilized).
- 10. The Contractor shall not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.
- 11. The Contractor shall not enter into any Subcontract with any person or firm debarred from Government contracts pursuant to Executive Order 11246.
- 12. The Contractor shall carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementing regulations, by the Order of Federal Contract Compliance Programs. Any Contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Executive Order 11246, as amended.
- 13. The Contractor, in fulfilling its obligations under these specifications, shall implement specific affirmative action steps, at least as extensive as those standards prescribed in paragraph 7 of these specifications, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of the Executive Order, the implementing regulations, or these specifications, the Director shall proceed in accordance with 41 CFR 60-4.8.
- 14. The Contractor shall designate a responsible official to monitor all employment-related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government, and to keep records. Records shall at least include for each employee the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, status (e.g., mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate

- of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, contractors shall not be required to maintain separate records.
- 15. Nothing herein provided shall be construed as a limitation upon the application of other laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

## TRAINING SPECIAL PROVISIONS

This Training Special Provision supersedes subparagraph 7b of the Special Provision entitled "Specific Equal Employment Opportunity Responsibilities", (Attachment 1), and is in implementation of 23 U.S.C. 140(a). As part of the contractor's equal employment opportunity affirmative action program, training shall be provided as follows:

The contractor shall provide on-the-job training aimed at developing full journeyman in the type of trade or job classification involved.

The number of trainees to be trained under the special provision will be  $\underline{\phantom{0}}$ . In the event the contractor subcontracts a portion of the contract work, he shall determine how many, if any, of the trainees are to be trained by the subcontractor, provided however, that the contractor shall retain the primary responsibility for meeting the training requirements imposed by this special provision. The contractor shall also insure that this Training Special Provision is made applicable to such subcontract. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year apprenticeship or training.

The number of trainees shall be distributed among the work classification on the basis of the contractor's needs and the availability of journeymen in the various classifications within a reasonable area of recruitment. Prior to commencing construction, the contractor shall submit to the Department of Highways and Transportation for approval the number of trainees to be trained in each selected classification and training program to be used. Furthermore, the contractor shall specify the starting time for training in each of the classifications. The contractor will be credited for each trainee employed by him on the contract work who is currently enrolled or becomes enrolled in an approved program and will be reimbursed for such trainees as provided hereinafter.

Training and upgrading of minorities and women toward journeyman status is a primary objective of this Training Special Provision. Accordingly, the contractor shall make every effort to enroll minority trainees and women (e.g., by conducting systematic and direct recruitment through public and private sources likely to yield minority and women trainees) to the extent that such persons are available within a reasonable area of recruitment. The contractor will be responsible for demonstrating the steps that he has taken in pursuance thereof, prior to a determination as to whether the contractor is in compliance with this Training Special Provision. This training commitment is not intended, and not be used, to discriminate against any applicant for training, whether a member of a minority group or not.

No employee shall be employed as a trainee in any classification in which he has successfully completed a training course leading to journeyman status or in which he has been employed as a journeyman. The contractor should satisfy this requirement by including appropriate questions in the employee application or by other suitable means. Regardless of the method used the contractor's records should document the findings in each case.

The minimum length and type of training for each classification will be as established in the training program selected by the contractor and approved by the Department of Highways and Transportation and the Federal Highway Administration. The Department of Highways and Transportation and the Federal Highway Administration shall approve a program if it is reasonably calculated to meet the equal employment opportunity obligations of the contractor and to qualify the average trainee for journeyman status in the classification concerned by the end of the training period. Furthermore, apprenticeship programs registered with the U.S. Department of Labor, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau and training programs approved but not necessarily sponsored by the U.S. Department of Labor, Manpower Administration, Bureau of Apprenticeship and Training shall also be considered acceptable provided it is being administered in a manner consistent with the equal employment

obligations of Federal-aid highway construction contracts. Approval or acceptance of a training program shall be obtained from the State prior to commencing work the classification covered by the program. It is the intention of these provisions that the training is to be provided in the construction crafts rather than clerk-typists or secretarial-type positions. Training is permissible in lower level management positions such as office engineers, estimators, timekeepers, etc., where the training is oriented toward construction applications. Training in the laborer classification may be permitted provided that significant and meaningful training is provided and approved by the division office. Some off-site training is permissible as long as the training is an integral part of an approved training program and does not comprise a significant part of the overall training.

Except as otherwise noted below, the contractor will be reimbursed 80 cents per hour of training given an employee on this contract in accordance with an approved training program. As approved by the engineer, reimbursement will be made for training persons in excess of the number specified herein. This reimbursement will be made even though the contractor receives additional training program funds from other sources, provided such other sources does not specifically prohibit the contractor from receiving other reimbursement. Reimbursement for off-site training indicated above may only be made to the contractor where he does one or more of the following and the trainees are concurrently employed on a Federal-aid project; contributes to the cost of the training; provides the instruction of the trainee; or pays the trainee's wages during the off-site training period.

No payment shall be made to the contractor if either the failure to provide the required training, or the failure to hire the trainees as a journeyman, is caused by the contractor and evidences a lack of good faith on the part of the contractor in meeting the requirements of this Training Special Provision. It is normally expected that a trainee will begin his training on the project as soon as feasible after start of work utilizing the skill involved and remain on the project as long as training opportunities exist in his work classification or until he has completed his training program. It is not required that all trainees be on board for the entire length of the contract. A contractor will have fulfilled his responsibilities under this Training Special Provision if he has provided acceptable training to the number of trainees specified. The number trained shall be determined on the basis of the total number enrolled on the contract for a significant period.

Trainees will be paid a least 60 percent of the appropriate minimum journeymen's rate specified in the contract for the first half of the of the training period, 75 percent for the third quarter of the training period, and 90 percent for the last quarter of the training period, unless apprentices or trainees is an approved existing program are enrolled as trainees on this project. In fact case, the appropriate rates approved by the Department of Labor or Transportation in connection with the existing program shall apply to all trainees being trained for the same classification who are covered by this Training Special Provisions.

The contractor shall furnish the trainee a copy of the program he will follow in providing the training.

The contractor shall provide each trainee with a certification showing the type and length of training satisfactorily completed.

The contractor will provide for the maintenance of records and furnish periodic reports documenting his performance under this Training Special Provision.

# INTERMODAL SURFACE TRANSPORTATION EFFICIENCY ACT & TRANSPORTATION EQUITY ACT

Recipients of Federal-aid highway funds authorized under Titles I (other than Part B) and V of the Intermodal Surface Transportation Efficiency Act of 1991 (ISTEA), or Titles I, III, and V of the Transportation Equity Act for the 21st Century (TEA-21) are required to comply with the regulations of 49 Code of Federal Regulations (CFR) Part 26 - Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs.

## DISADVANTAGED BUSINESS ENTERPRISE (DBE) PROGRAM SPECIFICATION

The U.S. Department of Transportation (DOT) requires that the Delaware Department of Transportation continue the established Disadvantaged Business Enterprise (DBE) Program for participation in U.S. DOT programs and that the program follow the final rules as stated in 49 CFR Part 26 and the Department's approved DBE Program plan.

The following definitions apply to this subpart:

<u>Disadvantaged Business Enterprise or DBE</u> means a for-profit small business concern (1) that is at least 51 percent owned by one or more individuals who are both socially and economically disadvantaged or, in the case of a corporation, in which 51 percent of the stock is owned by one or more such individuals; and, (2) whose management and daily business operations are controlled by one or more of the socially and economically disadvantaged individuals who own it.

<u>DOT-assisted contract</u> means any contract between a recipient and a contractor (at any tier) funded in whole or in part with DOT financial assistance, including letters of credit or loan guarantees, except a contract solely for the purchase of land.

Good Faith Efforts means efforts to achieve a DBE goal or other requirement of this part which, by their scope, intensity, and appropriateness to the objective, can reasonably be expected to fulfill the program requirement.

<u>Joint Venture</u> means an association of a DBE firm and one or more other firms to carry out a single, for-profit business enterprise, for which the parties combine their property, capital, efforts, skills and knowledge, and in which the DBE is responsible for a distinct, clearly defined portion of the work of the contract and whose share in the capital contribution, control, management, risks, and profits of the joint venture are commensurate with its ownership interest.

<u>Race-conscious</u> measure or program is one that is focused specifically on assisting only DBEs, including women-owned DBEs.

<u>Race-neutral</u> measure or program is one that is, or can be, used to assist all small businesses. For the purposes of this part, race-neutral includes gender neutrality.

<u>Small Business concern</u> means, with respect to firms seeking to participate as DBEs in DOT-assisted contracts, a small business concern as defined pursuant to section 3 of the Small Business Act and Small Business Administration regulations implementing it (13 CFR part 121) that also does not exceed the cap on average annual gross receipts specified in 49 CFR §26.65(b).

Socially and economically disadvantaged individuals means any individual who is a citizen (or lawfully admitted permanent resident) of the United States and who is - (1) any individual who a recipient finds to be a socially and economically disadvantaged individual on a case-by-case basis; (2) any individual in the following groups, members of which are rebuttably presumed to be socially and economically disadvantaged:

- (i) Black Americans which includes persons having origins in any of the Black racial groups of Africa;
- (ii) <u>Hispanic Americans</u> which includes persons of Mexican, Puerto Rican, Cuban, Dominican, Central or South American, or other Spanish or Portuguese culture or origin, regardless of race;
- (iii) Native Americans which includes persons who are American Indians, Eskimos, Aluets, or Native Hawaiians:
- (iv) Asian-Pacific Americans which includes persons whose origins are from Japan, China, Taiwan, Korea, Burma (Myanmar), Vietnam, Laos, Cambodia (Kampuchea), Thailand, Malaysia, Indonesia, the Philippines, Brunei, Samoa, Guam, the U.S. Trust Territories of the Pacific Islands (Republic of Palau), the Commonwealth of the Northern Marianas Islands, Macao, Fiji, Tonga, Kirbati, Juvalu, Nauru, Federated States of Micronesia, or Hong Kong;
- (v) <u>Subcontinent Asian Americans</u> which includes persons whose origins are from India, Pakistan, Bangladesh, Bhutan, the Maldives Islands, Nepal or Sri Lanka;
- (vi) Women;
- (vii) Any additional groups whose members are designated as socially and economically disadvantaged by the SBA, at such time as the SBA designation becomes effective.

DelDOT will establish specific goals for each particular DOT-assisted project which will be expressed as a percentage of the total dollar amount of contract bid. The specific contract goals for this contract are:

## Disadvantaged Business Enterprise 0 % Percent

DelDOT continues to reserve the right to approve DBE subcontractors and all substitutions of DBE subcontractors prior to award and during the time of the contract.

Bidders are required to submit with their bids the completed DBE Program Assurance portion of the Certification document which will state the bidders intent of meeting the goals established for this contract; or in the instance where a contractor cannot meet the assigned DBE Goals for this contract, he/she shall at the time of bid submit documentation required to verify that he/she has made a Good Faith Effort to meet the DBE Goals. Guidance for submitting a Good Faith Effort is identified in the next section and in the DBE Program Plan. Further, the apparent low bidder must submit to DelDOT within seven (7) calendar days after

the bid opening, executed originals of each and every DBE subcontract to satisfy contract goals consistent with the DBE Program Assurance submitted as part of the bid package.

No contract work shall be performed by a DBE subcontractor until the executed DBE subcontract is approved in writing by DelDOT and the Department has issued the required Notice to Proceed. Any DBE subcontract relating to work to be performed pursuant to this contract, which is submitted to DelDOT for approval, must contain all DBE subcontractor information, the requirements contained in this contract, and must be fully executed by the contractor and DBE subcontractor.

Each contract between the prime contractor and each DBE subcontractor shall at the minimum include the following:

- 1. All pertinent provisions and requirements of the prime contract.
- 2. Description of the work to be performed by the DBE subcontractor.
- 3. The dollar value of each item of work to be completed by the DBE subcontractor and the bid price of each item of work to be completed by the DBE subcontractor.

## CRITICAL DBE REQUIREMENTS

A bid may be held to be non-responsive and not considered if the required DBE information is not provided. In addition, the bidder may lose its bidding capability on Department projects and such other sanctions as the Department may impose. It is critical that the bidder understands:

- 1. In the event that the bidder cannot meet the DBE goal as set forth in this specification, he/she shall at the time of bid submit to the Department that percentage of the DBE Goal that will be met, if any, on the written and notarized assurance made a part of this contract. The contractor shall also at the time of bid submit all documentation that the contractor wishes to have the Department consider in determining that the contractor made a Good Faith Effort to meet contract DBE Goals. The Department will not accept Good Faith Effort documentation other than on the scheduled date and time of the bid opening. However, the Department may ask for clarification of information submitted should the need arise.
- 2. A bid which does not contain either a completely executed DBE Program Assurance and/or Good Faith Effort documentation, where appropriate, shall be declared non-responsive and shall not be considered by the Department.
- 3. Failure of the apparent low bidder to present originals of all DBE subcontracts to substantiate the volume of work to be performed by DBE's as indicated in the bid within seven (7) calendar days after the bid opening shall create a rebuttable presumption that the bid is not responsive.
- 4. Bidders are advised that failure to meet DBE Goals during the term of the contract may subject them to Department sanctions as identified in the DBE Program Plan.
- 5. In the execution of this contract, the successful bidder agrees to comply with the following contract clauses:

Prompt Payment: The prime contractor/consultant receiving payments shall, within 30 days of receipt of any payment, file a statement with the Department on a form to be determined by the Department that all subcontractors furnishing labor or material have been paid the full sum due them at the stage of the contract, except any funds withheld under the terms of the contract as required by Chapter 8, Title 17 of the Delaware Code, annotated and as amended. Any delay or postponement of payment from the above referenced time frame may occur only for good cause following written approval of DelDOT. This clause applies to both DBE and non-DBE subcontractors.

Retainage: The prime contractor agrees to return retainage to each subcontractor within 15 calendar days after the subcontractor's work is satisfactorily completed. Any delay or postponement of payment from the above referenced time frame may occur only for good cause following written approval of DelDOT. This clause covers both DBE and non-DBE subcontractors. As guidance, once a subcontractor has satisfactorily completed the physical work, and has given to the prime contractor a certified statement that all laborers, lower tier contractors, and materialmen who have furnished labor and materials to the subcontractor have been paid all monies due them, the prime contractor shall return retainage to the subcontractor within 15 calendar days.

6. In the execution of this contract, the successful bidder agrees to comply with the following contract assurance and will include this same language in each subcontractor contract:

"The contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex

in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such remedy as the recipient deems appropriate." 49 CFR Section 26.13

- 7. In addition to this specification, bidders must comply with all provisions of the rules and regulations adopted by the U.S. Department of Transportation for DBE participation in U.S. DOT and DelDOT Programs (49 CFR Part 26) and the Delaware Department of Transportation Disadvantaged Business Enterprise Program Plan; each of which is hereby incorporated and made part of this specification. Bidders are also reminded that they must be responsible and responsive bidders in all other aspects aside from the DBE Program in order to be awarded the contract.
- 8. In accordance with 49 CFR 26.53(f)(1), DelDOT requires that a prime contractor not terminate a DBE subcontractor without prior written consent from the DelDOT Civil Rights Office. This includes, but is not limited to, instances in which a prime contractor seeks to perform work originally designated for a DBE subcontractor with its own forces or those of an affiliate, a non-DBE firm, or with another DBE firm.

## GUIDANCE FOR GOOD FAITH EFFORT

When the DBE Goals established for a contract by DelDOT are not met, the contractor shall demonstrate good faith efforts to meet the DBE contract goals. The contractor shall demonstrate that the efforts made were those that a contractor actively and aggressively seeking to meet the goals established by DelDOT would make, given all relevant circumstances. Evidence of this good faith effort will be submitted with the bid at the time of the bid opening.

The contractor is expected to demonstrate good faith efforts by actively and aggressively seeking out DBE participation in the project to the maximum extent, given all relevant circumstances. Following are the kinds of efforts that may be taken but are not deemed to be exclusive or exhaustive and DelDOT will consider other factors and types of efforts that may be relevant:

- 1. Efforts made to select portions of the work proposed to be performed by DBEs in order to increase the likelihood of achieving the stated goal. Selection of portions of work are required to at least equal the goal for DBE utilization specified in this contract.
- 2. Written notification at least ten (10) calendar days prior to the opening of a bid soliciting DBE interest in participating in the contract as a subcontractor or supplier and for specific items of work.
- 3. Efforts made to obtain and negotiate with DBE firms for specific items of work:
  - a. Description of the means by which firms were solicited (i.e. by telephone, e-mail, written notice, advertisement).
  - b. The names, addresses, telephone numbers of DBE's contacted, the dates of initial contact; and whether initial solicitations of interest were followed-up by contacting the DBEs to determine with certainty whether the DBEs were interested.
  - c. A description of the information provided to DBE firms regarding the plans, specifications and estimated quantities for portions of the work to be performed.
  - d. A statement of why additional agreements with DBE's were not reached in order to meet the projected goal.
  - e. Listing of each DBE contacted but not contracted and the reasons for not entering a contract.
- 4. Efforts made to assist DBEs that need assistance in obtaining bonding, insurance, or lines of credit required by the contractor.
- 5. Reasons why certified DBEs are not available or not interested.
- 6. Efforts to effectively use the services of available disadvantaged community organizations; disadvantaged contractor's groups; local, state and federal DBE assistance offices; and other organizations that provide assistance in recruitment and placement of DBEs.

The following are examples of actions that may not be used as justification by the contractor for failure to meet DBE contract goals:

- 1. Failure to contract with a DBE solely because the DBE was unable to provide performance and/or payment bonds.
- 2. Rejection of a DBE bid or quotation based on price alone.

- 3. Rejection of a DBE because of its union or non-union status.
- 4. Failure to contract with a DBE because the contractor normally would perform all or most of the work in the contract.

## Administrative reconsideration:

Within five (5) days of being informed by DelDOT that it is not responsive because it has not documented sufficient good faith efforts, a bidder may request administrative reconsideration. Bidder should make this request in writing to the following reconsideration official: Director of Administration, DelDOT, P. O. Box 778, Dover, Delaware 19903. The reconsideration official will not have played any role in the original determination that the bidder did not document sufficient good faith efforts.

As part of this reconsideration, the bidder will have the opportunity to provide written documentation or argument concerning the issue of whether it met the goal or made adequate good faith efforts to do so. The bidder will have the opportunity to meet in person with the reconsideration official, explaining the basis for finding that the bidder did or did not meet the goal or make adequate good faith efforts to do so. The final decision made by the reconsideration official will be communicated to the bidder in writing. The result of the reconsideration process is not administratively appealable to the U.S. Department of Transportation.

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## **REQUIRED CONTRACT PROVISIONS** - FEDERAL-AID CONSTRUCTION CONTRACTS (Exclusive of Appalachian Contracts)

(Exclusive of Apparachian Contracts)

FHWA-1273 -- Revised May 1, 2012 <a href="http://www.fhwa.dot.gov/programadmin/contracts/1273/1273.docx">http://www.fhwa.dot.gov/programadmin/contracts/1273/1273.docx</a>

- I. General
- II. Nondiscrimination
- III. Nonsegregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Compliance with Governmentwide Suspension and Debarment Requirements
- XI. Certification Regarding Use of Contract Funds for Lobbying

## I. GENERAL

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under Title 23 (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services).

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services). The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Contracting agencies may reference Form FHWA-1273 in bid proposal or request for proposal documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract).

- 2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.
- 3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.
- 4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors.

## II. NONDISCRIMINATION

The provisions of this section related to 23 CFR Part 230 are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR 60, 29 CFR 1625-1627, Title 23 USC Section 140, the Rehabilitation Act of 1973, as

amended (29 USC 794), Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR 60, and 29 CFR 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), and Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The following provision is adopted from 23 CFR 230, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

- 1. Equal Employment Opportunity: Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630, 29 CFR 1625-1627, 41 CFR 60 and 49 CFR 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:
  - a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract.
  - b. The contractor will accept as its operating policy the following statement:

    "It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."
- 2. EEO Officer: The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.
- 3. Dissemination of Policy: All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:
  - a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.
  - b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.
  - c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.

- d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.
- e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.
- 4. Recruitment: When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.
  - a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.
  - b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.
  - c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.
- 5. Personnel Actions: Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:
  - a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.
  - b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.
  - c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.
  - d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

## 6. Training and Promotion:

- a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.
- b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).

- c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.
- d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.
- 7. Unions: If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:
  - a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.
  - b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.
  - c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.
  - d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.
- 8. Reasonable Accommodation for Applicants / Employees with Disabilities: The contractor must be familiar with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established there under. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.
- 9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment: The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.
  - a. The contractor shall notify all potential subcontractors and suppliers and lessors of their EEO obligations under this contract.
  - b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.
- 10. Assurance Required by 49 CFR 26.13(b):
  - a. The requirements of 49 CFR Part 26 and the State DOT's U.S. DOT-approved DBE program are incorporated by reference.
  - b. The contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the contracting agency deems appropriate.

- 11. Records and Reports: The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.
  - a. The records kept by the contractor shall document the following:
    - (1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;
    - (2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and
    - (3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women;
  - b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on <a href="Form FHWA-1391">Form FHWA-1391</a>. The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

### III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more.

The contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location, under the contractor's control, where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

## IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size). The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. Contracting agencies may elect to apply these requirements to other projects.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

## 1. Minimum wages

a. All laborers and mechanics employed or working upon the site of the work, will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph 1.d. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph 1.b. of this section) and the Davis-Bacon poster (WH–1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

- b. (1) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:
  - (i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
  - (ii) The classification is utilized in the area by the construction industry; and
  - (iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
  - (2) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
  - (3) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. The Wage and Hour Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
  - (4) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs 1.b.(2) or 1.b.(3) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
- c. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
- d. If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

## 2. Withholding

The contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract, or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the contracting agency may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

## 3. Payrolls and basic records

- a. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.
- b. (1) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the contracting agency. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g., the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this Wage Hour from t h e a n d Division Web http://www.dol.gov/esa/whd/forms/wh347instr.htm or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the contracting agency for transmission to the State DOT, the FHWA or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the contracting agency..
  - (2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:
    - (i) That the payroll for the payroll period contains the information required to be provided under §5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under §5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;
    - (ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without

#### Contract No. T201407004.01

rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;

- (iii)That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
- (3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH–347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(2) of this section.
- (4) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.
- c. The contractor or subcontractor shall make the records required under paragraph 3.a. of this section available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the FHWA may, after written notice to the contractor, the contracting agency or the State DOT, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

## 4. Apprentices and trainees

a. Apprentices (programs of the USDOL).

Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice.

The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

b. Trainees (programs of the USDOL).

Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration.

The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration.

Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

- c. Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.
- d. Apprentices and Trainees (programs of the U.S. DOT). Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.
- 5. Compliance with Copeland Act requirements. The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.
- 6. Subcontracts. The contractor or subcontractor shall insert Form FHWA-1273 in any subcontracts and also require the subcontractors to include Form FHWA-1273 in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.
- Contract termination: debarment. A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.
- 8. Compliance with Davis-Bacon and Related Act requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.
- 9. Disputes concerning labor standards. Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

## 10. Certification of eligibility.

- a. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- c. The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

## V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

The following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.

- 1. Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.
- 2. Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in paragraph (1.) of this section, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (1.) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (1.) of this section.
- 3. Withholding for unpaid wages and liquidated damages. The FHWA or the contacting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (2.) of this section.
- 4. Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (1.) through (4.) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (1.) through (4.) of this section.

## VI. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

- a. The term "perform work with its own organization" refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions:
  - (1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;
  - (2) the prime contractor remains responsible for the quality of the work of the leased employees;
  - (3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and
  - (4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.
- b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract.
- 2. The contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.
- 3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.
- 4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.
- 5. The 30% self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements.

### VII. SAFETY: ACCIDENT PREVENTION

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

- 1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.
- 2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C.3704).

## VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

### 18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

# IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

By submission of this bid/proposal or the execution of this contract, or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

- 1. That any person who is or will be utilized in the performance of this contract is not prohibited from receiving an award due to a violation of Section 508 of the Clean Water Act or Section 306 of the Clean Air Act.
- 2. That the contractor agrees to include or cause to be included the requirements of paragraph (1) of this Section X in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements.

# X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200.

## 1. Instructions for Certification – First Tier Participants:

- a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.
- b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.
- c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default.
- d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).
- f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
- g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.
- h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (https://www.epls.gov/), which is compiled by the General Services Administration.
- i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

\* \* \* \* \*

# 2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:

- a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:
  - (1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;
  - (2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - (3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification; and
  - (4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

## 2. Instructions for Certification - Lower Tier Participants:

(Applicable to all subcontracts, purchase orders and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200)

- a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.
- b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
- c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.
- d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).
- e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

- f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.
- g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (https://www.epls.gov/), which is compiled by the General Services Administration.
- h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

\* \* \* \* \*

# Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:

- 1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency.
- 2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

\* \* \* \* \*

## XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 (49 CFR 20).

- 1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:
  - a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
  - b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- 2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

\* \* \* \* \*

# APPENDICES TO THE TITLE VI ASSURANCE APPENDIX A

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees as follows:

- 1. Compliance with Regulations: The contractor (hereinafter includes consultants) will comply with the Acts and the Regulations relative to Non-discrimination in Federally-assisted programs of the U.S. Department of Transportation, (Federal Highway Administration (FHWA), or Federal Transit Authority (FTA), as they may be amended from time to time, which are herein incorporated by reference and made a part of this contract.
- 2. Non-discrimination: The contractor, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor will not participate directly or indirectly in the discrimination prohibited by the Acts and the Regulations, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR Part 21.
- 3. Solicitations for Subcontracts, Including Procurements of Materials and Equipment: In all solicitations, either by competitive bidding, or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials, or leases of equipment, each potential subcontractor or supplier will be notified by the contractor of the contractor's obligations under this contract and the Acts and the Regulations relative to Non-discrimination on the grounds of race, color, or national origin.
- 4. Information and Reports: The contractor will provide all information and reports required by the Acts and the Regulations, and will permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Recipient or the Federal Highway Administration (FHWA), or Federal Transit Authority (FTA) to be pertinent to ascertain compliance with such Acts, Regulations, and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish the information, the contractor will so certify to the Recipient or the Federal Highway Administration (FHWA), or Federal Transit Authority (FTA), as appropriate, and will set forth what efforts ithas made to obtain the information.
- 5. Sanctions for Noncompliance: In the event of a contractor's noncompliance with the Non-discrimination provisions of this contract, the Recipient will impose such contract sanctions as it or the Federal Highway Administration (FHWA), or Federal Transit Authority (FTA) may determine to be appropriate, including, but not limited to:
  - withholding payments to the contractor under the contract until the contractor complies; and/or cancelling, terminating, or suspending a contract, in whole or in part.
- 6. Incorporation of Provisions: The contractor will include the provisions of paragraphs one through five in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Acts and the Regulations. The contractor will take action with respect to any subcontract or procurement as the Recipient or the Federal Highway Administration (FHWA), or Federal Transit Authority (FTA) may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the contractor becomes involved in, or is threatened with litigation by a subcontractor, or supplier because of such direction, the contractor may request the Recipient to enter into any litigation to protect the interests of the Recipient. In addition, the contractor may request the United States to enter into the litigation to protect the interests of the United States.

## APPENDIX E

During the performance of this contract, the contractor or consultant, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees to comply with the following nondiscrimination statutes and authorities; including but not limited to:

Pertinent Non-Discrimination Authorities:

Title VI of the Civil Rights Act of 1964 (42 U.S.C. \$ 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21.

The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970,(42 U.S.C. \$ 460 I), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);

Federal-Aid Highway Act of 1973, (23 U.S.C. \$ 324 et seq.), (prohibits discrimination on the basis of sex);

Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. \$ 794 et seq.), as amended, (prohibits discrimination on the basis of disability); and 49 CFR Part27;

The Age Discrimination Act of 1975, as amended, (42 U.S.C. \$ 6101 et seq.), (prohibits discrimination on the basis of age);

Airport and Airway Improvement Act of 1982,(49 USC \$471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex);

The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The AgeDiscrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, sub-recipients and contractors, whether such programs or activities are Federally funded or not);

Titles II and III of the Americans with Disabilities Act, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. \$\$ 12131 - 12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38;

The Federal Aviation Administration's Non-discrimination statute (49 U.S.C. S 41123) (prohibits discrimination on the basis of race, color, national origin, and sex);

Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures nondiscrimination against minority populations by discouraging programs; policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;

Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);

Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 U.S.C. 1681 et seq).

#### PREVAILING WAGES

Included in this proposal are the minimum wages to be paid various classes of laborers and mechanics as determined by the Department of Labor of the State of Delaware in accordance with Title 29 <u>Del.C.</u> §6960, relating to wages and the regulations implementing that Section.

#### REQUIREMENT BY DEPARTMENT OF LABOR FOR SWORN PAYROLL INFORMATION

Title 29 Del.C. §6960 stipulates;

- (b) Every contract based upon these specifications shall contain a stipulation that the employer shall pay all mechanics and laborers employed directly upon the site of the work, unconditionally and not less often than once a week and without subsequent deduction or rebate on any account, the full amounts accrued at time of payment, computed at wage rates not less than those stated in the specifications, regardless of any contractual relationship which may be alleged to exist between the employer and such laborers and mechanics. The specifications shall further stipulate that the scale of wages to be paid shall be posted by the employer in a prominent and easily accessible place at the site of the work, and that there may be withheld from the employer so much of accrued payments as may be considered necessary by the Department of Labor to pay to laborers and mechanics employed by the employer the difference between the rates of wages required by the contract to be paid laborers and mechanics on the work and rates of wages received by such laborers and mechanics to be remitted to the Department of Labor for distribution upon resolution of any claims.
- (c) Every contract based upon these specifications shall contain a stipulation that sworn payroll information, as required by the Department of Labor, be furnished weekly. The Department of Labor shall keep and maintain the sworn payroll information for a period of 6 months from the last day of the work week covered by the payroll.

Bidders are specifically directed to note the Department of Labor's prevailing wage regulations implementing §6960 relating to the effective date of the wage rates, at Part VI., Section C., which in relevant part states:

"Public agencies (covered by the provisions of 29 <u>Del.C.</u> §6960) are required to use the rates which are in effect on the date of the publication of specifications for a given project. In the event that a contract is not executed within one hundred twenty (120) days from the date the specifications were published, the rates in effect at the time of the execution of the contract shall be the applicable rates for the project."

#### PREVAILING WAGE REQUIREMENTS

It is DelDOT's understanding that the Davis-Bacon Act is not a preemptive statute in the broad sense, and does not preempt or displace State of Delaware prevailing wage requirements.

When a contract for a project contains both Federal Davis-Bacon and State of Delaware prevailing wage standards because of concurrent Federal and State coverage, the employer's minimum wage obligations are determined by whichever standards are higher.

# STATE OF DELAWARE DEPARTMENT OF LABOR DIVISION OF INDUSTRIAL AFFAIRS OFFICE OF LABOR LAW ENFORCEMENT PHONE: (302) 451-3423

Mailing Address:

225 CORPORATE BOULEVARD

SUITE 104

NEWARK, DE 19702

Located at:

225 CORPORATE BOULEVARD

SUITE 104

NEWARK, DE 19702

#### PREVAILING WAGES FOR <u>HIGHWAY CONSTRUCTION</u> EFFECTIVE MARCH 13, 2015 - AMENDED JULY 15, 2015

CLASSIFICATION	NEW CASTLE	KENT	SUSSEX
BRICKLAYERS	49.39	49.39	14.51
CARPENTERS	42.55	51.86	41.22
CEMENT FINISHERS	31.06	30.92	19.65
ELECTRICAL LINE WORKERS	22.50	22.50	21.25
ELECTRICIANS	63.60	63.60	63.60
IRON WORKERS	42.20	23.87	25.35
LABORERS	31.10	34.12	37.75
MILLWRIGHTS	16.11	15.63	13.49
PAINTERS	63.14	63.14	63.14
PILEDRIVERS	66.42	23.75	26.95
POWER EQUIPMENT OPERATORS	39.15	32.92	29.04
SHEET METAL WORKERS	22.75	20.31	18.40
TRUCK DRIVERS	32.31	20.65	25.55

CERTIFIED:

ADMINISTRATOR, OFFICE OF LABOR LAW ENFORCEMENT

NOTE: THESE RATES ARE PROMULGATED AND ENFORCED PURSUANT TO THE PREVAILING WAGE REGULATIONS ADOPTED BY THE DEPARTMENT OF LABOR ON APRIL 3, 1992.

CLASSIFICATIONS OF WORKERS ARE DETERMINED BY THE DEPARTMENT OF LABOR. FOR ASSISTANCE IN CLASSIFYING WORKERS, OR FOR A COPY OF THE REGULATIONS OR CLASSIFICATIONS, PHONE (302) 451-3423.

NON- REGISTERED APPRENTICES MUST BE PAID THE MECHANICS RATE.

THESE RATES ARE BRING PROVIDED IN ACCORDANCE WITH DELAWARE'S FREEDOM OF INFORMATION ACT.

Re: Contract # T201407004.01, Federal Aid Project No.-ESTP-2014(21), Cantilever and Overhead Sign Structures, Open End, FY16-18, New Castle County, DE

GENERAL DECISION: DE150011 08/14/2015 DE11

State: DELAWARE

Construction Type: HIGHWAY

COUNTY: New Castle County in Delaware

#### HIGHWAY CONSTRUCTION PROJECTS

Note: Executive Order (EO) 13658 establishes an hourly minimum wage of \$10.10 for 2015 that applies to all contracts subject to the Davis-Bacon Act for which the solicitation is issued on or after January 1, 2015. If this contract is covered by the EO, the contractor must pay all workers in any classification listed on this wage determination at least \$10.10 (or the applicable wage rates listed on this wage determination, if it is higher) for all hours spent performing on the contract. The EO minimum wage rate will be adjusted annually. Additional information on contractor requirements and worker protections under the EO is available at <a href="https://www.dol.gov/whd/govcontracts">www.dol.gov/whd/govcontracts</a>.

Modification Number		Publication Date
0		06/26/2015 08/14/2015
SUDE2015-002	04/23/2	
	Rates	Fringes
Bricklayer	49.39	
Carpenter	42.55	
Cement Mason/Concrete Finisher	31.06	
ELECTRICIAN Electrician Line Worker	63.60 22.50	
Ironworker	42.20	
Laborer	31.10	
Millwright	16.11	
Painter	63.14	
Power Equipment Operator: Piledriver Power Equipment Operator	66.42 39.15	
Sheet Metal Worker	22.75	
Truck Driver	32.31	

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR 5.5(a) (1) (ii)).

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of "identifiers" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

#### Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than "SU" or "UAVG" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

#### Survey Rate Identifiers

Classifications listed under an "SU" identifier indicated that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

#### Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

#### WAGE DETERMINATION APPEALS PROCESS

- 1.) Has there been an initial decision in the matter? This can be:
  - \* an existing published wage determination
  - \* a survey underlying a wage determination
  - \* a Wage and Hour Division letter setting forth a position on a wage determination matter
  - \* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations
Wage and Hour Division
U.S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator U.S. Department of Labor 200 Constitution Avenue, N. W. Washington, D. C. 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U. S. Department of Labor 200 Constitution Avenue, N. W. Washington, D. C. 20210

4.) All decisions by the Administrative Review Board are final.

#### END OF GENERAL DECISION

#### APPLICABILITY OF DAVIS-BACON LABOR STANDARD PROVISIONS TO FLAGGERS

The U.S. Department of Labor has established that the duties of flaggers working on contracts covered by the Davis-Bacon Act, are manual and physical in nature. Accordingly, all employees performing the work of flaggers on Davis-Bacon covered contracts shall be entitled to receive applicable prevailing wage rates.

ALL AGENCY MEMORANDUM NO. 130 U.S. DEPARTMENT OF LABOR EMPLOYMENT STANDARDS ADMINISTRATION WAGE AND HOUR DIVISION WASHINGTON, DC 20210

#### **GUIDELINES**

#### HIGHWAY CONSTRUCTION

Highway projects include the construction, alteration, or repair of roads, streets, highways, runways, taxiways, alleys, trails, paths, parking areas, and other similar projects not incidental to building or heavy construction.

EXAMPLES: Alleys, Base Courses, Bituminous treatments, Bridle Paths, Concrete pavement, Curbs, Excavation and embankment (for road construction), Fencing (highway), Grade crossing elimination (overpasses and underpasses), Guard rails on highway, Highway signs, Highway bridges (overpasses, underpasses, grade separation), Medians, Parking lots, Parkways, Resurfacing streets and highways, Roadbeds, Roadways, Runways, Shoulders, Stabilizing courses, Storm sewers incidental to road construction, Street paving, Surface courses, Taxiways, and Trails.

ANY QUESTIONS REGARDING THE APPLICATION OF THE GUIDELINES ABOVE TO A PARTICULAR PROJECT OR ANY DISPUTES REGARDING THE APPLICATION OF THE WAGE SCHEDULES ARE TO BE REFERRED TO THE WAGE AND HOUR DIVISION, U.S. DEPARTMENT OF LABOR FOR RESOLUTION, AND THE INSTRUCTIONS OF THE WAGE AND HOUR DIVISION ARE TO BE OBSERVED IN ALL INSTANCES.

\* ALL AGENCY MEMORANDUM NO. 130 U.S. DEPARTMENT OF LABOR EMPLOYMENT STANDARDS ADMINISTRATION WAGE AND HOUR DIVISION WASHINGTON, DC 20210

# SUPPLEMENTAL SPECIFICATIONS TO THE AUGUST 2001 STANDARD SPECIFICATIONS

# EFFECTIVE AS OF THE ADVERTISEMENT DATE OF THIS PROPOSAL AND INCLUDED BY REFERENCE

## The Supplemental Specifications can be viewed and printed from the Department's Website.

#### To access the Website;

- in your internet browser, enter; http://www.deldot.gov
- on the left side of the page under 'INFORMATION', Click; 'Publications'
- scroll down under 'MANUALS' and Click; "Standard Specifications 2001"

#### The full Website Link is:

http://www.deldot.gov/information/pubs forms/manuals/standard specifications/index.shtml

Printed copies of the Supplemental Specifications are available upon request. A printed copy of the above referenced Supplemental Specifications will be included in the final contract documents upon award.

The Contractor shall make himself aware of these revisions and corrections (Supplemental Specifications), and apply them to the <u>applicable item(s)</u> of this contract.

## **SPECIAL PROVISIONS**

#### **CONSTRUCTION ITEM NUMBERS**

All construction pay items are assigned a six (6) digit number, shown as Item Number on the Plans and/or in the Special Provisions, and shall be interpreted in accordance with the following:

#### **Standard Item Number:**

The first three digits of the construction item numbers indicates the Section number as described in the Standard Specifications, and all applicable requirements of the Section shall remain effective unless otherwise modified by the Special Provisions. The last three digits of the construction item identifies the item by sequential number under that Section. Sequential numbers for all items covered under Standard Specifications range from 000 to 499. A comprehensive list of construction item numbers begins on page 421 of the Standard Specifications. Additions to this list will be made as required.

#### **Special Provisions Item Number:**

The first three digits of the construction items, covered under Special Provisions, indicates the applicable Section number of the Standard Specifications, and shall be governed fully by the requirements of the Special Provisions. The last three digit of the items covered under Special Provisions identifies the item by sequential number. Sequential numbers for Special Provision items, range from 500 to 999.

#### Examples

#### Standard Item Number - 202000 Excavation and Embankment

202 Indicates Section Number

000 Indicates Sequential Number

#### Special Provision Item Number - 202500 Grading and Reshaping Roadway

202 Indicates Section Number

500 Indicates Sequential Number

#### NOTE:

**PLEASE NOTE** revised Supplemental Specifications to the August 2001 Standard Specifications were issued November 24, 2014 and apply to this project. They can be <u>viewed here</u> and at <u>www.deldot.gov.</u>

**SPECIFICATIONS**: The Department is currently updating the August 2001 Specifications for Road and Bridge Construction. Through this update, some Divisions were renumbered and some new ones were created and added. The *Specifications Note* document is for the use by the bidders to reference the new numbers to the past numbers used for bidding purposes on previous Department contracts.

#### 401502 - ASPHALT CEMENT COST ADJUSTMENT

For Sections 304, 401, 402, 403, 404, and 405, payments to the Contractor shall be adjusted to reflect increases or decreases in the Delaware Posted Asphalt Cement Price when compared to the Project Asphalt Cement Base Price, as defined in these Special Provisions.

The Delaware Posted Asphalt Cement Price will be issued monthly by the Department and will be the industry posted price for Asphalt Cement, F.O.B. Philadelphia, Pennsylvania. The link for the posting is <a href="http://www.deldot.gov/information/business/bids/asphalt">http://www.deldot.gov/information/business/bids/asphalt</a> cement english.shtml.

The Project Asphalt Cement Base Price will be the Delaware Posted Asphalt Cement Price in effect on the date of advertisement.

All deviations of the Delaware Posted Asphalt Cement Price from the Project Asphalt Cement Base Price are eligible for cost adjustment. No minimum increases or decreases or corresponding percentages are required to qualify for cost adjustment.

Actual quantity of asphalt cement qualifying for any Asphalt Cement Cost Adjustment will be computed using the weight of eligible asphalt that is shown on the QA/QC pay sheets as a percentage for the delivered material.

If the mix was not inspected and no QA/QC pay sheet was generated, then the asphalt percentage will be obtained from the job mix formula for that mix ID.

The asphalt percentage eligible for cost adjustment shall only be the virgin asphalt cement added to the mix.

There shall be no separate payment per ton cost of asphalt cement. That cost shall be included in the various unit prices bid per ton for those bid items that contain asphalt cement (mentioned above).

The Asphalt cement cost adjustment will be calculated on grade PG 64-22 asphalt regardless of the actual grade of asphalt used. The Project Asphalt Cement Base Price per ton for the project will be the Delaware Posted Asphalt Cement Price in effect on the date of project advertisement.

If the Contractor exceeds the authorized allotted completion time, the price of asphalt cement on the last authorized allotted work day, shall be the prices used for cost adjustment during the time liquidated damages are assessed. However, if the industry posted price for asphalt cement goes down, the asphalt-cement cost shall be adjusted downward accordingly.

#### NOTE:

Application of Asphalt Cement Cost Adjustment requirements as indicated above shall apply only to those contracts involving items related to bituminous base and pavements, and with bitumen, having a total of 1,000 tons or more of hot-mix bid quantity in case of Sections 401, 402 and 403; and 15,000 gallons or more in case of Sections 304, 404 and 405.

5/05/15

#### 202560 - CONTAMINATED MATERIAL

#### **Description:**

Contaminated Material is defined as solids or liquids (including soil) potentially contaminated with a hazardous substance, requiring special handling and/or disposal per state or federal regulation.

This work describes the excavation, removal and treatment/disposal of contaminated materials resulting from project construction including utility and other types of excavation activities in accordance with the locations and notes on the Plans, and as directed by the Engineer or the Department's environmental representative. The Contractor will be notified of the Department's environmental representative at the pre-construction meeting.

#### **Overview of Costs:**

Potential contaminated solids may affect contractor's costs as follows;

Additional cost to normal excavation requirements:

- -Cost of 8 mil plastic for placement under and over solid contaminated material,
- -Maintaining the segregated contaminated solids staging area.

Reduced cost to normal excavation requirements:

- -Not required to, or charged for, transport of contaminated material from site.
- -Not required to, or charged for, disposal of contaminated soil.

Potential contaminated liquids will affect contractor's cost as follows;

Additional cost to normal excavation requirements:

-None

Reduced cost to normal excavation requirements:

-None

#### **Construction Methods and Responsibilities:**

Contractor's Responsibilities for potential contaminated solids:

The Contractor shall be responsible for providing the appropriate equipment and personnel necessary to excavate, stage, and load contaminated material for off-site disposal, as identified from previous site environmental investigations or identified during construction activities. The work will be performed in accordance with the procedures described in the site specific "Contaminated Material and Water Removal Work Plan" prepared by the Department's environmental representative. The Department will provide a copy of this plan after the project is awarded and before any work begins. The Contractor shall adhere to applicable Occupational Safety and Health standards, Guidelines and/or Laws. This will include compliance with 29 CFR Part 1910.

After award of the Contract, the Contractor shall immediately be responsible for notifying the Department's HAZMAT Program Mananger's office (760-2463) for scheduling coordination with the environmental representative. The contractor shall submit a proposed schedule of work to the Department for review and approval prior to any commencement of work on this site. The Contractor is required to perform to a high standard of workmanship to assure protection of workers, local water supplies, and the environment. The Contractor shall coordinate with the utility companies prior to excavation. The Department's environmental representative shall be present during all phases of work associated with the excavation and removal of potentially contaminated material. Payment will not be made for any work done when a Department approved Inspector or environmental representative is not present to provide environmental oversight.

Specific tasks to be performed by the Contractor will include excavating soil per the project specifications. The Contractor will segregate "contaminated" soil as designated by the Department or their environmental representative, from "clean" soil and place the "contaminated" soil in a designated on-site staging area constructed by the Contractor. At a minimum the staging area needs to be lined with 8-mil plastic and a berm constructed to minimize storm water run-off. The "contaminated" soil will need to be covered by the Contractor at the end of each work day. The Contractor will be responsible for loading contaminated soil

onto trucks arranged by the Department's environmental representative on the days the contaminated soil is shipped off-site to a licensed disposal/treatment facility. The Contractor will backfill and compact the excavated area(s) according to the project specifications and payment will be made under that item of the Contract.

#### **Department's Responsibilities:**

The Department is responsible for providing and paying; the environmental representative; the transportation of contaminated material for disposal; and the disposal of contaminated material.

The "Contaminated Material and Water Removal Work Plan" will identify; the procedures to be used to excavate and stage the contaminated material; the licensed treatment/disposal facility where the Department will ship the contaminated material; the method the material will be transported to the treatment/disposal facility; and any additional health and safety requirements for site personnel.

The Department's environmental representative will conduct a health and safety briefing prior to commencement of activities on the sites to insure an understanding of all applicable standards, guidelines, laws, procedures, etc. consistent with the successful completion of this type of activity. The Department's environmental representative will conduct air monitoring during any excavation activities at the site to identify and mitigate fire, explosion and vapor hazards.

The Department's environmental representative shall coordinate the excavation activities with all applicable local, state, and federal environmental regulatory agencies. The Department's environmental representative will also oversee the excavation, removal and treatment/disposal of the material in the designated area(s) and perform such tests as field screening for soil contamination utilizing vapor monitoring techniques and collect soil samples for laboratory analysis to meet the requirements of the treatment/disposal facility, DNREC and/or the USEPA. The Department's environmental representative's personnel will subcontract with the disposal/treatment facility to provide transportation and disposal/treatment of all contaminated materials to be removed as part of the project. The Department's environmental representative is responsible for measuring the quantity of contaminated material removed, via certified scale weights, for the Department's records.

#### **Method of Measurement:**

The quantity of contaminated material will not be measured. It will be included in the excavation quantity.

#### **Basis of Payment:**

No additional payment will be made for the handling of contaminated material included in the excavation quantities. Contractor's costs for handling contaminated material as described herein are to be included in the standard excavation pay items included in this contract, and will constitute full compensation for excavation, constructing and maintaining the segregated soil staging area, placement of the contaminated soil in the staging area, providing plastic and daily covering of the segregated soil staging area, and loading of contaminated soil for removal by the Department.

This item is a contingency item and the Department reserves the right to delete from the Contract. The Contractor shall make no claims for additional compensation because of deletion of the item.

04/04/2014

#### 211523 – REMOVAL OF P.C.C. BARRIER

#### **Description:**

This work consists of the removal and acceptable disposal of existing P.C.C. barrier and all necessary excavation required to expose the existing footing for the completion of new construction in accordance with the notes and details on the Plans and as directed by the Engineer, except such barrier that is designated to remain or is to be removed in accordance with other pay items.

#### **Construction Methods:**

Extreme care shall be used during the removal of existing P.C.C. barrier to avoid damage to drainage pipes, and surrounding pavement that is to remain in place. Care shall also be exercised by the Contractor in the operation to ensure that no damage occurs to any existing buried, surface, or aerial utility. The broken P.C.C. barrier shall become the property of the Contractor and shall be removed from the Project or otherwise disposed of as specified in Standard Specification Subsection 106.09.

#### **Method of Measurement:**

The quantity of P.C.C. barrier removed will be measured by the linear feet (linear meters) of existing P.C.C. barrier removed.

#### **Basis of Payment:**

The quantity of P.C.C. barrier removed will be paid for at the Contract unit price per linear foot (linear meter). Price and payment shall include full compensation for the removal and disposal of the existing P.C.C. barrier, and for all labor, equipment, tools, and all necessary incidentals required to complete the work.

8/19/11

#### 602579 - DRILLING HOLES AND INSTALLING DOWELS

#### **Description:**

This work consists of furnishing all materials and drilling holes for dowels or anchor bolts as required and grouting the anchor bolts or dowels in place where required in the locations indicated on the Plans or as directed by the Engineer.

#### Materials:

The material for epoxy grout shall be MARK-194 CARBOPOXY GROUT as manufactured by POLY-CARB, 33095 Bainbridge Road, Cleveland, Ohio 44139 (Telephone 1-800-225-5649 or 216-248-1223) or SIKADUR 31 HI-MOD GEL as manufactured by Sika Corporation, 3000 Valley Ford Circle, King of Prussia, PA 19406, (Telephone 1-800-933-7452) or MASTERFLOW MP as manufactured by Master Builders, Inc., 23700 Chagrin Boulevard, Cleveland, Ohio 44122, (Telephone 1-216-831-5500 or 1-800-628-9990) or approved equal.

#### **Construction Methods:**

Drill holes at the locations and to the minimum depth shown on the Plans. Hole diameters shall be drilled in accordance with the epoxy grout manufacturer's recommendations considering the size(s) of the dowels or as shown on the Plans. Grout the anchor bolts or dowels in place using the epoxy grout in a manner to complete bonding of the anchor bolts or dowels in the holes and in accordance with manufacturer's recommendations. Repair any damage caused by the drilling operations to the satisfaction of the Engineer at no additional cost to the Department.

#### **Method of Measurement:**

The quantity of holes will be measured as the actual number of each hole drilled, grouted and accepted.

#### **Basis of Payment:**

The quantity of holes will be paid for at the Contract unit price per each. Price and payment will constitute full compensation for furnishing and placing all materials, for all labor, equipment, tools, and all necessary incidentals to complete the work. Dowels and/or anchor bolts will be measured and paid for under a separate item(s) unless indicated otherwise on the Plans.

12/10/01

### 605761 - STEEL SIGN STRUCTURES, TUBULAR ARCH, CANTILEVER 605762 - STEEL SIGN STRUCTURES, TUBULAR ARCH, OVERHEAD

#### **Description**:

Furnish, fabricate, and erect steel sign structures of the type indicated.

#### Materials:

- A. Sign Structure Pipe. For sign structure pipe, meet one of the following:
  - 1. ASTM A 53, Grade B, Type E or S
    - Provide Charpy V-notch testing (Zone 2) for pipe with wall thicknesses greater than or equal to ½ inch.
  - 2. API 5L, Grade B, X42 or X52; PSL2 with the following characteristics:
    - No jointers permitted.
    - Do not use thermomechanical rolled or thermomechanical formed (grade suffix M) pipe on monopipe structures.
    - Process of manufacture: seamless, electric resistance welded, or longitudinal seam, submerged arc welded.
    - Provide Charpy V-notch testing (Zone 2) for pipe with wall thicknesses greater than or equal to ½ inch.
  - 3. ASTM A 500, Grade B
    - Provide Charpy V-notch testing (Zone 2) for pipe with wall thicknesses greater than or equal to ½ inch.
  - 4. ASTM A 106, Grade B
    - Provide Charpy V-notch testing (Zone 2) for pipe with wall thicknesses greater than or equal to ½ inch.
- B. Steel Angles, Shapes, Plates and Backing Rings. For steel angles, shapes, plates and backing rings, meet AASHTO M 270, Grades 36 or 50S.
  - Ensure that all steel members greater than or equal to ½" thick meets the applicable AASHTO M 270 Charpy V-Notch Impact Test requirements for Zone 2 unless otherwise specified.
- C. Galvanizing. Galvanize all steel in accordance with AASHTO M111. Unless otherwise specified on the Plans, all bolts, nuts, and washers shall be mechanically galvanized in accordance with AASHTO M 298. Coating thickness, adherence, and quality requirements, however, shall conform to Class C of AASHTO M 232. Fabricate steel sign structure into the largest practical prior to galvanizing. Submit splice locations to the Engineer for approval. Do not fabricate steel sign structure until such splice locations are approved.
- D. Anchor Bolts, Nuts, and Washers.
  - 1. Anchor Bolts. For anchor bolts, meet AASHTO M 314, Grade 55.
  - 2. Nuts. For nuts, meet ASTM A 563, Grade DH.
  - 3. Washers. For washers, meet ASTM F 436.

- E. U-Bolts, Nuts and Washers.
  - 1. U-Bolts. ASTM A 449, Type 1.
  - 2. Nuts. For nuts, meet ASTM A 563, Grade DH.
  - 3. Washers. For washers, meet ASTM F 436.
- F. High Strength Fasteners.
  - 1. High-Strength Bolts. ASTM A 325.
  - 2. Nuts. For nuts, meet ASTM A 563, Grade DH.
  - 3. Washers. For washers, meet ASTM F 436.
- H. Galvanized Steel Screen. ½" by ½" mesh and 0.063" diameter galvanized steel wires.

#### **Construction Methods**:

- A. General.
  - 1. *Alternate Designs*. Obtain approval from the Engineer for alternate designs. Alternate designs shall be structurally equivalent. The Engineer can reject alternate designs for any reason, including aesthetics.
  - 2. Documentation. Submit documentation in accordance with Section 105.04.
  - 3. *Working Drawings*. Section 105.04, except identify on the shop drawings weld locations, type, size, process, and nondestructive testing. Field verify all dimensions, prior to submitting Fabrication Drawings.
- B. Fabrication. Fabricate in accordance with Section 605 except as noted below:
  - 1. Welds. Comply with AWS D1.1 Structural Welding Code as well as the additional requirements of AASHTO Standard Specification for Structural Supports for Highway Signs, Luminaires and Traffic Signals, Section 5.15, Welded Connections.
  - 2. Weld Testing. If necessary, repair base connection welds one time. If more than one repair is necessary, obtain approval. Perform and evaluate all non-destructive testing according to cyclically loaded non-tubular tension criteria.
    - a. Perform the following minimum ultrasonic testing of Complete Joint Penetration (CJP) groove welds.
      - i. 25% of the length of CJP groove welds connecting each flange splice plate to the truss chords, each base plate to the tower columns, each connection plate to the chords or columns, each CJP weld on truss seat plates, and each CJP longitudinal seam weld on cantilever and center-mount sleeves. 100% of the length of CJP groove welds on monopipe structures.
        - If a rejectable defect is found, then test 100% of the weld on that element.
      - ii. 100% of the groove weld length on at least 25% of the number of similar type connections of web members to the truss chords.
        - If any rejectable defect is found, double the testing frequency until no rejectable defects are discovered.
    - b. Perform the following minimum magnetic particle inspection (MT) of fillet welds and Partial Joint Penetration (PJP) groove welds.

- i. Intermediate member connections: MT 100% of the weldment length on at least 25% of the total number of connections on trusses and towers, respectively.
  - If any rejectable defect is found, double the testing frequency until no rejectable defects are discovered.
- ii. Welds on truss seat plates, base plates, cantilever and center-mount sleeves, and alternate press-break members and fillet welds connecting backing rings to base plates and flange splice plates: MT a minimum of 25% of the total length of each weld.
  - If a rejectable defect is found, then test 100% of the weld on that element.
- iii. Welds attaching handhole frames to columns: MT 100% of the length of each weld.
- iv. All other connections: MT 100% of the weldments on at least 10% of the total number of connections.
  - If any rejectable defect is found, double the testing frequency until no rejectable defects are discovered.
- c. The Department's plant inspector will select weld locations and weldments to be tested.
- d. Perform Ultrasonic Inspection of the groove weld prior to welding top of the backing ring. Perform 100% ultrasonic inspection (UT) of butt welds in rings 5/16" and thicker. Perform 100% MT on rings less than 5/16" thick.
- 3. Backing Ring. Fabricate backing ring as a continuous ring or butt welded with a full penetration weld.
- 4. *Bending*. Form columns for monopipe sign structures to the radii shown on the plans in accordance with the Tube and Pipe Association International Recommended Standards for Induction Bending of Pipe and Tube (TPA-IBS-98).
- C. *Foundation*. Excavate and construct the foundations as shown in the Plans. Slope top of pedestal 4% from center to near edges for drainage.
  - 1. Drilled Shafts. Item 618537.
  - 2. Spread Footings. In accordance with Section 207, Section 209, Section 602, and Section 603.
  - 3. Anchor Bolts.
    - a. Use steel templates provided by the fabricator to accurately set tower base anchor bolts to the correct elevation and alignment. Securely brace the bolts against displacement before concrete is placed.
    - b. Pretension anchor bolts according to the following procedure.
      - i. Verify that the nuts can be turned onto the bolts past the elevation corresponding to the bottom of each in-place leveling nut and be backed off by the effort of one person on a 12 inch long wrench or equivalent (i.e., without employing a pipe extension on the wrench handle).
      - ii. Clean and lubricate the exposed threads of all anchor bolts. Clean and lubricate the threads and bearing surfaces of all leveling nuts. Re-lubricate the exposed threads of the anchor bolts and the threads of the leveling nuts if more than 24 hours has elapsed since earlier lubrication, or if the anchor bolts and leveling nuts have become wet since they were first lubricated.
      - iii. Turn the leveling nuts onto the anchor bolts and align the nuts to the same elevation.
      - iv. Place structural washers on top of the leveling nuts (one washer corresponding to each anchor bolt).

- v. Install the base plate atop the leveling nuts, place structural washers on top of the base plate (one washer corresponding to each anchor bolt), and turn the top nuts onto the anchor bolts.
- vi. Tighten top nuts to a snug-tight condition in a star pattern. Snug-tight is defined as the maximum nut rotation resulting from the full effort of one person on a 12 inch long wrench or equivalent. A star tightening pattern is one in which the nuts on opposite or near-opposite sides of the bolt circle are successively tightened in a pattern resembling a star. (For example, for an 8-bolt circle with bolts sequentially numbered 1 to 8, tighten nuts in the following bolt order: 1, 5, 7, 3, 8, 4, 6, 2).
- vii. Tighten leveling nuts to a snug-tight condition in a star pattern.
- viii. Before final tightening of the top nuts, mark the reference position of each top nut in a snug-tight condition with a suitable marking on one flat with a correspondence reference mark on the base plate at each bolt. Then incrementally turn the top nuts using a star pattern until achieving the required nut rotation specified in Section 605, Table 605-C. Turn the nuts in at least two full tightening cycles (passes). After tightening, verify the nut rotation.
- ix. Tighten top bolt of double nut assembly to snug-tight.
- c. Verify base plate is in full contact with all flat washers.
- d. Burr off threads of anchor bolts at face of nut after column is installed.
- 4. Grout Pad. Do not use grout between the base plate and concrete pedestal.
- 5. Galvanized Steel Screen. Seal base plate to foundation gap with a galvanized steel screen. Install galvanized steel screen to prevent entry of rodents while permitting drainage. Cover the entire gap with a wire screen, the bottom horizontal wire of which shall be in full contact with the surface of the concrete foundation and the top horizontal wire of which shall not extend beyond the top surface of the base plate. Vertical screen wires shall not extend beyond the top and bottom horizontal wires of the screen. Use one continuous section of screen with only one overlapping splice where the ends come together and overlap the layers 3 inches minimum. Attach the screen to the vertical side of the base plate with self-tapping stainless steel screws (No. 8, 1/2 inch long) with stainless steel washers (1/4 inch inside diameter). Drill pilot holes into the base plate to facilitate screw installation. Install screws on 9 inch centers maximum and at least one screw shall be installed through the overlapping splice to clamp the layers together. Also clamp the overlapping splice layers together just above the concrete foundation with an all stainless steel fastener assembly consisting of a machine screw (No. 8, 5/8 inch long), nut and two flat washers (1/4 inch inside diameter) and lock washer. Tightly clamp the screen layers between the flat washers.

#### **Method of Measurement:**

The quantity of Steel Sign Structures placed and accepted will not be measured.

#### **Basis of Payment:**

- A. Price and payment for Steel Sign Structures constitutes full compensation for furnishing, fabricating, and installing all materials including galvanized structural steel, sign structure pipe, anchor bolts, bolts, washers, nuts and all incidentals required to complete the work.
- B. Sign support W-beams and installation of sign support W-beams (including hardware) are incidental to this item.
- C. Payment for the foundation will be made under separate contract items. Payment for the sign panels will be made under separate contract items.
- D. Submit the breakout sheet included in the Proposal that lists all of the Steel Sign Structures under this item. Fill in a unit price for each Steel Sign Structure. The lump sum bid for the respective pay item will be the sum of the price for all Sign Structures listed on the breakout sheet. Attach the breakout sheet to the Bid Proposal.

8/20/15

#### 618537 - DRILLED SHAFT, 54" 618538 - DRILLED SHAFT, 60" 618539 - DRILLED SHAFT ROCK SOCKET, 48"

#### **Description**:

Furnish all materials, labor, tools, equipment, services and incidentals necessary to construct the drilled shafts in accordance with the Contract Documents and this Specification.

#### Materials:

Provide materials as specified in the following:

- A. Steel Casing Pipe shall conform to ASTM A 252, Grade 2 as minimum for temporary or permanent application. Casing shall be metal, smooth, clean, watertight, and of ample strength to withstand both handling and driving stresses and the pressure of both concrete and the surrounding earth materials. Thickness of the casings shall not be less than 0.25-inches. The inside diameter of casing shall not be less than the specified size of the shaft. No extra compensation will be allowed for concrete required to fill an oversized casing or oversized excavation.
- B. Reinforcing Steel shall conform to ASTM A615, Grade 60, and the requirements of Section 824 and Section 603 of the Specifications.
- C. Welding Material as per Section 826.12.
- D. Portland Cement Concrete, Class B, as per Section 812.

#### E. Slurry

- 1. Slurry shall be a stable suspension of mineral or polymer in potable water. The Contractor shall anticipate encountering leakage from storm and sanitary sewers, and other agents that may be deleterious to slurry and make provisions to prevent such materials from contaminating the slurry. The Contractor is responsible for and shall modify the slurry mix as required so as to maintain a stable suspension at all times.
- 2. Slurry shall be of such consistency that the tremie concrete will readily displace it.
- 3. Additives shall be used in the slurry if needed to maintain the necessary properties.
- 4. Fluid loss in an open excavation shall be limited to a drop in the slurry level of no greater than 1-inch per hour per 20-feet of excavation depth, and no more than 2-feet total in a 24-hour period.
- 5. Bentonite slurry shall be mineral slurry of powdered Wyoming or Dakota bentonite, with the following density, viscosity, and pH. Attapulgite mineral slurry may be used for sites with brackish or saline water, and shall conform to the range of values as shown in Table 1. Polymer slurry shall be a suspension of powdered polyacrylamide or vinyl polymer and shall conform to the range of values shown in Table 2.
- 6. Slurry Testing Density shall be measured by 68 degrees F by the mud density balance, test method FM 8-RP13B-1. Viscosity shall be measured by the Marsh Cone Method, test method FM 8-RP13B-3. The pH shall be measured by test method FM8-RP13B-4.

Table 1: Range of Values (at 68°F) for Bentonite Slurry			
Property (Units)	At the Time of Slurry Introduction in the Drilled Shaft	Before Concrete Placement in the Drilled Shaft	Test Method
Density	1025 to 1105 kg/m <sup>3</sup>	1025 to 1200 kg/m <sup>3</sup>	Density Balance
	63.55 to 68.51 lb/ft <sup>3</sup>	63.55 to 74.41 lb/ft <sup>3</sup>	
Viscosity	30 to 48 seconds/liter	30 to 48 seconds/liter	Marsh Cone
	849.5 to 1359.2	849.5 to 1359.2	
	seconds/ft <sup>3</sup>	seconds/ft <sup>3</sup>	
рН	7 to 11	7 to 11	pH paper or meter
Sand Content %	1 max	4 max	200 Sieve Retain

#### Notes for Table 1:

- a. Increase density values by 1.86 lb/ft³ (30 kg/m³) in salt water.
- b. At time of concreting, sand content shall not exceed 4% (by volume) at any point in the shaft excavation as determined by the American Petroleum Institute sand content test.
- c. Mixing time shall be a minimum of 10-minutes for mineral slurry.
- d. Storage time to allow hydration shall be a minimum of 6 hours for mineral slurry.

Table 2: Range of Values (at 68°F) for Bentonite Slurry			
Property (Units)	At the Time of Slurry Introduction in the Drilled Shaft	Before Concrete Placement in the Drilled Shaft	Test Method
Density	62 to 65 lb/ft3	62 to 65 lb/ft3	Density Balance
Viscosity	In accordance with Manufacturer recommendations.	In accordance with Manufacturer recommendations.	Marsh Cone
рН	In accordance with Manufacturer recommendations.	In accordance with Manufacturer recommendations.	pH paper or meter
Sand Content %	1 max	1 max	200 Sieve Retain

#### Notes for Table 2:

- a. Increase density values by 1.86 lb/ft3 (30 kg/m3) in salt water.
- b. If desanding is required, sand content shall not exceed 1% (by volume) at any point in the shaft excavation as determined by the American Petroleum Institute sand content test.

- c. Maximum Viscosity by Marsh Cone method shall be in accordance with Manufacturer recommendations.
- d. Mixing time shall be a minimum of 15-minutes for polymer slurry.
- e. Storage time to allow hydration shall be a minimum of 2-hours for polymer slurry.
- f. Access Tubes for Crosshole Sonic Log Testing Access tubes shall be Nominal Pipe Size 1-1/2 Schedule 40 black iron or black steel (not galvanized) pipe.
- g. Grout as per ASTM C 1107.

#### **Construction Methods:**

- A. Submittals, Approvals, and Meetings. At the time of bid, submit the qualifications of the Contractor (i.e., the drilled shaft specialty contractor) to verify the successful completion by the Contractor of at least three separate foundation projects within the last five years with drilled shafts of similar size (diameter and depth) and similar subsurface geotechnical conditions to those shown in the Plans. Include a brief description of each project and the owner's contact person's name and current phone number for each project listed.
  - 1. Experience and Personnel. At least two weeks prior to the start of drilled shaft construction, submit a list identifying the on-site supervisors and drill rig operators assigned to the project to the Engineer for approval. In the list, include a detailed summary of each individual's experience in drilled shaft excavation operations, and placement of assembled reinforcing cages and concrete in drilled shafts.
    - a. On-site supervisors must have a minimum of two years' experience in supervising construction of drilled shaft foundations of similar size (diameter and depth) and difficulty to those shown in the Plans, and similar geotechnical conditions to those described in the geotechnical report. The work experience must be direct supervisory responsibility for the on-site drilled shaft construction operations. Project management level positions indirectly supervising on-site drilled shaft construction operations are not acceptable for this experience requirement.
    - b. Drill rig operators must have a minimum one year experience in construction of drilled shaft foundations.

The Engineer will approve or reject the Contractor's qualifications and field personnel within ten working days after receipt of the submission. Do not start work on any drilled shaft until the Contractor's qualifications and field personnel are approved by the Engineer. The Engineer may suspend the drilled shaft construction if the Contractor substitutes field personnel without prior approval by the Engineer. The Contractor is fully liable for the additional costs resulting from the suspension of work, and no adjustments in contract time resulting from such suspension of work will be allowed.

- 2. Drilled Shaft Installation Plan. At least four weeks prior to the start of drilled shaft construction, submit a Drilled Shaft Installation Plan narrative for acceptance by the Engineer. In preparing the narrative, reference the available subsurface geotechnical data provided in the Contract boring logs and any geotechnical report(s) prepared for this project. In this narrative, provide at a minimum the following information:
  - a. Description of overall construction operation sequence and the sequence of drilled shaft construction when in groups or lines.
  - b. A list, description, and capacities of proposed equipment, including but not limited to cranes, drills, augers, bailing buckets, final cleaning equipment and drilling unit. As appropriate, describe why the equipment was selected, and describe equipment suitability to the anticipated site and subsurface conditions. Include a project history of the drilling equipment demonstrating the successful use of the equipment on shafts of equal or greater size in similar subsurface geotechnical conditions.
  - c. Details of drilled shaft excavation methods, including proposed drilling methods, methods for cleanout of the bottom of the excavation hole, and a disposal plan for excavated material and drilling slurry (if applicable). If appropriate, include a review of method suitability to the anticipated site

and subsurface geotechnical conditions including boulders and obstruction removal techniques if such are indicated in the Contract subsurface geotechnical information or Contract Documents.

- d. Details of the method(s) to be used to ensure drilled shaft hole stability (i.e., prevention of caving, bottom heave, etc. using temporary casing, slurry, or other means) during excavation and concrete placement. Include a review of method suitability to the anticipated site and subsurface geotechnical conditions.
- e. Provide detailed procedures for mixing, using, maintaining, and disposing of the slurry. Also provide a detailed mix design (including all additives and their specific purpose in the slurry mix) and a discussion of its suitability to the anticipated subsurface geotechnical conditions for the proposed slurry.

In the submittal, include a detailed plan for quality control of the selected slurry, including tests to be performed, test methods to be used, and minimum and/or maximum property requirements which must be met to ensure that the slurry functions as intended, considering the anticipated subsurface conditions and shaft construction methods, in accordance with the slurry manufacturer's recommendations and these Specifications. As a minimum, include the following tests in the slurry quality control plan:

Property	Test Method
Density	Mud Weight (Density), API 13B-1, Section 1
Viscosity	Marsh Funnel and Cup, API 13B-1, Section 2.2
рН	Glass Electrode, pH Meter, or pH Paper
Sand Content	Sand, API 13B-1, Section 5

- f. Reinforcing steel working drawings, details of reinforcement placement including type and location of all splices, reinforcement cage support and centralization methods, type and location of all spacers, crosshole sonic logging tubes and other instrumentation, and procedures for lifting and setting the reinforcement cage.
- g. When casings are proposed or required, provide the following:
  - i. Casing dimensions and detailed procedures for permanent casing installation.
  - ii. Temporary casing installation and removal.
  - iii. Methods of advancing the casing, along with the means to be utilized for excavating the drilled shaft hole in accordance with Subsection 606.03 of this Specification.
- h. When using temporary casing, details of the method to extract the temporary casing and maintaining shaft reinforcement in proper alignment and location, and maintaining the concrete slump to keep concrete workable during casing extraction.
- i. Details of concrete placement, including proposed equipment and procedures for delivering concrete to the drilled shaft, placement of the concrete into the shaft including initial placement and the raising of the tremie or pump line during placement, size of tremie and pump lines, operational procedures for pumping, and a sample uniform yield form to be used by the Contractor for plotting the volume of concrete placed versus the depth of shaft for all shaft concrete placement.
- j. The method to be used to form a horizontal construction joint during concrete placement.
- k. When applicable, include a description of the material to be used to temporarily backfill a drilled shaft excavation hole during a stoppage of the excavation operation, as well as the method used to place and remove the material.
- 1. Details of procedures to prevent loss of slurry or concrete into waterways, sewers and other areas to be protected.

- m. Describe the method and materials that will be used to fill or eliminate all voids below the top of shaft between the plan shaft diameter and excavated shaft diameter, or between the shaft casing and surrounding soil, if permanent casing is specified.
- n. Details of any required load tests including equipment, instrumentation, procedures, calibration data for test equipment, calculations and drawings.
- o. Details and procedures for protecting existing structures, utilities, roadways and other facilities during drilled shaft installation.
- p. Other information required by the Plans or specified herein.

The Engineer will evaluate the Drilled Shaft Installation Plan for conformance with the Contract Plans and Specifications within ten working days after receipt of the submission. At the option of the Department, a Shaft Installation Plan Submittal Meeting may be scheduled following review of the Contractor's initial submittal of the Plan. Those attending the Shaft Installation Plan Submittal Meeting, if held, must include the following:

- a. The superintendent, on-site supervisors, and other Contractor personnel involved in the preparation and execution of the Drilled Shaft Installation Plan.
- b. The Project Engineer and Department's personnel involved with the structural, geotechnical, and construction review of the Drilled Shaft Installation Plan together with Department's personnel who will provide inspection and oversight during the drilled shaft construction phase of project.

Submit any significant updates or modifications to the Drilled Shaft Installation Plan whenever such updates or modifications are proposed to the Engineer. The Engineer will evaluate the new information for conformance with the Contract Plans and Specifications within ten working days after receipt of the submission.

- 3. Slurry Technical Assistance. If slurry is used to construct the drilled shafts, provide, or arrange for, technical assistance from the slurry manufacturer as specified in Subsection (D)(4)(a) of this Specification. Submit the following to the Engineer:
  - a. The name and current phone number of the slurry manufacturer's technical representative assigned to the project.
  - b. The name(s) of the Contractor's personnel assigned to the project and trained by the slurry manufacturer's technical representative in the proper use of the slurry. In the submittal, include a signed training certification letter from the slurry manufacturer for each individual, including the date of the training.
- 4. *Approvals*. Do not begin work until all the required submittals have been accepted in writing by the Engineer. All procedural acceptances given by the Engineer will be subject to trial in the field and will not relieve the Contractor of the responsibility to satisfactorily complete the work.
- 5. Drilled Shaft Preconstruction Conference. Hold a shaft preconstruction conference at least five working days prior to the Contractor beginning any shaft construction work at the site to discuss investigative boring information, construction procedures, personnel, and equipment to be used, and other elements of the accepted Shaft Installation Plan as specified in Subsection (A)(2) of this Specification. If slurry is used to construct the shafts, the frequency of scheduled site visits to the project site by the slurry manufacturer's representative will be discussed. Those attending must include:
  - a. The superintendent, on site supervisors, and other key personnel identified by the Contractor as being in charge of excavating the shaft, placing the casing and slurry as applicable, placing the steel reinforcing bars, and placing the concrete. If slurry is used to construct the shafts, the slurry manufacturer's representative and a Contractor's employee trained in the use of the slurry, as identified to the Engineer in accordance with Subsection (D)(4)(a) of this Specification, must also attend.
  - b. The Project Engineer, key inspection personnel, and appropriate representatives of the Department.

If the Contractor's key personnel change, or if the Contractor proposes a significant revision of the approved Drilled Shaft Installation Plan, an additional conference may be held at the request of the Engineer before any additional shaft construction operations are performed.

6. Logs of Shaft Construction. Prepare inspection logs documenting each shaft construction activity, including casing installation, excavation, shaft bottom inspection, reinforcement installation and concrete placement. Fully document the work performed with frequent reference to the date, time and casing/excavation elevation in the logs. In addition, prepare and submit the logs documenting any subsurface investigation borings or rock core holes performed for the Contract at drilled shaft foundation locations.

In the records for temporary and permanent casing, include at least the following information:

- a. Identification number and location of the shaft.
- b. Diameter and wall thickness of the casing.
- c. Dimensions of any casing reinforcement.
- d. Top and bottom elevations of the casing.
- e. Method and equipment used for casing installation.
- f. Any problems encountered during casing installation.
- g. Name of the inspector.

In the shaft excavation log, include at least the following information:

- a. Identification number, location and surface elevation of the shaft.
- b. Description and approximate top and bottom elevation of each soil or rock material encountered.
- c. Seepage or groundwater conditions.
- d. Type and dimensions of tools and equipment used, and any changes to the tools and equipment.
- e. Type of drilling fluid used, if any, and the results of slurry tests.
- f. Any problems encountered.
- g. Elevation of any changes in the shaft diameter.
- h. Method used for bottom cleaning.
- i. Final bottom elevation of the shaft.
- j. Name of the inspector and the date, time and name of any changes in the inspector.

In the concrete placement records, include at least the following information:

- a. Concrete mix used.
- b. Time of start and end of concrete placement.
- c. Volume and start/end time for each truck load placed.
- d. Concrete test results.
- e. Concrete surface elevation and corresponding tremie tip elevation periodically during concrete placement.
- f. Concrete yield curve (volume versus concrete elevation, actual and theoretical).
- g. Name of the inspector.

Submit the logs for each shaft construction activity to the Engineer within 24 hours of the completion of that activity. Submit a full set of shaft inspection logs for an individual drilled shaft to the Engineer within 48 hours of the completion of concrete placement at the shaft.

B. *Drilled Shaft Excavation*. Excavate the drilled shafts to the required depth as shown in the Plans or as directed by the Engineer. Once the excavation operation has been started, conduct the excavation in a continuous operation until the excavation of the shaft is completed, except for pauses and stops as noted, using approved equipment capable of excavating through the type of material expected. Pauses during this excavation operation, except for casing splicing and removal of obstructions, will not be allowed. Provide temporary casing at the site in sufficient quantities to meet the needs of the anticipated construction method.

Pauses, defined as interruptions of the excavation operation, will be allowed only for casing splicing and removal of obstructions. Drilled shaft excavation operation interruptions not conforming to this definition are considered as stops.

If the drilled shaft excavation is not complete at the end of the shift or series of continuous shifts, the drilled shaft excavation operation may be stopped, provided the Contractor, before the end of the work day, protects the shaft as indicated in Subsection (C) of this Specification.

If slurry is present in the shaft excavation, conform to the requirements of Subsection (D)(4)(b) of this Specification regarding the maintenance of the minimum level of drilling slurry throughout the stoppage of the shaft excavation operation, and recondition the slurry to the required slurry properties in accordance with this Specification prior to recommencing shaft excavation operations.

The Contractor shall provide equipment certified to produce a minimum of 80 000 ft·lb and 20 000 lb of crowd (down pressure). For air rotary methods, the equipment shall be capable of 1000 cfm at 200 psi. Ensure the excavation and drilling equipment have adequate capacity, including power, torque and down thrust to excavate a hole of both the maximum diameter and to a depth of 20 feet, or 20 percent, beyond the maximum shaft length shown on the Plans, whichever is greater.

Blasting will only be permitted if specifically stated on the Plans or authorized in writing by the Engineer.

Perform sidewall overreaming when the time for shaft excavation exceeds 36 hours or as directed by the Engineer (measured from the beginning of excavation below the casing when casing is used) before the start of concrete placement. Also perform sidewall overreaming when the sidewall of the hole is determined by the Engineer to have softened due to the excavation methods, swelled due to delays in the start of concrete placement, or degraded because of slurry cake buildup. Overreaming thickness must be a minimum of 1/2-inch and a maximum of 3 inches. Overreaming may be accomplished with a grooving tool, overreaming bucket, or other equipment approved by the Engineer. If overreaming is required as a result of the excavation time exceeding the time limit specified herein, or as a result of excavation methods not in compliance with the approved Drilled Shaft Installation Plan, the Contractor will bear the costs associated with both sidewall overreaming and additional drilled shaft concrete related to overreaming.

For rock sockets specified and detailed on the plans, the Contractor shall provide a minimum penetration into rock as shown or as field adjusted by DelDOT. For the purposes of this special provision, "Rock" is defined as a continuous intact material in which the penetration rate with a rock auger is less than 2 inches per 5 minutes of drilling using a drill rig capable of applying at minimum 35,000 pounds of down pressure (Crowd) while turning the auger for diameters equal to or less than 48 inches in diameter and at least 50,000 pounds of down pressure (Crowd) for augers greater than 48 inches in diameter. Rock augers shall be equipped with carbide teeth in good condition while performing this test. This definition exclude discontinuous loose natural material such as boulders and man-made material such as boulders and man-made materials such as concrete, steel, timber, etc.

When drilled shafts are to be installed in conjunction with embankment construction, construct drilled shafts after placement of the embankment fill unless otherwise shown on the Contract Documents or approved by the Engineer. Do not cap the drilled shafts installed prior to the completion of the embankment fill until the fill has been placed to the bottom of cap level.

- C. Special Excavation. Special excavation is excavation that requires special tools and/or procedures to accomplish hole advancement. Special excavation is paid for excavation, except obstructions, below the depth where conventional tools and the approved drilling equipment, operating at maximum power, torque and down thrust, cannot advance the hole. All excavation, except obstructions, performed below the depth where special excavation is authorized shall be considered special excavation regardless of the density or character of materials encountered.
- D. *Drilled Shaft Excavation Protection*. Do not leave drilled shaft excavations open overnight unless cased full depth or otherwise protected against sidewall instability. An open excavation is defined as a drilled shaft that has not been filled with concrete, or temporarily backfilled with a material approved by the Engineer in accordance with Subsection (A)(2) of this Specification or protected in accordance with Subsection (D). The use of slurry to protect a drilled shaft during a drilling stoppage or overnight shutdown may be approved by the Engineer.

Casing of drilled shafts in stable rock formations during stoppages is not required, unless shown on the Plans or specified herein.

E. *Drilled Shaft Excavation Protection Methods*. The Contractor bears full responsibility for selection and execution of the method(s) of stabilizing and maintaining the drilled shaft excavation. Protect the walls and bottom of the drilled shaft excavation so that side wall caving and bottom heave is prevented from

occurring, and so that the soil adjacent to the drilled shaft is not disturbed. The Contractor may excavate the drilled shaft without excavation protection provided the Contractor can demonstrate that the soil/rock is stable and above the water table and zones of seepage. Acceptable protection methods include the use of casing, drilling slurry, or both.

1. Temporary Casing Construction Method. In stable soils, conduct casing installation and removal operations and drilled shaft excavation operations such that the adjacent soil outside the casing and drilled shaft excavation for the full height of the drilled shaft is not disturbed. Disturbed soil is defined as soil whose geotechnical properties have been changed from those of the original in-situ soil, and whose altered condition adversely affects the performance of the drilled shaft foundation.

If utilizing casing that is adequately sealed into competent soils such that water cannot enter the excavation, the Contractor may, with the Engineer's approval, continue excavation in soils below the water table provided the water level within the casing do not rise or exhibit flow.

As the temporary casing is withdrawn, a sufficient head of fluid concrete must be maintained to ensure that water or slurry outside the temporary casing will not breach the column of freshly placed concrete.

Extract the casing at a slow, uniform rate with the pull in line with the shaft axis. Avoid excessive rotation of the casing to limit deformation of the reinforcing steel cage.

Remove all temporary casings from the excavation as concrete placement is completed, unless permission has been received from the Engineer to leave specified temporary casings in place.

2. Permanent Casing Construction Method. When permanent casing is specified, excavation will conform to the specified outside diameter of the drilled shaft. After the casing has been filled with concrete, fill all void space occurring between the casing and drilled shaft excavation with a material which approximates the geotechnical properties of the in-situ soils, in accordance with the Drilled Shaft Installation Plan specified in Subsection (A)(2) of this Specification and as approved by the Engineer.

When the shaft extends above ground or through a body of water, the portion exposed above ground or through a body of water may be formed with removable casing except when the permanent casing is specified. Strip the removable casing from the shaft in a manner that will not damage the concrete. Casings can be removed when the concrete has attained sufficient strength provided:

- a. Curing of the concrete is continued for a 72-hour period.
- b. The shaft concrete is not exposed to salt water or moving water for 7 days.
- c. The concrete reaches a compressive strength of at least 2500 psi, as determined from concrete cylinder breaks.

Use of removable casing is permitted only if specified on the Plans or approved by the Engineer. Use removable casing in accordance with the equipment and procedures shown in the approved Drilled Shaft Installation Plan, and comply with all other requirements specified herein.

3. *Alternative Casing Methods*. When approved by the Engineer, installation of casing using rotating, oscillating, or vibrating methods will be permitted. Use this alternative casing method in accordance with the equipment and procedures shown in the approved Drilled Shaft Installation Plan, and comply with all other requirements specified herein.

Equip drilled shaft casing with cutting teeth or a cutting shoe and install by rotating, oscillating, or vibrating the casing.

4. *Slurry*. Use slurry in accordance with this Specification to maintain a stable excavation during excavation and concrete placement operations once water begins to enter the drilled shaft excavation and remain present.

Use slurry to maintain stability during drilled shaft excavation and concrete placement operations in the event that water begins to enter the drilled shaft excavation at a rate of greater than twelve inches per hour, or if the Contactor is not able to restrict the amount of water in the drilled shaft to

less than three inches prior to concrete placement, or to equilibrate water pressure on the sides and base of the drilled shaft excavation when groundwater is encountered or anticipated based on the available subsurface data.

- a. Slurry Technical Assistance. If slurry is used, the manufacturer's representative, as identified to the Engineer in accordance with Subsection (A)(3) of this Specification, must:
- i. Provide technical assistance for the use of the slurry.
- ii. Be present at the site prior to introduction of the slurry into a drilled hole.
- iii. Remain at the site during the construction and completion of a minimum of one drilled shaft to adjust the slurry mix to the specific site conditions.

After the manufacturer's representative is no longer present at the site, the Contractor's employee trained in the use of the slurry, as identified to the Engineer in accordance with Subsection (A)(3) of this Specification, must be present at the site throughout the remainder of shaft slurry operations for this project to perform the duties specified above.

b. *Minimum Level of Slurry in the Excavation*. When slurry is used to maintain a stable excavation, maintain the slurry level in the excavation to obtain hydrostatic equilibrium throughout the construction operation at a height required to provide and maintain a stable hole, but not less than 5 feet above the water table or surface of surrounding water body if at an offshore location.

Provide casing, or other means, as necessary to meet these requirements.

Maintain the slurry level above all unstable zones a sufficient distance to prevent bottom heave, caving or sloughing of those zones.

Throughout all stops in drilled shaft excavation operations, monitor and maintain the slurry level in the excavation the greater of the following elevations:

- i. No lower than the water level elevation outside the drilled shaft.
- ii. Elevation as required to provide and maintain a stable hole.
- c. *Cleaning Slurry*. Clean, re-circulate, de-sand, or replace the slurry, as needed, in order to maintain the required slurry properties. Sand content will only be required to be within specified limits immediately prior to concrete placement.
- F. *Obstructions*. When obstructions are encountered, notify the Engineer promptly. An obstruction is defined as a specific object (including, but not limited to, boulders, logs, and man-made objects) encountered during the drilled shaft excavation operation which prevents or hinders the advance of the drilled shaft excavation. When efforts to advance past the obstruction to the design drilled shaft tip elevation result in the rate of advance of the drilled shaft drilling equipment being significantly reduced relative to the rate of advance for the portion of the drilled shaft excavation in the geological unit that contains the obstruction, then remove, bypass or break up the obstruction under force account. Blasting will not be permitted unless approved in writing by the Engineer. Drilling tools that are lost in the excavation will not be considered obstructions, and will be promptly removed by the Contractor. All costs due to lost tool removal will be borne by the Contractor including, but not limited to, costs associated with the repair of hole degradation due to removal operations or an excessive time that the hole remains open.
- G. *Protection of Existing Structures*. Control operations to prevent damage to existing structures, utilities, roadways and other facilities. Include preventive measures, but which are not limited to, selecting construction methods and procedures that will prevent excessive caving of the drilled shaft excavation and monitoring and controlling the vibrations from the driving of casing or sheeting, drilling of the shaft, or from blasting, if permitted by the Engineer.
- H. Slurry Sampling and Testing. Mix and thoroughly hydrate mineral slurry and polymer slurry in slurry tanks, lined ponds, or storage areas. Draw sample sets from the slurry storage facility and test the samples for conformance with the appropriate specified material properties before beginning slurry placement in the drilled hole. Conform the slurry to the quality control plan included in the Drilled Shaft Installation Plan in accordance with Subsection (A)(2) of this Specification and as approved by the Engineer. A sample set must be composed of samples taken at mid-height and within two feet of the bottom of the storage area.

Sample and test all slurry in the presence of the Engineer, unless otherwise approved by the Engineer. Record the date, time, names of the persons sampling and testing the slurry, and the results of the tests. Submit a copy of the recorded slurry test results to the Engineer at the completion of each drilled shaft, and during construction of each drilled shaft when requested by the Engineer.

Take and test sample sets of all slurry, composed of samples taken at mid-height and within two feet of the bottom of the drilled shaft, during drilling as necessary to verify the control of the properties of the slurry. As a minimum, take and test the sample sets of polymer slurry at least once every four hours after beginning its use during each shift.

Take and test sample sets of all slurry, as specified, immediately prior to placing concrete.

Demonstrate to the satisfaction of the Engineer that stable conditions are being maintained. If the Engineer determines that stable conditions are not being maintained, immediately take action to stabilize the shaft. Submit a revised Drilled Shaft Installation Plan which addresses the problem and prevents future instability. Do not continue with drilled shaft construction until the damage which has already occurred is repaired in accordance with the Specifications, and until receiving the Engineer's approval of the revised Drilled Shaft Installation Plan.

I. *Drilled Shaft Excavation Inspection*. Use appropriate means such as a cleanout bucket, air lift or hydraulic pump to clean the bottom of the excavation of all drilled shafts. The base of the drilled shaft excavation cannot be covered with more than three inches of sediment or loose or disturbed material just prior to placing concrete in soil shafts or more than one-half inch for 50 percent of the shaft area in rock sockets.

The excavated drilled shaft will be inspected and approved by the Engineer prior to proceeding with construction. Sound the bottom of the excavated drilled shaft with an airlift pipe, a tape with a heavy weight attached to the end of the tape, a borehole camera with visual sediment depth measurement gauge, or other means acceptable to the Engineer to determine that the drilled shaft bottom meets the requirements in the Contract.

J. Assembly and Placement of Reinforcing Steel. Prior to and during fabrication of the steel reinforcing cage, support the reinforcing bars off the ground surface, and protect the reinforcing bars from contamination with mud and other deleterious materials.

Rigidly brace the reinforcing cage to retain its configuration during handling and construction. Individual or loose bars will not be permitted. Tie all (100%) intersections of vertical and horizontal bars. Show bracing and any extra reinforcing steel required for fabrication of the cage on the working drawings.

Carefully position and securely fasten the reinforcement to provide the minimum clearances specified or shown on the Plans, and to ensure that no displacement of the reinforcing steel cage occurs during placement of the concrete.

Splicing of the reinforcement cage during placement of the cage in the shaft excavation will not be permitted unless otherwise shown on the Plans or approved by the Engineer.

Bundle vertical bars when necessary to maximize clear space between vertical reinforcement bars. Use rolled hoops or bundled spirals when necessary to maximize clear space between horizontal reinforcement.

If the reinforcing cage is spliced during placement of the cage into the drilled shaft excavation, the splice details and location of the splices must be in accordance with the Plans and the approved Drilled Shaft Installation Plan. In addition, perform the work within the time limits specified in Subsection (A).

Securely hold the steel reinforcing cage in position throughout the concrete placement operation. Tie and support the reinforcing steel in the drilled shaft so that the location of the reinforcing steel will remain within allowable tolerance. Use concrete spacers or other approved non-corrosive spacing devices at sufficient intervals (near the bottom, the top and at intervals not exceeding 10 feet vertically) to ensure concentric spacing for the entire cage length. The number of spacers required at each level

will be one spacer for each foot of excavation diameter, with a minimum of four spacers at each level. The spacers must be of adequate dimension to ensure an annular space between the outside of the reinforcing cage and the side of the excavation along the entire length of the drilled shaft as shown in the Plans. Provide acceptable feet made of plastic, or concrete (bottom supports) to ensure that the bottom of the cage is maintained at the proper distance above the base of the excavation unless the cage is suspended from a fixed base during the concrete pour.

Remove bracing steel which constricts the interior of the reinforcing cage after lifting the cage if freefall concrete or wet tremie methods of concrete placement are to be used.

Check the elevation of the top of the steel cage before and after the concrete is placed. If the upward displacement of the rebar cage exceeds 2 inches, or if the downward displacement exceeds 6 inches, the drilled shaft will be considered defective. Make corrections to the satisfaction of the Engineer. Do not construct additional drilled shafts until the rebar cage support has been modified in a manner satisfactory to the Engineer.

K. Concrete Placement, Curing and Protection. Commence the Concrete placement as soon as possible after completion of drilled shaft excavation by the Contractor and inspection by the Engineer. Immediately prior to commencing concrete placement, the drilled shaft excavation and the properties of the slurry (if used) must conform to Subsection 606.02 of this Specification. Continue the concrete placement in one operation to the top of the drilled shaft, or as shown in the Plans.

If water is not present (a dry shaft), deposit the concrete through the center of the reinforcement cage by a method which prevents segregation of aggregates. Place the concrete such that the free-fall is vertical down the center of the drilled shaft without hitting the sides, the steel reinforcing bars, or the steel reinforcing bar cage bracing.

If water exists in amounts greater than three inches in depth or enters at a rate of more than twelve inches per hour then fill the drilled shaft excavation with slurry to at least the level specified in Subsection (D)(4)(b) and concrete placed by tremie methods.

Do not exceed the time limit for concrete placement as defined in the approved Drilled Shaft Installation Plan and demonstrated by a successful technique shaft or test shaft. Commence the concrete placement time at the mixing of the concrete and extend through to the completion of placement of the concrete in the drilled shaft excavation, including removal of any temporary casing. For wet placement methods, the placement time starts at the batching of the initial load of concrete to be placed in the shaft. Prior to concrete placement, provide test results of both a trial mix and a slump loss test conducted by an approved testing laboratory using approved methods to demonstrate that the concrete meets this defined placement time limit. Maintain the concrete mix with a slump of 4 inches or greater over the defined placement time limit as demonstrated by trial mix and slump loss tests. Conduct the trial mix and slump loss tests at ambient temperatures appropriate for site conditions. Ambient air temperature at the time of concrete placement is not permitted to be greater than the ambient temperature at the time of the concrete trial tests and slump loss tests.

Do not use admixtures such as water reducers, plasticizers, and retarders in the concrete mix unless permitted in the Contract Documents and detailed in the approved Drilled Shaft Installation Plan. Adjust all admixtures, when approved for use, for the conditions encountered on the job so the concrete remains in a workable plastic state throughout the defined placement time limit.

Throughout the underwater concrete placement operation, the discharge end of the tube must remain submerged in the concrete at least five feet and the tube must always contain enough concrete to prevent water from entering. The concrete placement must be continuous until the work is completed, resulting in a seamless, uniform shaft. If the concrete placement operation is interrupted, the Engineer may require the Contractor to prove by core drilling or other tests that the drilled shaft contains no voids or horizontal joints. If testing reveals voids or joints, repair them or replace the drilled shaft at no expense to the Department. Responsibility for coring and testing costs, and calculation of time extension, will be in accordance with Subsection (M) of this Specification.

Before placing any fresh concrete against concrete deposited in water or slurry (construction joint), remove all scum, laitance, loose gravel and sediment on the surface of the concrete deposited in water

or slurry, and chip off any high spots on the surface of the existing concrete that would prevent any steel reinforcing bar cage from being placed in the position required by the Plans.

Complete a concrete yield plot for each wet shaft poured by tremie methods. This yield plot will be submitted to the Department within twenty four (24) hours of completion of the concrete pour.

It is recommended that the Contractor use concreting volume curves during concrete placement.

Do not perform casing installation or drilled shaft excavation operations within a clear distance of three diameters of a newly poured drilled shaft within twenty (24) hours of the placement of concrete and only when the concrete has reached a minimum compressive strength of 1800 psi.

L. *Tremies*. When placing concrete underwater, use a concrete pump or gravity tremie. A tremie must have a hopper at the top that empties into a watertight tube at least eight inches in diameter. If a pump is used, a watertight tube must be used with a minimum diameter of four inches. The discharge end of the tube on the tremie or concrete pump line must include a device to seal out water while the tube is first filled with concrete. In lieu of a seal at the discharge end of the pipe, the Contractor may opt to place a "Pig" or "Rabbit" in the hopper prior to concrete placement which moves through the tremie when pushed by the concrete, forcing water or slurry from the tremie pipe.

Do not use hopper and tubes that contain aluminum parts that will have contact with the concrete. The inside and outside surfaces of the tubes must be clean and smooth to allow both flow of concrete and the unimpeded withdrawal of the tube during concrete placement.

M. Drilled Shaft Construction Tolerances. Construct the drilled shafts so that the center of the poured shaft at the top of the drilled shaft or mudline, whichever is lower, is within the following horizontal tolerances:

Drilled Shaft Diameter	Tolerance
Greater than 2'-0" and less than 5'-0"	4"
5 '- 0" or larger	6"

Drilled shafts in soil must be within 1.5 percent of plumb. Drilled shafts in rock must be within 2.0 percent of plumb. Plumbness will be measured from the top of poured drilled shaft elevation or mudline, whichever is lower.

During drilling or excavation of the drilled shaft, make frequent checks on the plumbness, alignment, and dimensions of the drilled shaft. Any deviation exceeding the allowable tolerances will be corrected with a procedure approved by the Engineer.

Do not allow the drilled shaft steel reinforcing bars to be higher than six inches above or three inches below the plan elevation.

The reinforcing cage must be concentric with the drilled shaft excavation within a tolerance of 1-1/2 inches.

The top elevation of the completed drilled shaft must have a tolerance of plus one inch or minus three inches.

Do not allow the diameter of the drilled shaft to be less than the diameter shown on the Plans.

Ensure that tolerances for casings are in accordance with American Pipe Institute tolerances applicable to regular steel pipe.

Drilled shaft excavations and completed drilled shafts not constructed within the required tolerances will be considered defective. The Contractor is responsible for correcting all defective drilled shafts to the satisfaction of the Engineer. Materials and work necessary, including engineering analysis and redesign, to complete corrections for out-of-tolerance drilled shafts will be furnished without either cost

to the Department or an extension of the completion date of the project. Redesign drawings and computations submitted will be signed by a registered Professional Engineer licensed in the State of Delaware.

N. *Integrity Testing*. Crosshole sonic log (CSL) testing must be performed on all drilled shafts. Accommodate the crosshole sonic log testing by furnishing and installing access tubes.

Install access tubes for crosshole sonic log testing in all drilled shafts, except as otherwise noted herein, to permit access for the crosshole sonic log test probes. If, in the opinion of the Engineer, the condition of the drilled shaft excavation permits drilled shaft construction in the dry, the Engineer may specify that the testing be omitted.

Securely attach the access tubes to the interior of the reinforcement cage of the drilled shaft. Furnish and install one access tube for each foot of drilled shaft diameter, rounded to the nearest whole number, unless otherwise shown in the Plans. A minimum of three tubes will be required. Place the access tubes around the drilled shaft, inside the spiral or hoop reinforcement and centered between the adjacent vertical reinforcement, at a uniform spacing measured along the circle passing through the centers of the access tubes. If these minimums cannot be met due to close spacing of the vertical reinforcement, then bundle the access tubes with the vertical reinforcement. For drilled shafts with anchor bolts, verify CSL access tubes will not interfere with anchor bolt installation before excavating the shaft. Move CSL tube up to 2 inches to avoid conflict.

If trimming the cage is required and access tubes for crosshole sonic log testing are attached to the cage, either shift the access tubes up the cage, or cut the access tubes provided that the cut tube ends are adapted to receive the watertight cap as specified.

Install the access tubes in straight alignment and as near to parallel to the vertical axis of the reinforcement cage as possible. Extend the access tubes from the bottom of the drilled shaft to at least two feet above the top of the drilled shaft. Splice joints in the access tubes, if required to achieve full length access tubes, must be watertight. Clear the access tubes of all debris and extraneous materials before installing the access tubes. Care must be taken to prevent damaging the access tubes during reinforcement cage installation and concrete placement operations in the drilled shaft excavation.

Fill the access tubes with potable water before concrete placement, and reinstall the top watertight threaded caps.

Prior to performing any crosshole sonic log testing operations specified in this subsection, remove the concrete at the top of the drilled shaft down to sound concrete.

The Department will perform crosshole sonic log testing and analysis on all completed drilled shafts designated for testing by the Engineer. The Department will require advance notice from the Contractor to schedule all crosshole sonic log testing. The Contractor will give at least forty eight (48) hours' notice to the Engineer of the time the concrete in each drilled shaft to be sufficiently cured to allow for crosshole sonic log testing.

Perform the testing after the drilled shaft concrete has cured at least ninety six (96) hours. Additional curing time prior to testing may be required if the drilled shaft concrete contains admixtures, such as set retarding admixture or water reducing admixture. The additional curing time prior to testing required under these circumstances will not serve as grounds for additional compensation or extension of time to the Contractor. Do not perform any subsequent construction on the completed drilled shaft until the CSL tests are approved and the drilled shaft accepted by the Engineer.

After placing the drilled shaft concrete and before beginning the crosshole sonic log testing of a drilled shaft, inspect the access tubes. Replace each access tube that the test probe cannot pass through, at the Contractor's expense, with a two inch diameter hole cored through the concrete for the entire length of the drilled shaft. Unless directed otherwise by the Engineer, locate the cored holes approximately six inches inside the reinforcement and do not damage the drilled shaft reinforcement. Log descriptions of inclusions and voids in cored holes and submit a copy of the log to the Engineer. Findings from cored holes must be preserved, identified as to location, and made available for inspection by the Engineer.

The Engineer will determine final acceptance of each drilled shaft, based on the crosshole sonic log test results and analysis for the tested shafts and a review of the visual inspection reports for the subject drilled shaft, and will provide a response to the Contractor within three working days after receiving the test results and analysis submittal.

The Engineer may approve continuing with drilled shaft construction prior to approval and acceptance of the first shaft if the Engineer's observations of the construction of the first shaft are satisfactory, including, but not limited to, conformance to the Drilled Shaft Installation Plan as approved by the Engineer, and the Engineer's review of Contractor's daily reports and inspector's daily logs concerning excavation, steel reinforcing bar placement, and concrete placement.

If the Engineer determines that the concrete placed under slurry for a given drilled shaft is structurally inadequate, that drilled shaft will be rejected. The placement of concrete under slurry will be suspended until the Contractor submits to the Engineer written changes to the methods of drilled shaft construction needed to prevent future structurally inadequate drilled shafts, and receives the Engineer's written approval of the submittal.

If the Engineer determines that additional investigation is necessary, or if the Contractor requests, the Engineer may direct that additional testing be performed at a drilled shaft. At the Engineer's request, drill a corehole in any questionable quality drilled shaft (as determined from crosshole sonic log testing and analysis or by observation of the Engineer) to explore the drilled shaft condition. The number, locations, diameter and depth of the core holes and lengths of individual core runs will be determined by the Engineer. Coring procedures must minimize abrasion and erosion of the core samples, and must avoid damage to the steel reinforcement. Log descriptions of inclusions and voids in cored holes and submit a copy of the log to the Engineer. Preserve the recovered core in suitably labeled wood core boxes, identified as to location and depth, and made available for inspection by the Engineer. The Engineer may direct water pressure testing in the core holes, and/or unconfined compression testing and other laboratory testing on selected samples from the concrete core.

If subsequent testing at a drilled shaft indicates the presence of a defect(s) in the drilled shaft, the testing costs and the delay costs resulting from the additional testing will be borne by the Contractor. If this additional testing indicates that the drilled shaft has no defect, the testing costs and the delay costs resulting from the additional testing will be paid by the Department, and, if the drilled shaft construction is on the critical path of the Contractor's schedule, a time extension equal to the delay created by the additional testing will be granted.

For all drilled shafts determined to be unacceptable, submit a plan for further investigation or remedial action to the Engineer for approval. All modifications to the dimensions of the drilled shafts, as shown in the Plans, required by the investigation and remedial action plan must be supported by calculations and working drawings. All investigation and remedial correction procedures and designs must be prepared by a registered Professional Engineer licensed in the State of Delaware, and submitted to the Engineer for approval. Do not begin repair operations until receiving the Engineer's written approval of the investigation and remedial action plan.

Prior to beginning coring, submit the method and equipment to be used to drill and remove cores from drilled shaft concrete to the Engineer, and do not begin coring until the Engineer's written approval has been received. The coring method and equipment will include for complete core recovery and will minimize abrasion and erosion of the core.

Dewater all access tubes and cored holes and fill with grout after tests are completed and the drilled shaft is accepted. Fill the access tubes and cored holes using grout tubes that extend to the bottom of the tube or hole or into the grout already placed.

Alternative non-destructive tests such as Gamma-Gamma, Sonic Echo/Impulse Response, or Thermal Integrity Profiling may be specified on the Plans or directed by the Engineer to use alongside, or in lieu of, CSL testing. Comply with all requirements for the alternate test methods in accordance with the Plans or Special Provisions.

O. *Drilled Shaft Load Tests*. Install test shafts at the locations shown on the Plans unless otherwise directed or approved by the Engineer.

Install test shafts to the same dimensions, details and elevations shown on the Plans, and install using the same equipment and installation procedures proposed for installation of the foundation drilled shafts.

If the equipment or procedures are changed following the completion of load testing, install additional load test shafts, and conduct additional load tests as directed by the Engineer at no additional cost to the Department.

Complete all load testing and have the results evaluated by the Engineer before installing any production drilled shafts, unless otherwise authorized by the Engineer.

- Axial Static Load Tests. Perform static load tests in accordance with the procedures specified in ASTM D 1143.
- 2. Axial Force Pulse (Rapid) Load Tests. Perform force pulse (rapid) load tests in accordance with the procedures specified in ASTM D 7383.
- 3. Bi-Directional Load Cell Testing. Install load cells and load test instrumentation in accordance with the bi-directional load cell supplier recommendations, instructions, and procedure manual(s), as approved by the Engineer.

The bi-directional load cells must be capable of expanding to not less than 6 inches while maintaining the applied test load.

The Contractor must be responsible for coordinating with the load cell supplier to determine and/or verify all required equipment, materials, quantities, procedures, and all other applicable items necessary to complete the load testing shown on the Plans.

Furnish an acceptable pressurized gas source, a hydraulic pump, hydraulic lines, calibrated hydraulic gauge and other equipment and material necessary to perform the load tests. Furnish fresh, potable water from an approved source to form the hydraulic fluid used to pressurize the bi-directional load cells.

Furnish, install and monitor vibrating wire strain gauges as shown on the Plans and as directed by the Engineer. Place the strain gauges in pairs on opposite sides of the reinforcing cage at the elevations shown on the Plans, unless otherwise directed by the Engineer.

Attach two LVDT vibrating wire displacement gauges to each load cell to monitor the expansion and contraction of the load cell. In addition, mount two LVDT gauges on an independent reference beam and set on opposite sides of the top of the test shaft to monitor axial shaft displacement. Set two telltale rods on the top of each load cell to monitor the displacement of the top of the load cell. The telltale must consist of a 3/8-inch diameter stainless steel rod, greased for reducing friction and corrosion, and placed inside a constant 3/4-inch diameter pipe. Individual sections of telltales must be joint coupled flush so that each rod is of uniform diameter throughout its length.

Furnish a portable computer and electronic logging equipment to simultaneously monitor all instrumentation at time intervals designated by the Engineer.

Assemble the load cells, piping and other attachments in preparation for installation in accordance with the requirements of the bi-directional load cell supplier, unless otherwise specified herein or directed by the Engineer. The following guidelines must be followed.

- a. Weld steel top and bottom bearing plates to the load cells. Provide holes through the bearing plates, as appropriate, to facilitate placement of tremie concrete.
- b. Coat the upper surface of the bottom steel bearing plate with grease prior to installation into the shaft, to prevent concrete bonding with the bottom plate.

- c. Attach the load cells and plate assembly to the reinforcement cage. Securely fasten all hydraulic hoses, telltale casing, slip joints, etc. to the rebar cage. Prior to installation into the drilled shaft excavation, protect the top of any piping to keep dirt, concrete or other deleterious materials from entering the piping.
- d. Limit the deflection of the cage to a maximum of 2 feet between pick points while lifting the cage from the horizontal position to vertical. Provide additional support, bracing, strong backs, etc. to maintain the deflection within the specified tolerance.

For each load test, place the load on the drilled shaft in increments of five percent of the estimated maximum test load shown on the Plans, or until the nominal resistance load (as indicated by the instruments) is approached, or to the maximum capacity of the load cell, whichever occurs first. Unless the maximum capacity of the load cell has been reached, apply increments of 2.5 percent of the estimated maximum test load until the limiting load is attained, or the drilled shaft top displacement reaches 2 inches, or to the maximum extension of the load cell. When the load cell will be used for a subsequent loading stage, the Engineer may interrupt the loading sequence at a load cell opening of approximately 3 inches, or less. Maintain each load increment for a minimum period of 5 minutes, with complete sets of readings obtained and recorded from all gauges and instruments at 1, 2 and 5 minutes after application of the load increment. Apply each increment of load within the minimum length of time practical and take the instrument system readings immediately. It is intended that the addition of a load increment and the completion of the instrument system readings be completed within 5 to 15 minutes. The Engineer may elect to hold the maximum applied load for up to one hour.

Remove the load in decrements of about 10 percent of the maximum test load. Remove each decrement of load within the minimum length of time practical and take the instrument system readings immediately. It is intended that the removal of a load decrement and the completion of the instrument system readings be completed within 5 to 15 minutes. The Engineer may also require a reloading cycle with ten loading increments and five unloading decrements. Record the final recovery of the drilled shaft for a period up to one hour after the last unload interval.

Submit a preliminary test report containing the load displacement curves and other test data to the Engineer within three days of completing each load test. Submit the final report on the load tests to the Engineer within ten days after completion of each load test. Include at least the following items in the test report:

- a. Test shaft identification number and location.
- b. Date(s) of testing.
- c. Description of the test shaft details, instrumentation and test procedures.
- d. Tables presenting all instrumentation data.
- e. Plots of load versus displacement (up and down) for each load cell level, for each stage of the test.
- f. Plots of load along the length of the drilled shaft determined from the strain gauge data for at least ten applied load increments.
- g. Summary of unit side resistance along the length of the drilled shaft and end bearing resistance.
- h. Plots of creep displacement for each load increment.
- i. Plot of equivalent top-of-shaft displacement for the test shaft, developed from the load test data.

After completion of the load test to the satisfaction of the Engineer, and when authorized in writing by the Engineer, flush all hydraulic fluid from the bi-directional load cells and hydraulic lines, and replace with cement grout in accordance with the approved Drilled Shaft Installation Plan. Also grout any voids remaining outside the load cells after completion of the load test.

P. Technique Shafts. Demonstrate the adequacy of its methods, techniques and equipment by successfully constructing a technique shaft or shafts in accordance with the requirements shown on the Plans and these Specifications. Position the technique shaft(s) at the location(s) shown on the Plans or as directed by the Engineer, but not less than a clear distance of three drilled shaft diameters from the closest production shaft. Drill the technique shaft(s) to the maximum diameter and maximum depth of any production drilled shaft shown in the Plans. Unless shown otherwise on the Plans, reinforce the

technique shaft(s) with the same reinforcement as the corresponding size production shaft, and also include CSL tubes as specified herein. Technique shaft(s) must be completed and accepted by the Engineer prior to initiating installation of the load test shafts and foundation drilled shafts. Failure by the Contractor to demonstrate to the Engineer the adequacy of methods and equipment will be reason for the Engineer to require alterations in equipment and/or method by the Contractor to eliminate unsatisfactory results. Any additional technique shaft(s) required demonstrating the adequacy of altered methods or construction equipment will be at the Contractor's expense. Once approval has been given by the Engineer to construct production drilled shafts, no changes will be permitted in the methods or equipment used to construct the satisfactory technique shaft(s) without the written approval of the Engineer.

The technique shaft(s) will be used by the Engineer to determine if the Contractor can:

- 1. Control dimensions and alignment of excavations within tolerance.
- 2. Install casing and remove temporary casing.
- 3. Seal the casing into impervious materials.
- 4. Control the size of the excavation under caving conditions by the use of a mineral or polymer slurry or by other means.
- 5. Properly clean the completed drilled shaft excavation.
- 6. Construct drilled shafts in open water areas.
- 7. Handle and install reinforcing cages.
- 8. Satisfactorily place concrete meeting the Specification requirements within the prescribed time limit.
- 9. Satisfactorily execute any other necessary construction operation.

When authorized in writing by the Engineer, cut off the technique shaft(s) not less than 2 feet below finished grade and left in place. Restore the disturbed areas at the sites of the technique shaft(s) as nearly as practical to their original condition.

#### **Method of Measurement:**

- A. The Engineer will measure drilled shafts by the length in linear feet from the plan top of shaft elevation to the final bottom of shaft elevation. The Engineer will not separately measure excavation, blasting, slurry, reinforcing steel, concrete, grout, integrity testing tubes, or non-destructive testing.
- B. The Engineer will measure technique shafts by the length in linear feet from the existing ground surface elevation at the center of the trial shaft hole prior to drilling to the authorized bottom elevation of the hole. The Engineer will not separately measure excavation, blasting, slurry, reinforcing steel, concrete, grout, integrity testing tubes, or non-destructive testing.
- C. The Engineer will measure permanent casing by the length in linear feet of each size casing used, as measured along the casing from the top of the shaft elevation or the top of casing, whichever is lower, to the bottom of the casing.
- D. The Engineer will measure load tests by the number of load tests completed according to the specified loading procedures and to the designated maximum load shown on the plans. Payment will include all costs related to the performance of the load test and for the reporting of procedures and results.
- E. The Engineer will measure exploratory drilling by the length in linear feet from the ground elevation where the drilling begins to the bottom of the exploration hole.

#### **Basis of Payment:**

Drilled shafts will be paid for at the contract unit price per linear foot, complete-in-place, and accepted by the Engineer. Such payment will be considered to be full compensation for all costs involved with shaft excavation, using slurry when necessary, removal from the site and disposal of excavated material, the furnishing and placing of concrete and reinforcing steel including all labor, materials, equipment, temporary and permanent casing, Integrity Testing, and incidentals necessary to complete the drilled shafts to the diameters and depths under the Contract Documents. Additional compensation will not be allowed for concrete required to fill oversized excavations and casings.

Rock sockets including furnishing and setup of rock socket equipment, drilling, dewatering, inspecting, testing, the furnishing and placing of concrete and reinforcing steel including all labor, materials, and equipment. will be paid for at the Contract price per linear foot for each diameter of rock socket for the pertinent Rock Socket item. The final length will be determined as the difference between the top of the rock socket elevation shown on the plans or as determined by DelDOT and the final bottom of the surface of the rock socket excavation elevation, as determined and authorized, complete, and accepted by DelDOT.

8/24/15

720512 - P.C.C. SAFETY BARRIER PERMANENT, DOUBLE FACE 720529 - P.C.C. SAFETY BARRIER PERMANENT, SINGLE FACE 720587 - P.C.C. SAFETY BARRIER PERMANENT, DOUBLE FACE, MODIFIED 720653 - P.C.C. SAFETY BARRIER PERMANENT, SINGLE FACE, MODIFIED

## **Description:**

This work consists of furnishing all materials and constructing permanent Portland cement concrete safety barrier in accordance with the locations, details, notes shown on the Plans, and/or as directed by the Engineer.

## **Materials:**

Material shall conform to the requirements listed on the Plans, the applicable subsections of Sections 602 & 603 of the Standard Specifications, and as noted herein. Portland cement concrete shall be 4500 psi (30 MPa) minimum and shall conform to the material requirements of Class A, Section 812, Portland Cement Concrete of the Standard Specifications.

Bar reinforcement shall be epoxy coated meeting the requirements Section 604 Grade 60 (Grade 400).

## **Construction Methods:**

Construction shall conform with the applicable subsections of Sections 602 and 603 of the Standard Specifications, details shown on the Plans, and as noted herein.

The Contractor shall have the option of constructing the permanent safety barriers by selecting Cast-In-Place, or Pre-Cast, or Slip-form methods. The Contractor shall submit his/her plans for the selected method to the Department's Materials and Research Section for approval. In case of selecting the Slip-form method, the Contractor shall be able to demonstrate his/her ability to successfully accomplish the item by his/her past involvement in doing such work. Slip-form plans shall show the sawing of 3 inch (75 mm) deep contraction joints at a maximum of 20-foot intervals. The Contractor shall provide joints to ensure crack-free concrete. Any cracking due to the Contractor's operations shall be replaced at no additional cost to the Department.

#### **Method of Measurement:**

The quantity of permanent Portland cement concrete safety barrier will be measured by the linear foot (linear meter) along one continuous front toe of the barrier, installed in place and accepted, including all barrier transitions, and end sections.

#### **Basis of Payment:**

The quantity of portland cement concrete safety barrier will be paid for at the Contract unit price per linear foot (linear meter) for each type of barrier. Price and payment will constitute full compensation for all materials, formwork, sawing of joints, joint seals and fillers, reinforcement bars, weep holes, and concrete all complete in place and accepted, for all labor, equipment, tools and incidentals necessary to complete the work. Payment for excavation and the P.C.C. footer portion of the barrier are included in this item.

11/19/12

### 720517 - IMPACT ATTENUATOR, TYPE I

## **Description:**

This work consists of furnishing and installing impact attenuator in accordance with the locations, notes and details on Plans, these Special Provisions, and as directed by the Engineer.

#### **Materials:**

The impact attenuator shall be a nongating device meeting the requirements of the NCHRP Report 350, Test Level 3. The configuration of the device shall be as specified (in published literature) by the manufacturer for the design speed indicated on the Plans. Dimensional requirements, if any, shall be as noted on the Plans.

The impact attenuator shall be designed and constructed so there is no solid debris on the roadway after either head-on or side angle impacts.

The impact attenuator shall be designed for quick and easy repair after an impact.

The impact attenuator must be approved by the Department prior to use. The Contractor shall submit the manufacturer's certification, literature and shop drawings for approval.

#### **Construction Methods:**

Installation of the impact attenuator shall be accomplished by experienced workmen in accordance with the manufacturer's recommendations. The Contractor shall provide written certification that the impact attenuator has been properly installed.

## **Method of Measurement:**

The quantity of Impact Attenuator, Type 1 will be measured as the number installed and accepted.

#### **Basis of Payment:**

The quantity of impact attenuators will be paid for at the Contract unit price per each for "Impact Attenuator Type 1", which price and payment shall constitute full compensation for all materials and hardware required for furnishing and installing the impact attenuator complete in place and accepted, certificate of compliance from the manufacturer, shop drawing showing the details of the attenuator being attached to the existing object for approval, for excavation, foundation (if required), for all labor, equipment and incidentals necessary to complete the item.

11/14/01

# NOTE:

When this item is completely installed, the Contractor may notify the Engineer and request acceptance. The Engineer will make an inspection of the installation and the Contractor shall correct any deficiencies. Once the corrective work is completed to the satisfaction of the Engineer, the installation will be accepted and the Contractor will be relieved from the responsibility for this item. If this item is damaged before the final acceptance of the project, and the damage is not the result of the Contractor's negligence, the Engineer will notify the Contractor to make repairs, and the Contractor will make repairs at the unit price bid (in the case of complete replacement or at a negotiated price (in the case of partial replacement or repair)). Damaged caused by the Contractor shall be repaired at no cost to the Department.

#### Contract No. T201407004.01

720585 - GUARDRAIL END TREATMENT ATTENUATOR, TYPE 1 - 31 720586 - GUARDRAIL END TREATMENT ATTENUATOR, TYPE 2 - 31 720588 - GUARDRAIL END TREATMENT ATTENUATOR, TYPE 3 - 31

## **Description:**

This work consists of furnishing and installing an impact attenuating guardrail end treatment in accordance with the locations, notes and details on the Plans, the Standard Construction Details, these Special Provisions, and as directed by the Engineer.

#### **Materials:**

The end treatment system shall meet the requirements of NCHRP Report No. 350 Test Level 3. The Guardrail End Treatment, Type 1 shall be designed for installation parallel to the roadway. The Guardrail End Treatment, Type 2 shall be designed for installation with the end flared back from the roadway. The Guardrail End Treatment, Type 3 shall be designed for installation where 2 runs of guardrail come together.

The entire end treatment shall be designed for quick and easy replacement after an impact.

Guardrail End Treatment Attenuator Type 1 shall have a minimum of 2 square feet (0.2 square meters) of yellow retroreflective material on the nose. Guardrail End Treatment Attenuator, Type 2 and Type 3 shall have a minimum of 3 square feet (0.3 square meters) of yellow retroreflective material on the nose.

The Contractor shall submit shop drawings, the manufacturer's certification, and the manufacturer's installation instructions to the Engineer. Installation cannot begin until these submissions have been approved by the Engineer.

## **Construction Methods:**

The end treatment system shall be fabricated and installed in accordance with the manufacturer's recommendations and details shown on the Plans.

The end treatment system shall be installed so that there is no rigid object projecting more 4" (100 mm) above ground level in that portion of the attenuator impacted and broken away by an errant vehicle. It is the intent that the errant vehicle not be snagged by an embedded component of the end treatment attenuator.

The grading between the edge of pavement and the end treatment shall be 10:1 or flatter for the length of the end treatment.

Reflectorized washers are not to be used on attenuators unless specified and/or approved by the manufacturer.

The Guardrail End Treatment Attenuator, Type 1 shall be installed with steel tubes and soil plates for the first 4 (min.) wood post. As an alternate, the first 4 (min.) post may be hinged, breakaway steel post if the manufacturer's specifications permit.

Unless otherwise noted on the Plans, the Guardrail End Treatment Attenuator, Type 1 shall be installed with a 25:1 taper beginning 50' (15 m) from the end of the end treatment.

#### **Method of Measurement:**

The quantity of guardrail end treatment attenuators will be measured as the number of each type fabricated, installed and accepted.

Note: All guardrail end treatment attenuators will be considered as 50 feet (15 meters) long. The 50' (15 m) length will begin at the center of the nose post and extend back along the attenuator and guardrail to which it is attached. Any guardrail within the 50' (15 m) length will be considered as part of the guardrail end treatment attenuator and not be measured separately. Measurement for the guardrail will begin 50' (15 m) from the center of the nose post of the attenuator.

## **Basis of Payment:**

The quantity of guardrail end treatment attenuators will be paid for at the Contract unit price per each type of guardrail end treatment attenuator. Price and payment will constitute full compensation for furnishing all materials, fabrication and installation and for all materials, labor, equipment, tools and incidentals required to complete the work.

<u>Note</u>: When this item is completely installed, the Contractor may notify the Engineer and request acceptance. The Engineer will make an inspection of the installation and the Contractor shall correct any deficiencies. Once the corrective work is completed to the satisfaction of the Engineer, the installation will be accepted and the Contractor will be relieved from the responsibility for this item. If this item is damaged before the final acceptance of the project, and the damage is not the result of the Contractor's negligence, the Engineer will notify the Contractor to make repairs, and the Contractor will make repairs at the unit price bid (in the case of complete replacement) or at a negotiated price (in the case of partial replacement or repair). Damage caused by the Contractor shall be repaired at no cost to the Department.

8/12/2013

# 727547- REMOVAL OF FENCE

# **Description:**

This work consists of the removal, wholly or in part, and salvaging of chain link fence components, backfilling of cavities caused by post removal. The fence to be salvaged is shown on the plans.

## **Construction Methods:**

The Contractor shall remove the wire fabric and roll it in a manner that avoids damage. The steel posts shall be removed from the ground and the concrete removed such that the posts are not damaged during the removal.

## **Method of Measurement:**

The chain link fence designated on the plans to be removed will be the number of linear feet measured inplace prior to removal.

# **Basis of Payment:**

The chain link fence that is satisfactorily removed will be paid for at the contract unit price per linear foot. Price and payment will constitute full compensation for the removal of the fence, furnishing material and backfilling of cavities.

3/22/2010

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744500 - CONDUIT JUNCTION WELL, TYPE 6, PRECAST POLYMER CONCRETE
744506 - CONDUIT JUNCTION WELL, TYPE 7, PRECAST POLYMER CONCRETE
744507 - CONDUIT JUNCTION WELL, TYPE 8, PRECAST POLYMER CONCRETE
744508 - CONDUIT JUNCTION WELL, TYPE 9, PRECAST POLYMER CONCRETE
744509 - CONDUIT JUNCTION WELL, TYPE 10, PRECAST POLYMER CONCRETE
744520 - CONDUIT JUNCTION WELL, TYPE 1, PRECAST CONCRETE
744523 - CONDUIT JUNCTION WELL, TYPE 4, PRECAST CONCRETE
744530 - CONDUIT JUNCTION WELL, TYPE 11, PRECAST CONCRETE/POLYMER LID-FRAME
744531 - CONDUIT JUNCTION WELL, TYPE 14, PRECAST CONCRETE/POLYMER LID-FRAME
744532 - CONDUIT JUNCTION WELL, TYPE 15, PRECAST CONCRETE/POLYMER LID-FRAME
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## **Description:**

This work consists of supplying, constructing and installing conduit junction wells as shown on the applicable Plan Sheets or Standard Construction details

### **Materials:**

Concrete shall conform to Section 812, Class B of the Standard Specifications.

Castings shall conform to Section 708.05 of the Standard Specifications.

Frames and lids shall be in accordance with Sections 708 and 744 of the Standard Specifications.

All required hardware and wire for Bonding and Grounding as shown on the Standard Construction or applicable Plan details.

Types 6, 7, 8 and 10 are precast polymer concrete stackable boxes with no base.

Precast polymer concrete is reinforced by heavy-weave fiberglass with a compressive strength of 9,000-15,000 psi, impact energy of 30-72 ft. lbs. and a tensile strength of 800-1,100 psi. Precast polymer concrete should be tested according to the requirements of ASTM Method D-543, Section 7, Procedure 1 for chemical resistance.

All precast polymer concrete covers shall be the heavy-duty type with a design load of 15,000 lbs. over a 10" square. The coefficient of friction should be greater than 0.5. The precast polymer concrete cover logo shall bear the inscription "DelDOT" (Types 6, 8, and 10) or "DelDOT TRAFFIC FIBER OPTICS" (Type 7).

Types 11, 14, and 15 are precast polymer frame and lids installed on a precast concrete base. Precast polymer concrete frame and lids shall be the heavy-duty nonconductive type with a design load of 15,000 lbs. over a 10" square. The coefficient of friction should be greater than 0.5. The precast polymer concrete lid logo shall bear the inscription "DelDOT ELECTRIC" (Types 11, 14, and 15)

## **Construction Methods:**

The conduit junction well shall conform to the dimensions shown on the Standard Construction or applicable Plan Details, or on the manufacturer's specifications and shall be built so as to ensure that the cast iron frame and lid or polymer concrete box and cover are set level with the surrounding surface when constructed within pavement, sidewalks, pedestrian curb ramps, etc., and set above grade and graded to drain away from the junction well when constructed in unpaved areas. More than one conduit may extend into the well and shall conform to the dimensions shown on the applicable plan sheets or Standard Construction Details. A stone base shall be built for all types of junction wells. Grounding and bonding of the units shall be performed as shown on the plans or Standard Construction details.

#### **Method of Measurement:**

The quantity of junction wells shall be the actual number of conduit junction wells by type, that are supplied, constructed, complete in place, and accepted, including cast iron frames and lids with grounding lugs, precast polymer concrete frame and covers, or precast polymer concrete covers, stone base, bonding, grounding, and splicing if required. Frames and lids or precast polymer concrete covers must be installed prior to acceptance of this item.

Payment for all conduits extending into the junction well shall be included in the items for conduit installation.

The length of ALL conduits within a junction well shall conform to the Standard Construction or applicable Plan Details or as directed by Engineer. Payment for cutting existing conduit as directed by Engineer, where a junction well is replaced with a larger type of junction well is included in the bid price. The removal and replacement of cables within the conduits to be shortened shall be handled under other items of this contract.

### **Basis of Payment:**

Payment for conduit junction wells as measured above shall be made at the Contract unit price per each junction well of the type indicated, completely installed and constructed, including excavation, backfilling, and stone base. Price and payment will constitute full compensation for all labor, equipment, tools, and incidentals required to complete the work.

2/29/12

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748506 - PERMANENT PAVEMENT STRIPING, EPOXY RESIN PAINT, WHITE/YELLOW, 4"
748507 - PERMANENT PAVEMENT STRIPING, EPOXY RESIN PAINT, WHITE/YELLOW, 6"
748508 - PERMANENT PAVEMENT STRIPING, EPOXY RESIN PAINT, WHITE/YELLOW, 8"
748509 - PERMANENT PAVEMENT STRIPING, EPOXY RESIN PAINT, WHITE/YELLOW,
12"
748510 - PERMANENT PAVEMENT STRIPING, SYMBOL/LEGEND, EPOXY RESIN PAINT
    748535 - PERMANENT PAVEMENT STRIPING, EPOXY RESIN PAINT, BLACK, 4"
    748536 - PERMANENT PAVEMENT STRIPING, EPOXY RESIN PAINT, BLACK, 6"
    748537 - PERMANENT PAVEMENT STRIPING, EPOXY RESIN PAINT, BLACK, 8"
   748538 - PERMANENT PAVEMENT STRIPING, EPOXY RESIN PAINT, BLACK, 10"
   748539 - PERMANENT PAVEMENT STRIPING, EPOXY RESIN PAINT, BLACK, 12"
    748540 - PERMANENT PAVEMENT STRIPING, EPOXY RESIN PAINT, BLACK, 16"
748548 - PERMANENT PAVEMENT STRIPING, EPOXY RESIN PAINT, WHITE/YELLOW, 5"
748549 -PERMANENT PAVEMENT STRIPING, EPOXY RESIN PAINT, WHITE/YELLOW, 10"
    748557 - PERMANENT PAVEMENT STRIPING, EPOXY RESIN PAINT, BLACK, 3"
    748559 - PERMANENT PAVEMENT STRIPING, EPOXY RESIN PAINT, BLACK, 5"
    748568 - PERMANENT PAVEMENT STRIPING, EPOXY RESIN PAINT, BLACK, 9"
   748569 - PERMANENT PAVEMENT STRIPING, EPOXY RESIN PAINT, BLACK, 14"
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## **Description:**

This work consists of striping layout, furnishing and applying white or yellow, epoxy reflectorized pavement markings or black epoxy contrast pavement markings at the locations and in accordance with the patterns indicated on the Plans, or as directed by the Engineer, and in accordance with these specifications.

The white/yellow epoxy marking material shall be hot-applied by spray methods onto bituminous and/or Portland cement concrete pavement surfaces as required by the Plans. Following an application of double drop glass beads of two sizes and upon curing, the resultant epoxy marking shall be an adherent reflectorized stripe of the specified thickness and width that is capable of resisting deformation by traffic. All marking materials shall be certified lead free and free of cadmium, mercury, hexvalent chromium, and other toxic heavy metals. The black epoxy marking shall be a two-component, hot-spray applied epoxy resin pavement marking material to be used for pavement marking on Portland cement concrete pavement surfaces. Following an aggregate drop, and upon curing, it shall produce an adherent stripe of specified thickness and width capable of resisting wear from traffic. Black contrast pavement markings will be required on all

#### **Materials Requirements:**

# A. White and Yellow Reflectorized Epoxy

Portland cement concrete pavements.

# 1. Epoxy Composition Requirements:

The epoxy resin composition shall be specifically formulated for use as a pavement marking material and for hot-spray application at elevated temperatures. The type and amounts of epoxy resins and curing agents shall be at the option of the manufacturer, providing the other composition and physical requirements of this specification are met.

The epoxy marking material shall be a two-component (Part A and Part B), 100% solids type system formulated and designed to provide a simple volumetric mixing ratio (e.g. two volumes of Part A to one volume of Part B).

Component A of both white and yellow shall conform to the following requirements:

	% BY WEIGHT	
_	WHITE:	YELLOW:
Pigments	Titanium Dioxide - 18% Min.	Organic Yellow - 6%-10%
	(ASTM D476, Type II)	
Epoxy Resin	75% Min., 82% Max.	70% Min., 77% Max.

#### Contract No. T201407004.01

The entire pigment composition shall consist of either titanium dioxide and/or organic yellow pigment. No extender pigments are permitted. The white pigment upon analysis, shall contain a minimum of 16.5% TiO<sub>2</sub> (100% purity).

Epoxy Content-WPE (Component A) - The epoxy content of the epoxy resin will be tested in accordance with ASTM D1652 and calculated as the weight per epoxy equivalent (WPE) for both white and yellow. The epoxy content will be determined on a pigment free basis. The epoxy content (WPE) shall meet a target value provided by the manufacturer and approved by the Department's Material and Research Section (from now on will be addressed as Department). A  $\pm$  50 tolerance will be applied to the target value to establish the acceptance range.

Amine Value (Component B) - The amine value of the curing agent shall be tested in accordance with ASTM D2074-66 to determine its total amine value. The total amine value shall meet a target value provided by the manufacturer and approved by the Department. A  $\pm 50$  tolerance will be applied to the target value to establish the acceptance range.

<u>Toxicity</u> - Upon heating to application temperature, the material shall not exude fumes which are toxic or injurious to persons or property.

<u>Viscosity</u> - Formulations of each component shall be such that the viscosity of both components shall coincide (within 10%) at a recommended spray application.

## 2. Physical Properties of Mixed Composition:

Unless otherwise noted, all samples are to be prepared and tested at an ambient temperature of 73  $\pm$  5°F. (23  $\pm$  3°C).

- a. Color. The white epoxy composition when applied at a minimum wet film thickness of 20±1 mils (500 μm) as applicable and allowed to dry, shall plot within the boundaries described by the four corner points listed in Tables 1 and 2 of ASTM D 6628-01 when measured in accordance with the test methods prescribed in Section 7 of ASTM D 6628-01. The yellow epoxy composition when applied at a minimum wet film thickness of 20±1 mils (500 μm) as applicable and allowed to dry, shall plot within the boundaries described by the four corner points listed in Tables 1 and 2 of ASTM D 6628-01 when measured in accordance with the test methods prescribed in Section 7 of ASTM D 6628-01.
- b. <u>Directional Reflectance</u>. The white epoxy composition (without glass spheres) shall have a daylight directional reflectance of not less than 84% relative to a magnesium oxide standard when tested in accordance with Method 6121 of Federal Test Method Standard No. 141. The yellow epoxy composition (without glass spheres) shall have a daylight directional reflectance of not less than 55% relative to a magnesium oxide standard when tested in accordance with Method 6121 of Federal Test Method Standard No. 141.
- c. <u>Drying Time (Laboratory)</u>. The epoxy composition, when mixed in the proper ratio and applied at a 20±1 mils (500 µm) minimum wet film thickness, and immediately dressed with large reflective glass spheres (Federal Spec. Type 4)at a rate of 12 lb/gal (1.4 kg/l) of epoxy pavement marking materials, immediately followed by a second drop of AASHTO M-247 Type 1 glass spheres applied at a rate of 12 lb/gal (1.4 kg/L) of epoxy pavement marking material, shall exhibit a no-track condition in 15 minutes or less (ASTM D711). A Bird Applicator or any other doctor blade shall be used to produce a uniform film thickness.
- d. <u>Drying Time (Field)</u>. When installed at a minimum wet film thickness of 20±1 mils (500 or 625 um) and reflectorized with glass spheres, the maximum drying times shall correspond to these temperatures:

80°F (27°C)	10 minutes
70°F (21°C)	10 minutes
60°F (16°C)	15 minutes
50°F (10°C)	25 minutes
40°F (4°C)	45 minutes
35°F (2°C)	60 minutes

The composition shall dry to "no-tracking" in approximately 10 minutes, and after thirty (30) minutes shall show no damaging effect from traffic. Dry to "no-tracking" shall be considered as the condition where no visual deposition of the epoxy marking to the pavement surface is observed when viewed from a distance of 100 feet (30 meters), after a passenger car is passed over the line. Regardless of the temperature at the time of installation, the installation contractor shall be responsible for protection of the markings material until dry to a non-tracking state.

- e. <u>Abrasion Resistance</u>. The wear index of the composition shall not exceed 82 when tested in accordance with ASTM C501 using a CS-17 wheel and under a load of 1000 grams for 1000 cycles.
- f. Tensile Strength. The tensile strength of the epoxy composition shall not be less than 6000 psi (41 MPa) when tested in accordance with ASTM D638 using a Type IV specimen [0.125"  $\pm$  0.010" (3.18  $\pm$  0.25 mm) thick]. Tests shall be conducted at an ambient temperature of 75  $\pm$  5°F (24  $\pm$  3°C). The testing machine shall operate at a speed of 0.20" (5.1 mm) per minute.

The total conditioning or drying period, from the time the epoxy composition is first mixed to the time of testing, shall not be less than 24 hours nor more than 96 hours.

Test specimens for tensile strength determination will be prepared as follows:

A 1/8 inch (3 mm) thick sheet of epoxy material is cast from a reservoir-type mold, fabricated from polyterrafluorethylene (PTFE), 1/8" deep x 10" x 10" (3 mm deep x 250 mm x 250 mm).

Prior to casting, the mold is sprayed with a suitable release agent. A sufficient amount of epoxy composition is mixed in the proper proportions (A:B) and poured level with the top of the mold. Care should be taken so as not to decrease or exceed the 1/8" (3 mm) thickness.

After a period of 1 to 4 hours, the material will have set into a semi-rigid sheet that is flexible enough to die-cut yet rigid enough to retain its shape. While the material is in this "plastic" state, five (5) specimens shall be die-cut and then placed on a flat, smooth, PTFE surface for the completion of the specified conditioning period.

g. Compressive Strength. The compressive strength of the epoxy composition shall not be less than 12,000 psi (83 MPa) when tested in accordance with ASTM D695 except that a compression tool shall not be necessary. The test specimen shall be a right cylinder [0.50 inch diameter by 1.0 inch length (12 mm diameter by 25 mm length)]. Tests shall be conducted at an ambient temperature of  $75 \pm 5$ °F ( $24 \pm 3$ °C).

The total conditioning or drying period, from the time the epoxy composition is first mixed to the time of testing shall not be less than 24 hours nor more than 96 hours.

Test specimens for compressive strength determinations will be prepared as follows:

Five molds will be prepared from 1/2" (12 mm) I.D., 1/16" (1.5 mm) wall thickness acrylic tubing, cut in 1 1/2" (38 mm) lengths. After spraying the inside of the mold with a suitable release agent, (1) the cylindrical tubes are placed in a vertical position on a PTFE sheet base. A sufficient amount of epoxy composition is thoroughly mixed in the proper proportions (A:B) and poured into the mold to a depth of approximately 1 1/4" (32 mm). After a minimum of 72 hours curing, the specimens are removed from the molds and machined to a length of  $1" \pm 0.002"$  (25 mm  $\pm 0.05$  mm).

h. <u>Hardness</u>. The epoxy composition when tested in accordance with ASTM D2240 shall have a Shore D hardness of between 75 and 100. Samples shall be allowed to dry for not less than 24 hours nor more than 96 hours prior to testing.

### B. Reflective Glass Spheres/Beads

Reflective glass spheres for drop-on application shall conform to the following requirements:

The glass spheres shall be colorless; clean; transparent; free from milkiness or excessive air bubbles; and essentially clean from-surface scarring or scratching. They shall be spherical in shape and at least

80% of the glass beads shall be true spheres when tested in accordance with ASTM D1155. At least 80% of the Type IV beads shall be true spheres as measured by the visual method.

The refractive index of the spheres shall be a minimum of 1.50 as determined by the liquid immersion method at  $77^{\circ}F$  ( $25^{\circ}C$ ).

The silica content of the glass spheres shall not be less than 60%.

The crushing resistance of the spheres shall be as follows: A 40 lb. (18 kg) dead weight, for 20 to 30 (850  $\mu$ m to 600  $\mu$ m) mesh spheres shall be the average resistance when tested in accordance with ASTM D1213.

The glass spheres shall have the following grading when tested in accordance with ASTM D1214.

M247 AASHTO Type 1 Glass Spheres U.S. Standard Sieve #20 (850μm) #30 (600μm) #50 (300μm) #100 (150μm) Pan	% Retained 0 5-25 40-65 15-35 0-5	% Passing 100 75-95 15-35 0-5
Type 4 Large Spheres U.S. Standard Sieve #10 (2000 μm) #12 (1680 μm) #14 (1410 μm) #16 (1190 μm) #18 (1000 μm) #20 (850 μm) Pan	% Retained 0 0-5 5-20 40-80 10-40 0-5 0-2	% Passing 100 95-100 80-95 10-40 0-5 0-2

The AASHTO M247 Type 1 glass spheres shall be treated with a moisture-proof coating. They shall show no tendency to absorb moisture in storage and shall remain free of clusters and hard lumps. They shall flow freely from dispensing equipment at any time when surface and atmosphere conditions are satisfactory for marking operations. The moisture-resistance of the glass spheres shall be determined in accordance with AASHTO M247 test method 4.4.1.

Type IV glass spheres shall be treated with an adhesion coating. They shall show no tendency to absorb moisture in storage and shall remain free of clusters and hard lumps. They shall flow freely from dispensing equipment at any time when surface and atmosphere conditions are satisfactory for marking operations. The adhesion coating property of the Type IV beads shall be tested in accordance with the dansylchloride test.

# C. Black Epoxy Contrast Markings

Epoxy Resin Requirements: The two-component, 100% solids, paint shall be formulated and designed to provide a simple volumetric mixing ratio (e.g. 2 part component A to 1 part component B) specifically for service as a hot-spray applied binder for black aggregate in such a manner as to produce maximum adhesion. The material shall be composed of epoxy resins and pigments only.

The paint shall be well mixed in the manufacturing process and shall be free from defects and imperfections that may adversely affect the serviceability of the finished product. The paint shall not thicken, curdle, gel, settle excessively, or otherwise display any objectionable properties after storage. Individual components shall not require mixing prior to use when stored for a maximum of 6 months.

The overall paint composition shall be left to the discretion of the manufacturer, but shall meet the following requirements:

#### Contract No. T201407004.01

Composition: Component Percent By Weight
Carbon Black 7±2 percent, by weight

(ASTM D476 Type III)

Talc 14±2 percent, by weight Epoxy Resin 79±4 percent, by weight

# D. Black Aggregate

The moisture resistant aggregate shall meet the gradation requirements (AASHTO T27) as follows:

Sieve Size	Percent Retained
#30	18-28%
#40	60-80%
#50	2-14%

The moisture resistant aggregate shall have a ceramic coating. The aggregate shall be angular with no dry dispensement pigment allowed.

Hardness: The black aggregate hardness shall be 6.5-7 on Moh's

Mineral Scale.

Porosity: The black aggregate porosity shall be less than two (2)

percent.

Moisture Content: The black aggregate moisture content shall be less than a

half (.5) percent.

### E. Packaging and Shipment

Epoxy pavement marking materials shall be shipped to the job site in strong substantial containers. Individual containers shall be plainly marked with the following information:

- a. Name of Product
- b. Lot Number
- c. Batch Number
- d. Test Number
- e. Date of Manufacture
- f. Date of expiration of acceptance (12 months from date of manufacture)
- g. The statement (as appropriate)
  - Part A Contains Pigment & Epoxy Resin
  - Part B Contains Catalyst
- h. Ouantity
- i. Mixing proportions, Application Temperature and Instructions
- j. Safety Information
- k. Manufacturer's Name and Address

Reflective glass spheres shall be shipped in moisture resistant bags. Each bag shall be marked with the name and address of the manufacturer and the name and net weight of the material.

F. The Department reserves the right to randomly take a one-quart sample of white, yellow and hardener, of the epoxy material or glass spheres without prior notice for testing to ensure the epoxy material meets specifications.

#### **Epoxy Application Equipment:**

Application equipment for the placement of epoxy reflectorized pavement markings shall be approved by the Department, prior to the start of work.

At any time throughout the duration of the project, the Contractor shall provide free access to his epoxy application equipment for inspection by the Engineer or his authorized representative.

In general, the application equipment shall be a mobile, truck mounted and self contained pavement marking machine, specifically designed to apply epoxy resin materials and reflective glass spheres in continuous and skip-line patterns. The application equipment shall be maneuverable to the extent that straight lines can be followed and normal curves can be made in a true arc. In addition, the truck mounted unit shall be provided with accessories to allow for the marking of legends, symbols, crosswalks, and other special patterns.

The Engineer may approve the use of a portable applicator in lieu of truck mounted accessories, for use in applying special markings only, provided such equipment can demonstrate satisfactory application of reflectorized epoxy markings in accordance with these specifications.

The applicator shall be capable of installing up to 20,000 lineal feet (6,100 lineal meters) of epoxy reflectorized pavement markings in an 8-hour day and shall include the following features:

- 1. The applicator shall provide individual material reservoirs, or space, for the storage of Part A and Part B of the epoxy resin composition; for the storage of water; and for the storage of reflective glass spheres.
- 2. The applicator shall be equipped with heating equipment of sufficient capacity to maintain the individual epoxy resin components at the manufacturer's recommended temperature for spray application and for heating water to a temperature of approximately 140°F (60°C).
- 3. The glass spheres shall be gravity dropped upon 20 mils (500 um) of epoxy pavement markings to produce a wet-night-reflective pavement marking. The large spheres (Federal Spec. Type 4) shall be applied at a rate of 12 pounds per gallon (1.4 kg/L) of epoxy pavement marking material, immediately followed by a second drop of AASHTO M-247 Type 1 glass spheres applied rate of 12 pounds per gallon (1.4 kg/L) of epoxy pavement marking material. This application rate and the following gradation shall conform to FHWA's FP-96: Standard Specifications for Construction of Roads and Bridges on Federal Highway Projects (pages 757-761 Type 3 and Type 4 Beads).
- 4. The applicator shall be equipped with metering devices or pressure gauges, on the proportioning pumps. Metering devices or pressure gauges shall be visible to the Engineer.
- 5. The applicator shall be equipped with all the necessary spray equipment, mixers, compressors, and other appurtenances to allow for the placement of epoxy reflectorized pavement markings in a simultaneous sequence of operations as described below in Construction Details, D. Applications of Epoxy Reflectorized Pavement Markings of this Special Provisions.

## **Construction Details.**

A. <u>General</u>: All pavement marking and patterns shall be placed as shown on the Plans or as directed by the Engineer.

Before any pavement markings work is begun, a schedule of operations shall be submitted for the approval of the Engineer. This schedule shall be submitted 2 weeks prior to the application of the striping.

At least five (5) days prior to starting striping the Contractor shall provide the Engineer with the epoxy manufacturer's written instructions for use. These instructions shall include but not be limited to: mixing ratios, application temperatures, and recommendations for use of water spray.

The application of pavement markings shall be done in the general direction of traffic. Striping against the direction of traffic flow shall not be allowed.

The Contractor shall be responsible for removing, to the satisfaction of the Engineer, tracking marks, spilled epoxy or epoxy markings applied in unauthorized areas.

The hot water spray shall not be used in conjunction with markings applications on any pavement surface, or on any existing durable type marking, unless specifically recommended by the manufacturer of the epoxy material.

- B. <u>Atmospheric Conditions</u>: Epoxy pavement markings shall only be applied during conditions of dry weather and on substantially dry pavement surfaces. At the time of installation the pavement surface temperature shall be a minimum of 35°F (2°C) and the ambient temperature shall be a minimum of 35°F (2°C) and rising. The Engineer shall be the sole determiner as to when atmospheric conditions and pavement surface conditions are such to produce satisfactory results.
- C. <u>Surface Preparations</u>: The Contractor shall clean the pavement or existing durable marking to the satisfaction of the Engineer.

Surface cleaning and preparation work shall be performed only in the area of the epoxy markings application.

At the time of application <u>all</u> pavement surfaces and existing durable markings shall be free of oil, dirt, dust, grease and similar foreign materials. The cost of cleaning these contaminants shall be included in the bid price of this item. Also, the item shall include the cost of removal of the curing component in the area of the epoxy markings application, if concrete curing compounds on new portland cement concrete surfaces have been used. Waterblasting will not be permitted for removal.

D. Application of White/Yellow Epoxy Reflectorized Pavement Markings: White/yellow epoxy reflectorized pavement markings shall be placed at the widths and patterns designated on the Contract Plans.

Markings operations shall not begin until applicable surface preparation work is completed, and approved by the Engineer.

White/yellow epoxy pavement markings shall be applied at a minimum uniform thickness of 20 mils (500 µm) on all Portland cement concrete and bituminous concrete pavement, including Stone Matrix Asphalt.

Large reflective glass spheres (Federal Spec. Type 4) shall be applied at the rate of 12 pounds per gallon (1.4 kg/L) of epoxy pavement marking material, immediately followed by a second drop of AASHTO M-247 Type 1 glass spheres applied at a rate of 12 pounds per gallon (1.4 kg/L) of epoxy pavement marking material. Glass spheres shall uniformly cover the length and width of the pavement marking.

E. <u>Application of Black Epoxy Contrast Pavement Markings</u>: Black epoxy contrast pavement markings shall be placed at the widths designated on the Contract Plans.

Markings operations shall not begin until applicable surface preparation work is completed, and approved by the Engineer.

Black epoxy contrast pavement markings shall be applied at a minimum uniform thickness of 20 mils (500  $\mu$ m) on all Portland cement concrete surfaces followed by a single drop of graded black aggregate.

The width of black epoxy line shall be applied for the following situations:

<u>Center Skip Line</u> - On Portland cement concrete pavements a black contrast skip line shall be 10 feet (3 m) in length of the same width as the white epoxy reflectorized skip. It is to lead the white skip and stop at the beginning of the white skip. The black contrast skip is to have a single application of graded black aggregate.

Edge Lines -White Edge lines on Portland cement concrete pavements shall have a 3 inch black contrast line running parallel to the white edge line. The contrast line shall be to the inside or travel lane side of the edge line. The black contrast marking is to be applied with a single drop of graded black aggregate. Once it has cured sufficiently so as not to track, the reflectorized white line is to be applied along side of the contrast line and the two lines shall adjoin each other.

<u>Dotted Line</u>: All dotted lines on Portland cement concrete pavements shall have a base of black contrast markings which is 4 inches (100 mm) wider than the reflective white marking. The black contrast marking is to be applied first with a single drop of graded black aggregate. Once it has cured sufficiently so as not to track, the reflectorized white line is to be applied on top of it. The reflective line is to be centered along the black contrast line such that a minimum of 2 inches (50 mm) of black contrast marking is visible on either side of the reflective marking.

- F. <u>Defective Epoxy Pavement Markings</u>: Epoxy reflectorized pavement markings, which after application and curing are determined by the Engineer to be defective and not in conformance with this specification, shall be repaired. Repair of defective markings shall be the responsibility of the Contractor and shall be performed to the satisfaction of the Engineer as follows:
  - 1. Insufficient film thickness [(less than 20+1 mils (500 μm) as applicable] and line widths; insufficient glass bead coverage or inadequate glass bead retention.

Repair Method: Prepare the surface of the defective epoxy marking by shot blasting, sand blasting, or water blasting. No other cleaning methods will be allowed. Surface preparation shall be performed to the extent that a substantial amount of the reflective glass spheres are removed and a roughened epoxy marking surface remains.

Immediately after surface preparation remove loose particles and foreign debris by brooming or blasting with compressed air.

Repair shall be made by re-striping over the cleaned surface, in accordance with the requirements of this specification and at a full 20+1 mils (500 µm) minimum line thickness as applicable.

2. Uncured or discolored epoxy (brown patches); insufficient bond to pavement surface (or existing durable marking).

Uncured epoxy shall be defined as applied material that fails to cure (dry) in accordance with the requirements of this specification under <u>MATERIALS</u>, A, 2d. <u>DRYING TIME (FIELD)</u>; or applied material that fails to cure (dry) within a reasonable time period under actual field conditions, as defined by the Engineer.

Discoloration (brown patches) shall be defined as localized areas or patches of brown or grayish colored epoxy marking material. These areas often occur in a cyclic pattern and also, often are not visible until several days or weeks after markings are applied.

<u>Repair Method</u>: The defective epoxy marking shall be completely removed and cleaned to the underlying pavement surface to the satisfaction of the Engineer.

The extent of removal shall be the defective area plus any adjacent epoxy pavement marking material extending one foot (300 mm) any direction. After surface preparation work is complete, repair shall be made by re-applying epoxy over the cleaned pavement surface in accordance with the requirements of this specification.

3. Reflectivity for epoxy resin paint.

After satisfactory completion of all striping work and written notification from the Contractor, the Department shall test the striping to ensure it has the minimum reflectivity. The testing will be completed within 30 calendar days from notification. The Contractor may request that tests be conducted on completed phases or portions of the work. Approval of such a request will be at the discretion of the Engineer. Testing will be done using a LTL-X Retrometer (30 meter geometry). Five readings will be taken per line per mile (1.6 km). Projects less than 1 mile (1.6 km) in length will have a minimum of 5 readings per line. These readings will then be averaged for the overall project average.

The required average minimum initial reflectivity reading in millicandellas shall be:

White 450 Yellow 325

Any single reading shall not be less than 350 millicandellas for white and 250 millicandellas for yellow. Without exception, any pavement markings installed that does not meet the above average minimum initial reflectivity numbers shall be removed and replaced, at the installation contractor's expense. Other defects not noted above, but determined by the Engineer to need repair, shall be repaired or replaced as directed by and to the satisfaction of the Engineer. All work in conjunction with the repair or replacement of defective epoxy reflectorized pavement markings shall be performed by the Contractor at no additional cost to the State.

### **Method of Measurement:**

The quantity of permanent pavement striping (white, yellow, or black epoxy resin paint) will be measured by the number of linear feet (meters) of pavement striping line and number of square feet (meter) of symbol installed on the pavement and accepted in accordance with the Plans.

## **Basis of Payment:**

The quantity of permanent pavement striping (white, yellow, or black epoxy resin paint) payment will be paid for at the Contract unit price per linear foot (meter) for 3", 4", 5", 6", 8", 9", 10", 12", 14", 16" (75 mm, 100 mm, 125 mm, 150 mm, 200 mm, 225 mm, 250 mm, 300 mm, 350 mm, or 400 mm) line and the Contract unit price per square foot (meter) of symbol. The quantity of permanent pavement marking (white, yellow, or black epoxy resin paint) will be paid for at the Contract unit price per linear foot (meter) of line and the Contract unit price per square foot (meter) of symbol. Price and payment shall include striping layout, cleaning and preparing the pavement surface, and placing all materials, for all labor, tools, equipment and incidentals necessary to complete the work.

#### NOTE:

For information only:

The following manufacturers are known to us which manufacturer Epoxy Resin Paint for Pavement Striping. The Department does not endorse or require the use of any of the manufacturers listed below. However, a bidder wishes to use another manufacturer's product, it shall be submitted for review and approval prior to submitting a bid proposal. Should the product be deemed unacceptable by the Department, the successful bidder will be required to use only an approved product.

- POLY CARB, Inc. 33095 Bainbridge Road Solon, Ohio 44139 Tel. 1-800-CALLMIX
- 2. IPS Ennis Paint P.O. Box 13582 Research Triangle Park, North Carolina 27709 Tel. 1-877-477-7623
- 3. Epoplex One Park Avenue Maple Shade, NJ 08052 Tel. 1-800-822-6920
- 4. Or an approved equal.

8/7/2013

748512 - RETROREFLECTIVE PREFORMED PATTERNED MARKINGS, 6"
748513 - RETROREFLECTIVE PREFORMED PATTERNED MARKINGS, 12"
748514 - RETROREFLECTIVE PREFORMED PATTERNED MARKINGS, 8"
748519 - RETROREFLECTIVE PREFORMED PATTERNED MARKING, 4"
748529 - RETROREFLECTIVE PREFORMED PATTERNED MARKING, SYMBOL/LEGEND 748547 - RETROREFLECTIVE PREFORMED PATTERNED CONTRAST MARKINGS, 9"
748566 - RETROREFLECTIVE PREFORMED PATTERNED MARKINGS, 5"
748565 - RETROREFLECTIVE PREFORMED PATTERNED MARKINGS, 10"
748566 - RETROREFLECTIVE PREFORMED PATTERNED CONTRAST MARKINGS, 8"
748567 - RETROREFLECTIVE PREFORMED PATTERNED CONTRAST MARKINGS, 13"

## **Description:**

This work shall consist of furnishing and installing retroreflective preformed patterned pavement marking in accordance with this provision and in conformance to the existing pavement markings or as established by the Engineer. The Contractor is required to have all subcontractors involved in the placement of these markings attend the pre-placement meeting along with the tape manufacturer representative and Department representatives to coordinate this operation. The subcontractor for pavement markings shall be approved by the Department prior to the preconstruction meeting.

#### **Materials:**

**General**: The preformed patterned markings shall consist of white or yellow films with clear microcrystalline ceramic beads incorporated to provide immediate and continuing retroreflection. The markings shall be suitable for application on new or existing P.C. Concrete or bituminous pavements with a pre-coated pressure sensitive adhesive.

The preformed marking material must be used prior to one year from date of manufacture. When not placed by inlaid method a surface preparation adhesive shall be used. The markings shall be capable of providing retroreflection during both wet and dry conditions.

The markings shall be highly durable retroreflective pliant polymer materials designed for longitudinal and word/symbol markings subjected to high traffic volumes and severe wear conditions such as shear action from crossover or encroachment on typical longitudinal configurations such as edge lines and lane lines. This film shall be manufactured without the use of lead chromate pigments or other similar, lead-containing chemicals.

**Composition**: The pavement marking shall consist of a mixture of high quality polymeric materials and pigments with glass beads distributed throughout the base cross-sectional area, with a reflective layer of microcrystalline ceramic beads bonded to a durable polyurethane topcoat surface. The patterned surface shall have approximately 50% plus or minus 15% of the surface area raised and presenting a near vertical face, angled from 0 degrees to 60 degrees, to traffic from any direction. The channels between the raised areas shall be substantially free of exposed beads or particles. The marking shall have a precoated pressure sensitive adhesive. The edges of the markings shall be clean cut and true.

**Retroreflectance**: The white and yellow markings shall have the initial expected retroreflectance values as shown in Table 1 under dry, wet, and rainy conditions. The photometric quantity to be measured shall be coefficient of retroreflected luminance  $(R_L)$  and shall be expressed as millicandelas per square foot per footcandle [(mcd • ft<sup>-2</sup>) • fc<sup>-1</sup>]. The metric equivalent shall be expressed as millicandelas per square meter per lux [(mcd • m<sup>-2</sup>) • lx<sup>-1</sup>].

Retroreflectance values shall be measured under dry conditions in accordance with the testing procedures of ASTM D4061. Retroreflectance values shall be measured under wet conditions in accordance with ASTM E2176 or ASTM E2177. Wet retroreflectance values measured under a "condition of continuous wetting" (simulated rain) shall be in accordance with ASTM E2176. Wet retroreflectance values measured under a "condition of wetness" shall be in accordance with ASTM E2177.

Table 1						
Expected Initial R <sub>L</sub> under dry, wet, and rainy conditions						
White	<u>Dry</u>	Wet & Rainy				
Entrance Angle	88.76°	88.76°				
Observation Angle	1.05°	1.05°				
Retroreflected Luminance	500	250				
$R_L$ [(mcd • m <sup>-2</sup> ) • lx <sup>-1</sup> ]						
Yellow	Dry	Wet & Rainy				
Entrance Angle	88.76°	88.76°				
Observation Angle	1.05°	1.05°				
Retroreflected Luminance	300	250				
$R_L$ [(mcd • m <sup>-2</sup> ) • lx <sup>-1</sup> ]						

**Beads, Index of Refraction**: All "dry-performing" microcrystalline ceramic beads bonded to the polyurethane-coated, patterned surface of the material shall have a minimum index of refraction of 1.70 when tested using the liquid oil immersion method. All "wet-performing" microcrystalline ceramic beads bonded to the polyurethane-coated, patterned surface of the material shall have a minimum index of refraction of 2.30 when tested using the liquid oil immersion method. The glass beads mixed into the pliant polymer shall have a minimum index of refraction of 1.5 when tested by the liquid oil immersion method.

**Beads, Acid Resistance**: The beads shall show resistance to corrosion of their surface after exposure to a 1% solution (by weight) of sulfuric acid. The 1% acid solution shall be made by adding 5.7 cc of concentrated acid into 1000 cc of distilled water.

**Color**: The markings shall consist of white and/or yellow films with pigments selected and blended to conform to standard highway colors.

**Skid Resistance**: The patterned surface of the markings shall provide an initial average skid resistance value of 45 BPN when tested according to ASTM E 303.

**Patchability**: The pavement marking material shall be capable of use for patching worn areas of the same type in accordance with manufacturer's instructions.

**Thickness**: The patterned material without adhesive shall have a minimum caliper of 0.065 inches (1.651mm) at the thickest portion of the patterned cross section and a minimum caliper of 0.020 inches (.508mm) at the thinnest portion of the cross section.

**Tolerance**: The Contractor will be responsible for applying these markings in a straight manner not exceeding 1/2" (12 mm) per 40' (12 m). Any markings exceeding the 1/2" (12 mm) tolerance will require the Contractor to make corrective action approved by the Engineer and the tape manufacturer representative at no extra cost to the Department.

## **Construction Methods:**

The Contractor shall be certified, by the manufacturer, in the installation of the pavement marking material prior to the start of the markings. The Contractor shall install the pavement marking material in accordance with the manufacturer's published recommendations.

The manufacturer shall provide technical assistance as required to ensure successful installation of the markings. This shall include a representative on site for the start of the markings, training, product information, problem solving, etc.

Installation of the pavement markings shall be performed in a neat and workmanlike manner. The Contractor shall premark the pavement to ensure correct location of markings and such layout work shall be incidental to the price bid for the pavement marking items. The method for premarking should be as recommended by the manufacturer. A thin layer of paint as a premarking is not recommended. Particular care shall be taken to ensure that the leading edges of the markings are secured to the pavement.

## General application rules:

- The Air and surface temperature shall be a minimum of  $40^{\circ}$  F.
- The pavement must be clean and dry. 24 hours of dry weather where no rain is expected.
- When not placed by inlaid method a surface preparation adhesive shall be used.
- Do not overlap tape use butt splice.
- Do not apply tape on longitudinal seams or joints or cracks.
- Do not apply tape on deteriorating pavement surfaces.
- Existing markings must be 80% removed.

After application, the markings shall be immediately ready for use by traffic.

### **Inlay into Fresh Bituminous Concrete:**

When markings are specified in the contract for newly paved asphalt concrete surfaces, they shall be applied before public traffic is allowed on the freshly paved surface - the pavement markings shall be inlaid in the fresh surface during final rolling of the mat, in accordance with the manufacturer's recommendations unless otherwise directed by Engineer.

The Contractor shall show how the pavement mats will be placed to avoid applying the tape on longitudinal seams or joints or cracks and maintain correct marking location.

The Contractor shall employ a sufficient number of workers to premark the pavement and install the markings such that all markings are inlaid into the hot pavement prior to the finish rolling. No paving shall be permitted unless the striping crew and materials are on the project site.

- \* General procedure for inlay application on fresh asphalt surfaces:
- \* Tape is applied after the compaction roller and before the finish roller using minimum water, slow speed and no vibration.
- \* Tape shall be applied using equipment recommended by manufacturer
- \* Tamping shall be done by the finish roller and in the same direction the tape was applied. A separate roller of a size approved by the tape manufacturer may be required to meet the manufacturer's requirements.
- \* Roller shall use minimum speed to prevent wrinkling the tape.
- \* Asphalt temperatures shall be between 180°F (66°C) and 120°F (49°C) when tape is applied.

<u>NOTE</u>: Even though the tape will stand these high temperatures the contractor is to use caution to assure the asphalt is firm enough to walk on above  $140^{\circ}$ F ( $60^{\circ}$ C).

#### Placement on new P.C. Concrete Pavement:

When markings are specified in the contract for new P.C. concrete pavement surfaces they shall be applied after the concrete has adequately cured as determined by the Engineer and prior to opening to traffic.

- 1. When a membrane curing compound has been applied to the concrete surface, it shall be removed by sandblasting prior to applying the markings. Cost for such sandblasting shall be incidental to the price bid for the pavement marking item. The road shall be cleaned by sweeping and with high pressure air.
- 2. The manufacturer shall specify a primer/solvent for the pavement surface.
- 3. The tape shall be applied with an approved applicator.
- 4. The tape shall be tamped with a roller tamper cart with a minimum 200 lb (90 kg) load or by slowly (2-3 mph [3-5 km/hr]) driving over the tape with a vehicle tire. Do not twist or turn on the tape. A minimum of three passes back and forth over the tape will be required. All edges of the tape shall be thoroughly tamped.

## **Placement on Existing Pavement:**

When markings are specified in the contract for existing pavement, the pavement surface shall be free of any existing markings.

1. The road shall be cleaned by sweeping and with high pressure air.

Steps 2 through 4 are the same as for new P.C. C. pavement.

### **Method of Measurement:**

This work will be measured for payment by the number of linear feet (meters) of line or square foot (meter) of symbol/legend of Retroreflective Preformed Patterned Markings installed on the pavement and accepted in accordance with the plans.

## **Basis of Payment:**

This work will be paid for at the contract unit price bid per linear foot (meter) of line or square meter of symbol/legend as measured for item "Retroreflective Preformed Patterned Markings" of the type specified. This price shall include cleaning and preparing the pavement surface, furnishing and placing all materials, for all labor, tools, equipment, maintenance bond and incidentals necessary to complete the work.

#### WARRANTY

The Contractor shall warrant to the Department that the installed retroreflective preformed patterned pavement markings are free of defects, as hereafter defined, for one calendar year beginning at the initial acceptance of the marking installation by the Department. The initial acceptance of the marking installation will occur upon the satisfactory correction of all deficiencies noted in the marking installation during the Final Inspection of the project. The markings shall show no fading, lifting, shrinking, tearing, rollback, distortion or chipping due to vehicular traffic or normal maintenance activities including snow plowing. Although some wear is expected, the markings shall remain intact and serviceable (as defined below) for no less than 95% of the total item quantities in the first year of installation.

The Contractor shall repair all defective areas identified by the Department after initial installation or during the Warranty Period. All repairs shall begin immediately following the notice to the Contractor unless weather limitations prevent the corrective work. Should the contractor not commence work within seventy-two hours, weather permitting, and pending severity, the Department reserves the right to remedy the condition and charge the contractor for the work. Any corrective work shall be as recommended by the manufacturer of the marking material and approved by the Department. The Department shall be given notification before the Contractor begins corrective work to allow for inspection of the operation. All costs associated with the repair work shall be the responsible of the contractor. These costs shall include, but are not limited to, removal, material, maintenance of traffic, etc.

#### **Maintenance Bond:**

Upon completion of the work, the Contractor shall submit to the Department a Maintenance Bond to insure the State of Delaware during the above Warranty periods. The Maintenance Bond shall meet the following requirements:

- a) A sum equal to 100% of the value of all Retroreflective Preformed Patterned Markings Items paid to the Contractor;
- b) All signatures are original signatures, in ink, and not mechanical reproductions or facsimiles of any kind:
- c) The Contractor is the named principle;
- d) The term of the bond is for one full year;
- e) The term of the Maintenance Bond will be for a period of one year beyond completion of Retroreflective Preformed Patterned Markings; and
- f) Written by a Surety or insurance company that is in good standing and currently licensed to write surety bonds in the State of Delaware by the Delaware Department of Insurance.

## **MANUFACTURER'S RESPONSIBILITY:**

The following information is for use by DelDOT only. The Contractor will not be held responsible for the time frames listed in the chart below.

After satisfactory completion of the one-year warranty period, the contractor will be relieved of his responsibility and the Department shall work directly with the Manufacturer to guarantee the remainder of the warranty as specified below.

In addition, the pavement markings shall warrant the material to retain a minimum reflective value of 150 millicandelas per square foot (meter) per lux for the first year after initial acceptance.

- 1. All reflectance measurements shall be made on a clean, dry surface at a minimum temperature of 40°F (4°C).
- 2. All reflectance measurements shall be made using a "LTL 2000" retroreflectometer.
- 3. One year from initial installation acceptance all pavement marking material shall meet the minimum retained coefficient of dry retroreflection value of 125 millicandelas per foot squared per foot-candle (in accordance with ASTM E1710), and meet the minimum retained coefficient of wet retroreflection value of 75 millicandelas per foot squared per foot-candle (in accordance with ASTM E2177) for the following Warranty Periods.

Warranty Periods						
Application	Dry Retroreflectivity Warranty Period	Wet Retroreflectivity Warranty Period				
Longitudinal Markings	4 years	2 years				
Symbols and Legends	2 years	1 year				

03/04/2011

#### 748530 - REMOVAL OF PAVEMENT STRIPING

## **Description:**

This work consists of removing pavement markings of all kinds including paint, tape, etc., in accordance with this special provision, notes on Plans and/or as directed by the Engineer. The Contractor shall coordinate with the Engineer for maintaining traffic during the operation, prior to starting the work.

#### **Materials and Construction Methods:**

## Paint and Epoxy Resins:

Shot/abrasive grit blasting or water blasting equipment shall be used for removal of markings from pavement surfaces.

### Alkyd Thermoplastic:

In addition to the removal techniques discussed for paint and epoxy, grinding (erasing machines) equipment may also be used for removal of markings from pavement surfaces.

The removal operation shall be performed in a manner that will not damage the payement surface.

The Contractor shall collect and dispose of all shot/abrasive grit and pavement marking materials removed from the pavement surface. Washing or sweeping such material to the roadside will not be permitted.

After removal of striping on bituminous concrete asphalt sealer shall be used to cover any exposed aggregate or embedded paint at no additional cost.

#### **Method of Measurement:**

The quantity of pavement striping removal will be measured as the number of square feet (meters) of pavement striping removed and accepted. The area of lines will be calculated by multiplying the nominal width of line times the length and the area of symbols will be as specified in Subsection 748.10 of the Standard Specifications.

## **Basis of Payment:**

The quantity of pavement striping removal will be paid for at the Contract unit price per square foot (meter) for "Removal of Pavement Striping". Price and payment shall be full compensation for furnishing all materials, removing the pavement markings, disposing of the removed marking material, covering up the exposed aggregate, and for all labor, equipment, tools and incidentals necessary to complete the work.

## **Note:**

There will be no measurement and payment for removal of pavement markings placed incorrectly by the Contractor.

5/21/2013

# 749578 - EXTRUDED SIGN PANEL GROUND MOUNTED TYPE III SHEETING (FEDERAL)

## **Description:**

This work consists of furnishing all materials, fabrication, and erection of new extruded aluminum sign panels, complete with demountable copy, connections to supports, and other incidentals as are shown on the Plans, or described in the special provisions to be used for all federally funded projects.

The item shall also include removing and transporting of the existing sign panels before fabricating and erecting new sign panels, if such requirement is specified on the Plans.

# **Design**:

Sign panels and their connections to supports shall be designed for applicable loadings and allowable stresses specified for supports. All panels, stiffeners and subframing shall conform with any pertinent requirements set forth in the AASHTO "Standard Specifications for Structural Supports for Highway Signs, Luminaries, and Traffic Signals" with subsequent revisions. No method of stiffening will be allowed which would require rivets, bolts, screws, or nuts perforating the message face. The Contractor shall submit detail drawings showing the details for fabrications of the panels and support connections for prior approval.

#### **Extruded Aluminum:**

Extruded aluminum sign panels shall have demountable copy. After installation of the signs is completed, they will be inspected. If specular reflection is apparent on any sign, its positioning shall be adjusted by the Contractor, as directed by the Engineer.

<u>Sign Panel Size</u>: Sizes of sign panels having demountable copy have been based on the 3M Company spacing charts. All letters shall be placed in accordance with manufacturer's spacing charts. Overall horizontal and vertical dimensions shall be in 6" (150 mm) increments.

#### **Materials:**

The overhead sign sheeting shall be wide angle, prismatic, retroreflective sheeting. The coefficients of retroreflection, Ra, shall not be less than the minimum values specified in the following table when tested in accordance with ASTM E 810. This table contains "core" values as found in ASTM D 4956. The 0.1 observation angle is not required for this item.

Minimum Coefficient of Retroreflection R<sub>A</sub> (Candelas per lux per square meter)

TABLE 3 Type IX Sheeting <sup>A</sup>							
Observation Angle	Entrance Angle	White	Yellow	Orange	Green	Red	Blue
0.1°A	-4°	660	500	250	66	130	30
0.1°B	+30°	370	280	140	37	74	17
0.2°	-4°	380	285	145	38	76	17
0.2°	+30°	215	162	82	22	43	10
0.5°	-4°	240	180	90	24	48	11
0.5°	+30°	135	100	50	14	27	6.0
1.0°	-4°	80	60	30	8.0	16	3.6
1.0°	+30°	45	34	17	4.5	9.0	2.0

<sup>&</sup>lt;sup>A</sup> Minimum Coefficient of Retroreflection(R<sub>A</sub>)cd·lx<sup>-1</sup>·m<sup>-2</sup>

<sup>&</sup>lt;sup>B</sup> Values for 0.1° observation angles are supplementary requirements that shall apply only when specified by the purchaser in the contract or order.

The ground mounted sign sheeting shall meet or exceed the following values. The coefficients of Retroreflection shall be determined in accordance with ASTM E-810. This table contains "core" values as found in ASTM D 4956. The 0.1 observation angle is not required for this item.

TABLE 7 Type III Sheeting <sup>A</sup>								
Observation Angle	Entrance Angle	White	Yellow	Orange	Green	Red	Blue	Brown
0.1°B	-4°	300	200	120	54	54	24	14
0.1°B	+30°	180	120	72	32	32	14	10
0.2°	-4°	250	170	100	45	45	20	12
0.2°	+30°	150	100	60	25	25	11	8.5
0.5°	-4°	95	62	30	15	15	7.5	5.0
0.5°	+30°	65	45	25	10	10	5.0	3.5

A Minimum Coefficient of Retroreflection(R<sub>A</sub>) cd/fc/ft<sup>2</sup>(cd·lx<sup>-1</sup>·m<sup>-2</sup>)

## **WARRANTY**

The sheeting manufacturer shall submit with each lot or shipment, a certification that states the material supplied will meet all the requirements listed herein.

# **Field Performance Requirements:**

The retroreflective sheeting will be considered unsatisfactory if it has deteriorated due to natural causes to the extent that: (1) the sign is ineffective for its intended purpose when viewed from a moving vehicle under normal day and night driving conditions; or (2) the coefficient of retroreflection is less than the minimum specified for that sheeting during that period listed.

85% of values listed in Table 7 Type III after 10 years 80% of values listed in Table 3 Type IX after 12 years.

All measurements shall be made after sign cleaning according to sheeting manufacturer's recommendations.

# **Sheeting Manufacturer's Replacement Obligation:**

Where it can be shown that retroreflective signs supplied and used according to the sheeting manufacturer's recommendations, have not met the performance requirements of this specification the sheeting manufacturer shall cover restoration costs as follows for sheeting shown to be unsatisfactory during:

The entire 12 years (Type IX) and 8 years (Type III): the sheeting manufacturer will replace the sign in it's entirety inclusive of the sign panel, sign sheeting, labor, and M.O.T required to restore the sign surface to its original effectiveness.

# **Extruded Aluminum:**

<u>Extruded Aluminum Sign Panels and Edge Strip</u>. Extruded aluminum sign panels and edge strip shall conform to B221, alloy 6063 T6.

<u>Hardware</u>: hardware shall be clear anodized, conforming to one of the following: B209, alloy 2024 T4; B211, alloy 2024 T4, 6262 T9, 6061 T6, 7075 T6 or 2017 T4.

<sup>&</sup>lt;sup>B</sup> Values for 0.1° observation angles are supplementary requirements that shall apply only when specified by the purchaser in the contract or order.

#### **Extruded Aluminum:**

The front faces of the sign panels shall be degreased by one of the following methods:

- 1. Vapor degreasing by total immersion in a saturated vapor of trichlorethylene or perchloroethylene. Trademark printing shall be removed with lacquer thinner or by a controlled alkaline cleaning system.
- 2. Alkaline degreasing by total immersion in a tank containing alkaline solutions controlled and titrated to the solution manufacturer's specification. Rinse thoroughly with clean running water.

Immersion time shall depend upon the amount of grease or dirt present and the gage of the metal, and shall be sufficient to effect complete removal of all corrosion, white rust, and dirt.

Following degreasing, the front faces shall be etched by one of the following methods:

- 1. Acid etching in a 6 to 8 percent phosphoric acid solution at 100°F (38°C), or proprietary acid etching solution. Rinse thoroughly with cold, then hot running water.
- 2. Alkaline etching in an approved alkaline etching material that is controlled by titration. The etching time, temperature, and concentration shall be as specified by the solution manufacturer. Smut shall be removed with an acidic chromium compound type solution as specified by the solution manufacturer, and shall be rinsed thoroughly with clean running water.

The surface etch shall provide a clean mat, or non-glare finish, suitable for the application of the retroreflective sheeting. This finish shall also be suitable for the uncovered reverse sides of the signs. Any protective film or coating applied to resulting from chemical action on the aluminum surface shall be light, tight, and free from all powdery residue.

As an alternate to the above etching systems, any one of the following metal preparation systems, employing a chemical conversions coating, may be used providing it complies fully with the recommendations and specifications furnished by the respective preparation manufacturer:

- 1. "Alodine" 1200 or 1200S, by Amchem Products, Inc.
- 2. "Bonderite" 723 with Process Specification No. 249, by Parker Rust Proof Company.
- 3. "Chromicoat", by Oakite Products, Inc.
- 4. Other approved system(s), producing a conversion coat meeting the requirements of Military Specification MIL-C-5541.

Alternate coats shall be light, tight, and free from any powdery residue.

After degreasing and etching, the panels shall be dried by the use of forced, hot air.

Panels shall not be handled except by device or clean canvas gloves, from the time degreasing is started to the time of application of retroreflective sheeting, nor shall contaminants be permitted to come into contact with the panels during that period.

## **Construction Methods:**

Sign Face Finishing: All retroreflective sheeting, backgrounds, letters, numerals, symbols, and borders shall be clean-cut and sharp, and the messages on all signs shall be as indicated on the plans. Application of retroreflective sheeting to aluminum panels shall be in accordance with sheeting manufacturer's recommendations. Retroreflective sheeting shall be color matched and marked. The height of characters and the alphabet series to be employed for the signs shall conform to the Plans and their references. The alphabet series used on the sign panels shall be those of the publication titled "Standard Alphabets for Highways Signs" of the Federal Highway Administration.

The working drawings prepared by the Contractor shall clearly indicate the proposed spacing of the letters and the locations and arrangements of symbols and borders.

After the panel has been degreased and etched, the retroreflective sheeting shall be applied by a method described elsewhere in these Special Provisions.

No sheeting shall be applied when the temperature is less than  $50^{\circ}F$  ( $10^{\circ}C$ ).

Whenever it is necessary to construct the background of a sign face with two or more pieces of retroreflective sheeting, they must be carefully matched for color prior to application and sign fabrication, to provide uniform appearance and brilliance, day and night. Each full width section of retroreflective sheeting mounted adjacent to another full width section taken consecutively from the same roll shall be rotated and mounted 180 degrees with respect to that adjacent section. This rule shall also be observed as a guide when partial width sheets of retroreflective sheeting are used.

Non-conformance may result in non-uniform shading and an undesirable contrast between adjacent widths of applied sheeting which will render signs unacceptable. The entire background of each sign shall be uniform in color, brilliance, texture, and general appearance as seen in the daytime and under typical automobile illumination at night. No more sections of retroreflective sheeting shall be used for backgrounds than is necessary; remnants, scraps, and odd sized pieces of sheeting shall not be used in the fabrication of any signs manufactured for this contract. Joints between retroreflective sheeting sections shall either butt or overlap no more than 3/8" (9.5 mm). Horizontal joints between retroreflective sheeting sections shall not be allowed.

<u>Sign Panel Erection</u>: Signs shall be slip-sheeted, packed, and shipped in such manner as to ensure arrival at their respective places of erection in an undamaged condition. All signs arriving at the erection site(s) in a condition which in the opinion of the Engineer, renders them unsuitable for use, shall be removed and replaced by the Contractor at his sole expense. Sign Panels shall not be shipped for erection in such a manner that results in horizontal joints of the retroreflective sheeting.

It is not anticipated that there will be any sign panels which are required to be mounted whose messages will be inappropriate to the guiding of traffic at the time of sign erection. However, in the event that the Engineer determines that certain sign messages are inappropriate, the panels of such signs shall be covered by an opaque material, until such time as the sign messages become appropriate. The covering material and the manner of securing the material to the sign panel(s), shall meet with the approval of the Engineer. The Engineer will indicate to the Contractor which signs, if any, must be covered, and when to remove the covers.

<u>Sign Covers</u>: Sign covers shall be 10 ounce (280 g) cotton duck conforming to ASTM D-320, Army Duck, and dyed to a dark green approximating the green for sign backgrounds.

<u>Identification Tags</u>: The Contractor shall furnish and place identification tags or decals which state the Contract number, month and year of erection on the lower reverse side of the panel, near the point closest to the roadway shoulder.

#### **Method of Measurement:**

The quantity of sign panels will be measured as the actual number of square feet (meters) of front sign face surface area of all sign panels constructed, installed and accepted. The area will be computed from the maximum width and height dimensions of each sign panel, as shown on the Plans, or on the approved sign panel shop drawings, (verified by field measurements). All sign panels will be considered either square or rectangular in shape, as the case may be, and no area deductions will be made for rounding of corners.

#### **Basis of Payment:**

The quantity of sign panel will be paid for at the Contract unit price per square foot (meter). Price and payment will constitute full compensation for furnishing, fabricating, and erecting sign panels complete in place and accepted, with retroreflective materials, copy, symbols, borders, connections to supports, degreasing, etching, covering and uncovering sign messages where necessary, and for all labor, materials, tools, equipment, and incidentals required to complete the item. Unless otherwise indicated on the Plans, the cost of removing and transporting to the nearest highway maintenance yard the existing sign panels and accessories shall also be included under this item if such requirement is indicated on the Plans.

4/11/07

#### Contract No. T201407004.01

### 749502 - SIGN PANEL OVERLAYS ON EXISTING SIGN

## **Description:**

This work consists of furnishing, fabrication, and installation of sign panel overlays, complete with copy, attaching hardware, and other incidentals as are shown on the Plans, and described herein.

The Contractor shall verify all panel sizes in the field before fabrication of the overlays. Such field verification shall be considered as an incidental expense to this item.

#### Materials:

Sign panels shall be of the aluminum sheet type conforming to ASTM Designation B209 (Alloy 6061-T6). The minimum panel sheet thickness shall be 0.080" (2.0 mm). Stringers or horizontal structural sign supporting members and vertical connections shall be fabricated of 6061-T6 or 6062 ASTM B221 aluminum alloy.

Sign panel sections shall be fabricated standard width of not less than 4' - 0" (1.2 m) wide.

Sign panel sections 12' (3.6 m) and under in height shall run from the top edge to the bottom edge of the sign face without horizontal joints in the aluminum sheets.

Sign panel sections over 12′ (3.6 m) in height may be fabricated from two (2) or more sheets. The bottom sheet(s) shall be 12′ (3.6 m) in height, except that the smaller top sheet shall not be less than 2′ (0.6 m) in height. Adjustment in sheet heights is permitted to avoid conflict with direct applied sign face copy.

The working drawings prepared by the Contractor shall clearly indicate the proposed spacing of the letters and the locations and arrangements of symbols and borders.

After the panel has been degreased and etched, the reflective sheeting shall be applied by a method described elsewhere in these Special Provisions.

No sheeting shall be applied when the temperature is less than  $50^{\circ}F$  ( $10^{\circ}C$ ).

Whenever it is necessary to construct the background of a sign face with two or more pieces of reflective sheeting, they must be carefully matched for color prior to application and sign fabrication, to provide uniform appearance and brilliance, day and night. Each full width section of reflective sheeting mounted adjacent to another full width section taken consecutively from the same roll shall be rotated and mounted 180°, with respect to that adjacent section. This rule shall also be observed as a guide when partial width sheets of reflective sheeting are used.

Non-conformance may result in non-uniform shading and an undesirable contrast between adjacent widths of applied sheeting which will render signs unacceptable. The entire background of each sign shall be uniform in color, brilliance, texture, and general appearance as seen in the daytime and under typical automobile illumination at night. No more sections of reflective sheeting shall be used for backgrounds than is necessary; remnants, scraps, and odd-sized pieces of sheeting shall not be used in the fabrication of any signs manufactured for this Contract. Joints between reflective sheeting sections shall either butt or overlap no more than 3/8" (10 mm).

Any logo as specified on the Plans shall be attached to the sign panel overlay by the Contractor as directed by the Engineer.

#### **Construction Methods:**

Signs shall be slip-sheeted, packed, and shipped in such a manner as to ensure arrival at their respective place of erection in an undamaged condition.

All signs arriving at the erection site(s) in a condition which in the opinion of the Engineer, renders them unsuitable for use, shall be removed and replaced by the Contractor at his/her sole expense.

Any sign panel which shall receive an overlay, shall be removed and mounted on a vehicle with a frame which shall allow the panel to remain in a vertical alignment while the overlay is installed. The sign panel shall remain in a vertical position during all phases of the overlay operation.

All aluminum sheet-type overlays shall be anchored to the existing panel a maximum of 3'' (80 mm) from the edge of the sign panel, each corner, at a maximum spacing of 1' (0.3 m) around the edge of the sign panel and at 2' (0.6 m) maximum spacing on the interior of the sign panel, or as directed by the Engineer. Overlays shall be anchored to the base panel with aluminum rivets as approved by the Engineer.

**Identification Tags:** The Contractor shall furnish and place identification tags or decals which state the Contract number, month and year of the erection on lower reverse side of panel, near the point closest to the roadway shoulder.

**Sign Covers:** It is not anticipated that there will be any sign panel overlays which are required to be mounted whose messages will be inappropriate to the guiding of traffic at the time of sign erection. However, in the event that the Engineer determines that certain sign messages are inappropriate, the panels of such signs shall be covered by an opaque material until such time as the sign messages become appropriate. The covering materials and the manner of securing the material to the sign panel(s) shall meet with the approval of the Engineer. The Engineer will indicate to the Contractor which signs, if any, must be covered and when to remove the covers.

Sign covers shall be 10 ounce (0.3 kg) cotton duck conforming to ASTM D-320, Army Duck, and dyed to a dark green approximately the green for sign backgrounds.

#### **Method of Measurement:**

The quantity of sign panel overlays will be measured as the actual number of square feet (square meters) of front sign face surface area of all sign panels overlaid, installed and accepted. The area will be computed from the maximum width and height dimensions of each sign panel, as shown on the Plans, or on the approved sign panel shop drawings (verified by field measurements). All sign panels will be considered either square or rectangular in shape, as the case may be, and no area deductions will be made for rounding of corners.

#### **Basis of Payment:**

The quantity of sign panel overlays will be paid for at the Contract unit price per square foot (square meter). Price and payment will constitute full compensation for furnishing, fabricating, and erecting sign panel overlays, copy, symbols, borders, connections to supports, degreasing, etching, covering and uncovering sign message where necessary, preparing the existing sign for the overlay, including removal and remounting the sign, and for all labor, materials, tools, equipment, and incidentals required to complete the item.

10/23/01

## 763501 - CONSTRUCTION ENGINEERING

## 1) **Description:**

This work consists of construction lay out including; stakes, lines and grades as specified below. Subsection 105.10 Construction Stakes, Lines and Grades of the Standard Specifications is voided.

Based on contract plans and information provided by the Engineer, the Contractor shall stake out right-of-way and easements lines, limits of construction and wetlands, slopes, profile grades, drainage system, centerline or offset lines, benchmarks, structure working points and any additional points to complete the project.

The Engineer will only establish the following:

- (a) Original and final cross-sections for borrow pits.
- (b) Final cross-sections: Top and bottom pay limit elevations for all excavation bid items that are not field measured by Construction inspection personnel. The Contractor shall notify the Engineer when these pay limit elevations are ready and allow for a minimum of two calendar days for the Engineer to obtain the information.
- (c) Line and grade for extra work added on to the project plans.
- 2) Equipment. The Contractor shall use adequate equipment/instruments in a good working order. He/she shall provide written certification that the equipment/instrument has been calibrated and is within manufacturer's tolerance. The certification shall be dated a maximum of 9 months before the start of construction. The Contractor shall renew the certification a minimum of every 9 months. The equipment/instrument shall have a minimum measuring accuracy of [3mm+2ppmxD] and an angle accuracy of up to 2.0 arc seconds or 0.6 milligons. If the Contractor chooses to use GPS technology in construction stakeout, the Contractor shall provide the Engineer with a GPS rover and Automatic Level for the duration of the contract. The GPS rover shall be in good working condition and of similar make and model used by the Contractor. The Contractor shall provide up to 8 hours of formal training on the Contractor's GPS system to a maximum of four Engineer's appointees (DELDOT Construction Inspectors). At the end of the contract, the Engineer will return the GPS rover to the Contractor. If any of the equipment/instruments are found to be out of adjustment or inadequate to perform its function, such instrument or equipment shall be immediately replaced by the Contractor to the satisfaction of the Engineer. Choosing to use GPS technology does not give the contractor authority to use machine control.- Construction Engineering (GPS) Machine Control Grading shall only be used if noted in the General Notes in the plan set outlining the available files that will be provided to the Contractor and "the Release for delivery of documents in electronic form to a contractor" are signed by all parties prior to delivery of any electronic files. Only files designated in the General Notes shall be provided to the contractor. If machine control grading is allowed on the project see the "machine control" section of this specification. GPS technology and machine control technology shall not be used in the construction of bridges.
- 3) Engineering/Survey Staff. The Contractor shall provide and have available for the project an adequate engineering staff that is competent and experienced to set lines and grades needed to construct the project. The engineering personnel required to perform the work outlined herein shall have experience and ability compatible with the magnitude and scope of the project. Additionally, the Contractor shall employ an engineer or surveyor licensed in the State of Delaware to be responsible for the quality and accuracy of the work done by the engineering staff. When individuals or firms other than the Contractor perform any professional services under this item, that work shall not be subject to the subcontracting requirements of Subsection 108.01 of the Standard Specifications. The Contractor shall assume full responsibility for any errors and/or omissions in the work of the engineering staff described herein. If construction errors are caused due to erroneous work done under Construction Engineering the Contractor accepts full responsibility, no matter when the error is discovered. Consideration will not be given for any extension of contract time or additional compensation due to delays, corrective work, or additional work that may result from faulty and erroneous construction stakeout, surveying, and engineering required by this specification.

#### **Construction Methods:**

#### 4) Performance Requirements:

- (a) Construction Engineering shall include establishing the survey points and survey centerlines; finding, referencing, offsetting the project control points; running a horizontal and vertical circuit to verify the precision of given control points. Establishing plan coordinates and elevation marks for culverts, slopes, subbase, subsurface drains, paving, subgrade, retaining walls, and any other stakes required for control lines and grades; and setting vertical control elevations, such as footings, caps, bridge seats and deck screed. The Contractor shall be responsible for the preservation of the Department's project control points and benchmarks. The Contractor shall establish and preserve any temporary control points (traverse points or benchmarks) needed for construction. Any project control points (traverse points) or benchmarks conflicting with construction of the project shall be relocated by the Contractor. The Contractor as directed by the Engineer must replace any or all stakes that are destroyed at any time during the life of the contract. The Contractor shall re-establish centerline points and stationing prior to final cross-sections by the Engineer. The Vertical Control error of closure shall not exceed 0.035 ft times [Square root of number of miles in the level run] (0.01 m times [square root of number of kilometers]). The Horizontal Control precision ratio shall have a minimum precision of 1:20,000 feet (1 meter per 20,000 meters or 1:20,000) of distance traversed prior to adjustment.
- (b) The Contractor shall perform construction centerline layout of all roadways, ramps and connections, etc. from project control points set by the Engineer. The Contractor using the profiles and typical sections provided in the plans shall calculate proposed grades at the edge of pavement or verify information shown on Grades and Geometric sheets.
- (c) The Contractor shall advise the Engineer of any horizontal or vertical alignment revisions needed to establish smooth transitions to existing facilities. The Contractor must immediately bring to the attention of the Engineer any potential drainage problem within the project limits. The Engineer must approve any proposed variation in profile, width or cross slope.
- (d) The Contractor shall establish the working points, centerlines of bearings on bridge abutments and on piers, mark the location of anchor bolts to be installed, check the elevation of bearing surfaces before and after they are ground and set anchor bolts at their exact elevation and alignment as per Contract Plans. Before completion of the fabrication of beams for bridge superstructures, the Contractor shall verify by accurate field measurements the locations both vertically and horizontally of all bearings and shall assume full responsibility for fabricated beams fitting and bearing as constructed. After beam erection and concurrently with the Department project surveyors or their designated representative, the Contractor shall survey top of beam elevations at a maximum of 10-ft (3.0-meter) stations and compute screed grades. These shall be submitted to the Engineer for review and approval before the stay in place forms are set. Construction stakes and other reference control marks shall be set at sufficiently frequent intervals to assure that all components of the structure are constructed in accordance with the lines and grades shown on the plans. The Contractor will be responsible for all structure alignment control, grade control and all necessary calculations to establish and set these controls.
- (e) The Contractor, using contract plans, shall investigate proposed construction for possible conflicts with existing and proposed utilities. The Contractor shall then report such conflicts to the Engineer for resolution. All stakes for utility relocations, which will be performed by others, after the Notice to Proceed has been given to the Contractor, shall be paid for under item 763597 Utility Construction Engineering.
- (f) The Contractor shall be responsible for the staking of all sidewalk and curb ramp grades in accordance with the plans and the Departments Standard Construction Details. The Contractor shall review the stakeout with the Engineer prior to construction. The Engineer must approve any deviation from plans, Department Standard Construction Details and Specifications in writing. The Contractor shall be responsible for any corrective actions resulting from problems created by adjustments if they fail to obtain such approval.
- (g) If wetland areas are involved and specifically defined on the Plans the following shall apply:
  - i. It is the intent of these provisions to alert the Contractor, that he/she shall not damage or destroy wetland areas, which exist beyond the construction limits. These provisions will be strictly enforced and the Contractor shall advise his/her personnel and those of any Subcontractor of the importance of these provisions.

- ii. All clearing operations and delineation of wetlands areas shall be performed in accordance with these Special Provisions. Before any clearing operation commences the Contractor shall demarcate wetlands at the Limits of Construction throughout the entire project as shown on the Plans labeled as Limits of Construction or Wetland Delineation to the satisfaction of the Engineer.
- iii. The material to be used for flagging the limits of construction shall be orange vinyl material with the wording "Wetland Boundary" printed thereon. In wooded areas, the flagging shall be tied on the trees, at approximate 20-foot (6.1 meter) intervals through wetland areas. In open field and yard areas that have been identified as wetlands, 3 foot (one meter) wooden grade stakes shall be driven into the ground at approximate 20 foot (6.1 meter) intervals and tied with the flagging.
- iv. If the flagging has been destroyed and the Engineer determines that its use is still required, the Contractor shall reflag the area at no cost to the Department. If the Contractor, after notification by the Engineer that replacement flagging is needed, does not replace the destroyed flagging within 48 hours, the Engineer may proceed to have the area reflagged. The cost of the reflagging by the Engineer will be charged to the Contractor and deducted from any monies due under the Contract.
- v. At the completion of construction, the Contractor shall remove all stakes and flagging.
- vi. The Contractor shall be responsible for any damages to wetlands located beyond the construction limits, which occurs from his/her operations during the life of the Contract. The Contractor shall restore all temporarily disturbed wetland areas to their preconstruction conditions. This includes restoring bank elevations, streambed and wetland surface contours and wetlands vegetation disturbed or destroyed. The expense for this restoration shall be borne solely by the Contractor.
- (h) Whenever the Engineer will be recording data for establishment of pay limits, the Contractor will be invited to obtain the data jointly with the Engineer's Survey Crew(s) in order to agree with the information. If the Contractor's representative is not able to obtain the same data, then the information obtained by the Engineer shall be considered the information to be used in computing the quantities in question.
- **5) Submittals.** All computations necessary to establish the exact position of all work from the control points shall be made and preserved by the Contractor. All computations, survey notes, electronic files, and other records necessary to accomplish the work shall be made available to the Department in a neat and organized manner at any time as directed by the Engineer. The Engineer may check all or any portion of the stakeout survey work or notes made by the Contractor and any necessary correction to the work shall be made as soon as possible. The Contractor shall furnish the Engineer with such assistance as may be required for checking all lines, grades, and measurements established by the Contractor and necessary for the execution of the work. Such checking by the Engineer shall not relieve the Contractor of his/her responsibility for the accuracy or completeness of the work. Copies of all notes must be furnished to the engineer at the completion of the project.

The Contractor shall submit any of the following at the Engineer's request:

- (a) Proposed method of recording information in field books to ensure clarity and adequacy.
- (b) A printout of horizontal control verification, as well as coordinates, differences and error of closure for all reestablished or temporary Control Points.
- (c) A printout of vertical control verification, with benchmark location elevation and differences from plan elevation.
- (d) Sketch of location of newly referenced horizontal control, with text printout of coordinates, method of reference and field notes associated with referencing control traverse closure report.
- (e) Description of newly established benchmarks with location, elevation and closed loop survey field notes bench closure report
- (f) All updated electronic and manuscript survey records.
- (g) Stakeout plan for each structure and culvert.
- (h)Computations for buildups over beams, screed grades and overhang form elevations.
- (i) A report showing differences between supplied baseline coordinates and field obtained coordinates, including a list of preliminary input data.
- (j) Any proposed plan alteration to rectify a construction stakeout error, including design calculations, narrative and sealed drawings.
- (k) Baseline for each borrows pit location.
- (l) Detailed sketch of proposed overhead ground mounted signs or signals showing obstructions that may interfere with their installation.
- (m) Copies of cut sheets.

### **Machine Control Grading**

This Section of the specification shall only be used if machine control is authorized for use on the project.

## **Description:**

This specification contains the requirements for grading operations utilizing Global Positioning Systems (GPS).

Use of this procedure and equipment is intended for grading the subgrade surface; it is not intended for the use in constructing final surface grades.

The Contractor may use any manufacturer's GPS machine control equipment and system that results in achieving the grading requirements outlined in section 202 of the standard specifications. The Contractor shall convert the electronic data provided by the Department into the format required by their system. The Department will only provide the information outlined in this document and no additional electronic data will be provided.

The Contractor shall perform at least one 500 foot test section with the selected GPS system to demonstrate that the Contractor has the capabilities, knowledge, equipment, and experience to properly operate the system and meet acceptable tolerances. The engineer will evaluate and make the determination as to whether additional 500 foot test sections are required. If the Contractor fails to demonstrate this ability to the satisfaction of the Department, the Contractor shall construct the project using conventional surveying and staking methods.

#### **Materials:**

All equipment required to perform GPS machine control grading, including equipment needed by DelDOT to verify the work, shall be provided by the Contractor and shall be able to generate end results that are in accordance with the requirements of Division 200 - EARTHWORK of the Standard Specifications.

## **Construction:**

## a. DelDOT Responsibilities:

- 1. The Department will set initial vertical and horizontal control points in the field for the project as indicated in the contract documents, (plans set). If the Contractor needs to establish new control points they shall be traversed from existing control points and verified to be accurate by conventional surveying techniques.
- 2. The Department will provide the project specific localized coordinate system.
- 3. The Department will provide data in an electronic format to the Contractor as indicated in the General Notes.
  - a. The information provided shall not be considered a representation of actual conditions to be encountered during construction. Furnishing this information does not relieve the Contractor from the responsibility of making an investigation of conditions to be encountered including, but not limited to site visits, and basing the bid on information obtained from these investigations, and the professional interpretations and judgments of the Contractor. The Contractor shall assume the risk of error if the information is used for any purpose for which the information is not intended.
  - b. Any assumption the Contractor makes from this electronic information shall be at their risk. If the Contractor chooses to develop their own digital terrain model the Contractor shall be fully responsible for all cost, liability, accuracy and delays.

#### Contract No. T201407004.01

- c. The Department will develop and provide electronic data to the Contractor for their use as part of the contract documents in a format as indicated in the General Notes. The Contractor shall independently ensure that the electronic data will function in their machine control grading system.
- 4. The Files that are provided were originally created with the computer software applications MicroStation (CADD software) and INROADS (civil engineering software). The data files will be provided in the native formats and other software formats described below. The contractor shall perform necessary conversion of the files for their selected grade control equipment. The Department will furnish the Contractor with the following electronic files:
  - a. CAD files

i. Inroads
 ii. Inroads
 iii. Microstation
 -Existing digital terrain model (.DTM)
 -Proposed digital terrain model (.DTM)
 -Proposed surface elements - triangles

- b. Alignment Data Files:
  - i. ASCII Format
- 5. The Engineer shall perform spot checks of the Contractor's machine control grading results, surveying calculations, records, field procedures, and actual staking. If the Engineer determines that the work is not being performed in a manner that will assure accurate results, the Engineer may order the Contractor to redo such work to the requirements of the contract documents, and in addition, may require the Contractor to use conventional surveying and staking, both at no additional cost to the Department.

#### B. Contractor's Responsibilities

- 1. The Contractor shall provide the Engineer with a GPS rover and Automatic Level, for use during the duration of the contract. At the end of the contract, the GPS rover and Automatic Level will be returned to the Contractor. The Contractor shall provide a total of 8 hours of formal training on the Contractor's GPS machine control system to the Engineer and up to three additional Department appointees per rover.
- 2. The Contractor shall review and apply the data provided by the Department to perform GPS machine control grading.
- 3. The Contractor shall bear all costs, including but not limited to the cost of actual reconstruction of work, that may be incurred due to application of GPS machine control grading techniques. Grade elevation errors and associated corrections including quantity adjustments resulting from the contractor's use of GPS machine control shall be at no cost to the Department.
- 4. The Contractor shall convert the electronic data provided by the Department into a format compatible with their system.
- 5. The Contractor's manipulation of the electronic data provided by the Department shall be performed at their own risk.
- 6. The Contractor shall check and if necessary, recalibrate their GPS machine control system at the beginning of each workday in accordance with the manufacturer's recommendations, or more frequently as needed to meet the requirements of the project.
- 7. The Contractor shall meet the accuracy requirements as detailed in the Standard Specifications.
- 8. The Contractor shall establish secondary control points at appropriate intervals and at locations along the length of the project. These points shall be outside the project limits and/or where work is performed. These points shall be at intervals not to exceed 1000 feet. The horizontal position of these points shall be determined by conventional survey traverse and adjustments from the original baseline control points. The conventional traverse shall meet or exceed the Department's Standards. The elevation of these control points shall be established using differential leveling from the project benchmarks, forming a closed loop. A copy of all new control point information including closure report shall be provided and approved by the Engineer prior to construction activities. The Contractor shall be responsible for all errors resulting from their efforts and shall correct deficiencies to the satisfaction of the Engineer and at no additional cost to the Department.

- 9. The Contractor shall provide stakes at all alignment control points, at every 500 foot stationing, and where required for coordination activities involving environmental agencies and utility companies at the Contractor's expense. Work that is done solely for utility companies and that is beyond the work performed under item 763501 Construction shall follow and be paid for under item 763597 -Utility Construction Engineering.
- 10. The Contractor shall at a minimum set hubs at the top of finished grade at all hinge points on the cross section at 500 foot intervals on the main line and at least 4 cross sections on side roads and ramps as directed by the engineer or as shown on the plans. Placement of a minimum of 4 control points outside the limits of disturbance for the excavation of borrow pits, Stormwater Management Ponds, wetland mitigation sites etc. These control points shall be established using conventional survey methods for use by the Engineer to check the accuracy of the construction.
- 11. The Contractor shall preserve all reference points and monuments that are identified and established by the Engineer for the project. If the Contractor fails to preserve these items the Contractor shall reestablish them at no additional cost to the Department.
- 12. The Contractor shall provide control points and conventional grades stakes at critical points such as, but not limited to, PC's, PT's, superelevation points, and other critical points required for the construction of drainage and roadway structures.
- 13. No less than 2 weeks before the scheduled preconstruction meeting, the Contractor shall submit to the Engineer for review a written machine control grading work plan which shall include the equipment type, control software manufacturer and version, and proposed location of the local GPS base station used for broadcasting differential correction data to rover units.
- 14. The Contractor shall follow the guidelines set forth in the "Geometric Geodetic Accuracy Standards and Specifications for Using GPS Relative Positioning Techniques" and follow a minimum of Second Order Class 1, (2-I) classification standards.

Automated equipment operations have a high reliance on accurate control networks from which to take measurements, establish positions, and verify locations and features. Therefore, a strong contract control network in the field which is the same or is strongly integrated with the project control used during the design of the contract is essential to the successful use of this technology with the proposed Digital Terrain Model (DTM). Consistent and well designed site calibration for all machine control operations (as described below under *Contract Control Plan*) are required to ensure the quality of the contract deliverables. The Contract Control Plan is intended to document which horizontal and vertical control will be held for these operations. Continued incorporation of the Base Station(s) as identified in the Contract Control Plan is essential to maintaining the integrity of positional locations and elevations of features. The Contract Control Plan shall be submitted to the Department for review and approval by the Departments Survey Section 3 weeks prior to the start of any machine control work. The Contractor shall operate and maintain all elements of the Machine Grade Control continuously once the operations begin until otherwise approved by the Engineer.

#### **Contract Control Plan:**

The Contractor shall develop and submit a Contract Control Plan for all contracts which use Machine Control Grading. Contract control includes all primary and secondary horizontal and vertical control which will be used for the construction contract. Upon the Contractor's completion of the initial survey reconnaissance and control verification, but prior to beginning primary field operations, the Contractor shall submit a Contract Control Plan document (signed and sealed by the Delaware licensed Land Surveyor or Delaware Professional Engineer who oversees its preparation) for acceptance by the Engineer, which shall include the following:

- 1. A control network diagram of all existing horizontal and vertical control recovered in the field as contract control.
- 2. Include a summary of the calculated closures of the existing control network, and which control has been determined to have been disturbed or out of tolerance from its original positioning.
- 3. An explanation of which horizontal and vertical control points will be held for construction purposes. If necessary include all adjustments which may have been made to achieve required closures.

- 4. An explanation of what horizontal and vertical control (including base stations) was set to accomplish the required stakeout or automated machine operation. Include how the position of these new control points was determined.
- 5. Describe the proposed method and technique (technology and quality control) for utilizing the control to establish the existing and/or proposed feature location and to verify the completed feature location and/or measured quantity.
- 6. A listing of the horizontal and vertical datums to be used and the combined factor to be used to account for ellipsoidal reduction factor and grid scale factor.
- 7. If the Contractor chooses to use machine control as a method of measuring and controlling excavation, fill, material placement or grading operations as a method of measuring and controlling excavation, fill, material placement or grading operations, the Contractor Control Plan shall include the method by which the automated machine guidance system will initially be site calibrated to both the horizontal and vertical contract control, and shall describe the method and frequency of the calibration to ensure consistent positional results.
- 8. Issues with equipment including inconsistent satellite reception of signals to operate the GPS machine control system will not result in adjustment to the "Basis of Payment" for any construction items or be justification for granting contract time extension.

### **Method of Measurement:**

The quantity of Construction Engineering will not be measured.

#### **Basis of Payment:**

Payment will be made at the Lump Sum price bid for the item "Construction Engineering". The price bid shall include the cost of furnishing all labor, equipment, instruments, stakes and other material necessary to satisfactorily complete the work as herein described under this item for all roads and structures that are a part of the contract. Adjustment in payment will be made for the deletion or addition of work not shown in the contract documents.

Monthly payment will be made under this item in proportion to the amount of work done as determined by the Engineer.

3/27/15

763612 – JOB ORDER MOBILIZATION, NORTH DISTRICT 763613 – JOB ORDER MOBILIZATION, CANAL DISTRICT 763614 – JOB ORDER MOBILIZATION, CENTRAL DISTRICT 763615 – JOB ORDER MOBILIZATION, SOUTH DISTRICT

### **Description:**

This work consists of all operations necessary for the assembling and setting up of the job order, including the initial movement of personnel and equipment to the job order site, the establishment of storage areas, and any other activities required by the Contract documents or by State and local law. This will also include all other work and operations which must be performed prior to beginning work at the job order site.

### **Materials:**

Such materials as are required to assemble and set up the job order that are not to be a part of the completed work required by the Contract documents shall be the responsibility of the Contractor.

## **Construction Methods:**

All work done in providing the facilities and services under this Section shall be done in a safe and workmanlike manner.

#### **Method of Measurement:**

The quantity for Job Order Mobilization shall be measured per Each, based on the District in which the work will take place.

# **Basis of Payment:**

The quantity for Job Order Mobilization will be paid for at the Contract unit price per Each for the District specified in the job order. Price and payment will constitute full compensation for all labor, materials, equipment, tools, and incidentals required to complete the work. Payment of the Contract unit price per each for mobilization will be made once per job order.

8/5/03



JENNIFER COHAN SECRETARY

# UTILITY STATEMENT July 31, 2015 STATE CONTRACT No. T201407004 F.A.P. #ESTP-2014(21) Project I.D. No. 14-01059 CANTILEVER AND OVERHEAD SIGN STRUCTURES, OPEN END, FY16-18 NEW CASTLE COUNTY

The following utility companies may own and/or maintain facilities within the project limits

City of Wilmington
Delmarva Power, Electric Distribution
Delmarva Power, Gas
Cavalier/Windstream
Verizon Delaware LLC

The following is a breakdown of the locations the have existing utilities within the project limits.

#### SC1103 and SC1107

#### Delmarva Power, Electric Distribution:

The Company maintains underground electrical facilities within the project limits with no apparent conflicts.

Should any conflicts be encountered during construction requiring adjustment and/or relocation to the aforementioned utility's existing facilities, the necessary relocation work shall be accomplished by the company's forces, as directed by the engineer.

#### **SC1206**

#### City of Wilmington:

The City maintains underground sanitary sewer and water facilities within the project limits with no apparent conflicts.

Should any conflicts be encountered during construction requiring adjustment and/or relocation to the aforementioned utility's existing facilities, the necessary relocation work shall be accomplished by the company's forces, as directed by the engineer.



#### Delmarva Power – Electric Distribution:

The Company maintains underground electrical facilities within the project limits with no apparent conflicts.

Should any conflicts be encountered during construction requiring adjustment and/or relocation to the aforementioned utility's existing facilities, the necessary relocation work shall be accomplished by the company's forces, as directed by the engineer.

#### SC1221

#### City of Wilmington:

The City maintains underground sanitary sewer facilities within the project limits with no apparent conflicts.

Should any conflicts be encountered during construction requiring adjustment and/or relocation to the aforementioned utility's existing facilities, the necessary relocation work shall be accomplished by the company's forces, as directed by the engineer.

#### Delmarva Power, Electric Distribution:

The Company maintains aerial and underground electrical facilities within the project limits with no apparent conflicts.

Should any conflicts be encountered during construction requiring adjustment and/or relocation to the aforementioned utility's existing facilities, the necessary relocation work shall be accomplished by the company's forces, as directed by the engineer.

#### Delmarva Power, Gas:

The Company maintains underground gas facilities within the project limits with no apparent conflicts.

Should any conflicts be encountered during construction requiring adjustment and/or relocation to the aforementioned utility's existing facilities, the necessary relocation work shall be accomplished by the company's forces, as directed by the engineer.

#### **SC1223**

#### City of Wilmington:

The City maintains underground sanitary sewer and water facilities within the project limits with no apparent conflicts.

Should any conflicts be encountered during construction requiring adjustment and/or relocation to the aforementioned utility's existing facilities, the necessary relocation work shall be accomplished by the company's forces, as directed by the engineer.

#### Delmarva Power, Electric Distribution:

The Company maintains underground electrical facilities within the project limits with no apparent conflicts.

Should any conflicts be encountered during construction requiring adjustment and/or relocation to the aforementioned utility's existing facilities, the necessary relocation work shall be accomplished by the company's forces, as directed by the engineer.

#### SO1109

#### Verizon Delaware LLC:

The Company maintains aerial and underground communication facilities within the project limits with no apparent conflicts.

Should any conflicts be encountered during construction requiring adjustment and/or relocation to the aforementioned utility's existing facilities, the necessary relocation work shall be accomplished by the company's forces, as directed by the engineer.

#### Cavalier/Windstream:

The Company maintains underground communication facilities within the project limits with no apparent conflicts.

Should any conflicts be encountered during construction requiring adjustment and/or relocation to the aforementioned utility's existing facilities, the necessary relocation work shall be accomplished by the company's forces, as directed by the engineer.

#### **SO1123**

#### Delmarva Power, Electric Distribution:

The Company maintains underground electrical facilities within the project limits with no apparent conflicts.

Should any conflicts be encountered during construction requiring adjustment and/or relocation to the aforementioned utility's existing facilities, the necessary relocation work shall be accomplished by the company's forces, as directed by the engineer.

#### **General Utility Notes**

No utility relocation involvement is anticipated in the areas not listed, should any conflicts be encountered during construction requiring adjustment and/or relocation, the necessary relocation work shall be accomplished by the respective agencies, as directed by the District Engineer.

Any adjustments and/or relocations of municipally owned sewer or water facilities shall be done by the State's contractor in accordance with the respective agencies' standard specifications as directed by the District Engineer.

#### **General Notes**

- 1. The Contractor's attention is directed to Section 105.09 <u>Utilities</u>, Delaware Standard Specifications, August 2001. The Contractor shall contact Miss Utility (1-800-282-8555) two working days prior to any excavation. The Contractor is responsible for the support and protection of all utilities when excavating. The Contractor is responsible for ensuring proper clearances, including safety clearances, from overhead utilities for construction equipment. The Contractor is advised to check the site for access purposes for his equipment and, if necessary, make arrangements directly with the utility companies for field adjustments for adequate clearances.
- 2. The information shown in the Contract Documents, including the Utility Statement and the Utility Schedule contained herein, concerning the location, type and size of existing

and proposed utilities, their locations, and construction timing has been compiled by the preparer based on information furnished by each of the involved Utility Companies. It shall be the responsibility of the State's Contractor to verify all information and coordinate with the Utility Companies prior to and during construction, as specified in Section 105.09 of the Standard Specifications.

- 3. It is understood and agreed that the Contractor has considered in his bid all permanent and temporary utility appurtenances in their present and relocated positions as shown on the plans or described in the Utility Statement or are readily discernible and that no additional compensation will be allowed for any delays, inconvenience, or damage due to any interference from the utility facilities and appurtenances or the operation of moving them, except that the Contractor may be granted an equitable extension of time.
- 4. Coordination and cooperation among the Utility Companies and the State's Contractors are of prime importance. Therefore, the Contractor is directed to contact the following Utility Company representatives with any questions regarding this work prior to submitting bids and work schedules. Proposed work schedules should reflect the Utility Companies' proposed relocations. The Utility Companies do <u>not</u> work on weekends or legal holidays.
- 5. As outlined in Chapter 3 of the DelDOT Utilities Manual, utilities are responsible for obtaining all required permits from municipal, State and federal government agencies and railroads. This includes but is not limited to water quality permits/DNREC Water Quality Certification, DNREC Subaqueous Lands/Wetlands permits, DNREC Coastal Zone Consistency Certification, County Floodplain permits (New Castle County only), U.S. Coast Guard permits, US Army Corps 404 permits, sediment and erosion permits, and railroad crossing permits.
- 6. Utility companies are required to restore any areas disturbed in conjunction with their relocation work. If an area is disturbed by a utility company and is not properly restored, the Department may have the highway contractor perform the necessary restoration. Any additional costs incurred as a result will be forwarded to the utility company.

NOTE: Coordination and cooperation among the utilities and the State's Contractor are of prime importance, therefore, the Contractor is directed to contact the following Utility Representatives with any questions in regard to this work prior to submitting bids and work schedules. Proposed work schedules should reflect the Utility Companies' proposed relocations.

Ms. Chau Le	City of Wilmington	302-576-2581
Mr. Angel Collazo	Delmarva Power, Electric Distribution	302-454-4370
Mr. Ted Waugh	Delmarva Power, Gas	302-429-3706
Mr. Harry Sheppard	Cavalier/Windstream	302-224-7121
Mr. George Zang	Verizon Delaware LLC	302-422-1238

**Division of Transportation Solutions** 

Date 31, 2015

Utility Coordinator

# STATE OF DELAWARE DEPARTMENT OF TRANSPORTATION PO BOX 778 DOVER, DELAWARE 19903

#### CERTIFICATE OF RIGHT-OF-WAY STATUS

STATE PROJECT NO. T201407004

F.A.P. NO. ESTP-2014(21)

#### CANTILEVER AND OVERHEAD SIGN STRUCTURES, OPEN END, FY16-18

#### **NEW CASTLE COUNTY**

Certificate of Right-of-Way Status - 100%

Status - LEVEL 1

As required by 23 CFR, Part 635, and other pertinent Federal and State regulations or laws, the following certifications are hereby made in reference to this highway project:

All project construction or work shall be performed within existing rights of way and permanent easements without any need for railroad coordination

All necessary real property interests, including control of access rights when pertinent, were acquired as part of previous highway projects, and include legal and physical possession; and,

This project does not cause any persons to be displaced as defined in 49 CFR, Part 24; and,

The State has the right to remove, salvage, or demolish any improvements or personal property that may be located within project limits; and,

RIGHT OF WAY SECTION

Robert Cunningham Chief Right of Way



#### STATE OF DELAWARE

#### DEPARTMENT OF TRANSPORTATION

800 BAY ROAD
P.O. BOX 778
DOVER, DELAWARE 19903

JENNIFER COHAN SECRETARY

August 5, 2015

#### **ENVIRONMENTAL REQUIREMENTS**

#### **FOR**

State Contract No. T201407004 Federal Aid No.: ESTP-2014(21)

Contract Title: Cantilever and Overhead Sign Structures, Open End, FY 16-18

In accordance with the procedural provisions for implementing the National Environmental Policy Act of 1969, as amended, the referenced project has been processed through the Department's Environmental Review Procedures and has been classified as a Level D/ Class II Action.

Due to the nature of the proposed construction activities, permits are not required for this project. However, the following construction requirements <u>and</u> special provisions have been developed to minimize and mitigate impact to the surrounding environs. These requirements by DelDOT not specified within the contract, but listed below, are the responsibility of the contractor and are subject to risk of shut down at the contractor's expense if not followed.

#### **GENERAL REQUIREMENTS:**

- 1. All construction debris, excavated material, brush, rocks, and refuse incidental to such work shall be placed either on shore above the influence of flood waters or on some suitable dumping ground.
- 2. That effort shall be made to keep construction debris from entering adjacent waterways or wetlands. Any debris that enters those areas shall be removed immediately.
- 3. The disposal of trees, brush, and other debris in any stream corridor, wetland, surface water, or drainage area is <u>prohibited</u>.





#### STATE OF DELAWARE

#### **DEPARTMENT OF TRANSPORTATION**

800 BAY ROAD
P.O. BOX 778
DOVER, DELAWARE 19903

JENNIFER COHAN SECRETARY

#### RAILROAD STATEMENT

For

<b>State Contra</b>	ct No.: T201407004							
Federal Aid	No.: ESTP-2014(21)							
<b>Project Title</b>	: Cantilever and Overhead Sign	Structures, Oper	n-End, FY 16-18					
-1 6 11 1		6 1111						
The followin	g railroad companies maintai	1 facilities with						
Ш	Amtrak		Maryland & Delaware					
	CSX		Norfolk Southern					
	Delaware Coast Line		Wilmington & Western					
	East Penn	$\checkmark$	None					
DOT Invento	ry No.: No. To	rains/Day:	Passenger Trains (Y / N):					
In accordance	ce with 23 CFR 635, herein is	the railroad sta	atement of coordination (check one):					
✓ No Ra	ailroad involvement.							
follov Speci	Railroad Agreement unnecessary but railroad flagging required. The contractor shall follow requirements stated in the DelDOT Maintenance of Railroad Traffic Item in the Special Provisions. Contractor shall coordinate railroad flagging with DelDOT's Railroad Program Manager at (302) 760-2183.							
and f for pi follov Speci	ully executed. Railroad relate roper coordination with physion with physion requirements stated in the	d work to be u cal construction DelDOT Mainte Il coordinate ra	road agreement, attached, is complete ndertaken and completed as required n schedules. The Contractor shall enance of Railroad Traffic Item in the ailroad flagging with DelDOT's					

**Approved As To Form:** 

Robert A. Perrine DelDOT Railroad Program Manager 8/14/15

DATE

# **BID PROPOSAL FORMS**

CONTRACT <u>T201407004.01</u>
FEDERAL AID PROJECT <u>ESTP-2014(21)</u>

PAGE:

DATE:

# DELAWARE DEPARTMENT OF TRANSPORTATION SCHEDULE OF ITEMS

CONTRACT ID: T201407004.01

PROJECT(S): ESTP-2014(21)

CONTRA	ACTOR :				
LINE	ITEM   DESCRIPTION	APPROX.	UNIT PRICE	BID AMOUNT	
	 	AND UNITS	DOLLARS   CTS		
SECTIO	ON 0001 PROJECT ITEMS				
	201000 CLEARING AND  GRUBBING 	    LUMP 	  LUMP		
0020	211000 REMOVAL OF  STRUCTURES AND  OBSTRUCTIONS	    LUMP 	  LUMP		
0030	211002 REMOVAL OF  STRUCTURES AND  OBSTRUCTIONS (GUARDRAIL)	3550.0	00	B	
	21 <mark>15</mark> 23 REMOVAL OF P.C.C.  BARRIER 	   125.0	00		
0050	602001 PORTLAND CEMENT  CONCRETE MASONRY, CLASS  A	   7.0  CY	00		
	6025 <mark>79 DRILLING HOLES</mark>  AND INSTALLING DOWELS 	   36.0  EACH	00	) E	
	604000 BAR REINFORCEMENT,  EPOXY COATED	   2000.0  LB	00		
0800	605761 STEEL SIGN  STRUC <mark>TURES,</mark> TUBULAR ARCH  CANTILEVER	  LUMP 	  LUMP		
0090	605762 STEEL SIGN  STRUCTURES, TUBULAR ARCH  OVERHEAD	  LUMP	  LUMP		

PAGE:

DATE:

# DELAWARE DEPARTMENT OF TRANSPORTATION SCHEDULE OF ITEMS

CONTRACT ID: T201407004.01

PROJECT(S): ESTP-2014(21)

LINE			APPROX. UANTITY	UNIT PRICE 	BID AMOUNT
NO	DESCRIPTION	. ~		DOLLARS   CTS	
0100	618537 DRILLED SHAFT,  54" 	    LF	500.000	 	
0110	618538 DRILLED SHAFT,  60" 	      LF	550.000	     	   
	618539 DRILLED SHAFT  ROCK SOCKET, 48" 	      LF	25.000	   	
	701010 PORTLAND CEMENT  CONCRETE CURB, TYPE 1-8	    LF	200.000		
	701012 PORTLAND CEMENT CONCRETE CURB, TYPE 1-4	    LF	150.000		
0150	705001 P.C.C. SIDEWALK,  4"	    SF	650.000		
0160	720043 GALVANIZED STEEL  BEAM GUARDRAIL, TYPE  1-27	    LF	100.000		
0170	720044 GALVANIZED STEEL  BEAM GUARDRAIL, TYPE  2-27	    LF	1500.000		
0180	720050 GALVANIZED STEEL  BEAM GUARDRAIL, TYPE  1-31	    LF	100.000		
0190	720051 GALVANIZED STEEL  BEAM GUARDRAIL, TYPE  2-31	    LF	550.000		

CONTRACT ID: T201407004.01

PROJECT(S): ESTP-2014(21)

LINE	   ITEM	APE	PROX.	UNIT E	PRICE	BID AM	OUNT
NO	DESCRIPTION		QUANTITY  -			   DOLLARS	  CTS
	720517 IMPACT ATTENUATOR,  TYPE I	    EACH	6.000  			   	
0210	720529 P.C.C. SAFETY  BARRIER PERMANENT,  SINGLE FACE	    LF	75.000    75.000			     	
0220		    EACH	3.000    			  - 	
0230	720653 PCC SAFETY  BARRIER PERMANENT,  SINGLE FACE, MODIFIED	    LF	24.000				
	726001 END ANCHORAGE 31	    EACH	3.000				
0250	727004 CHAIN-LINK FENCE,  6' HIGH 	 	200.000				
	727006 TERMINAL POSTS	    EACH	20.000				
0270	727547 REMOVAL OF FENCE   	     LF	200.000				
	743000 MAINTENANCE OF  TRAFFIC 	  LUMP		LUMP			
0290	743003 ARROWPANELS, TYPE  C	    EADY	600.000				

# DELAWARE DEPARTMENT OF TRANSPORTATION SCHEDULE OF ITEMS

DATE:

CONTRACT ID: T201407004.01

PROJECT(S): ESTP-2014(21)

LINE	1		PPROX.	UNIT E		BID AMOUNT	
NO	DESCRIPTION 	QUA				DOLLARS	CTS
0300	743004 FURNISH AND  MAINTAIN PORTABLE  CHANGEABLE MESSAGE SIGN 	    EADY	400.000	     	     		
0310	743005 FURNISH AND  MAINTAIN PORTABLE LIGHT  ASSEMBLY	    EADY	800.000    800.000		     		
0320	743006 PLASTIC DRUMS	    EADY	15000.000				
0330	743007 TRAFFIC OFFICERS   	    HOUR	1600.000	7	75.00000	120	0.000
0340		    EADY	1000.000				
0350	743015 FURNISH AND  MAINTAIN PORTABLE PCC  SAFE <mark>TY</mark> B <mark>AR</mark> RIER	LF	3000.000				
	743016 RELOCATION  PORATBLE SAFETY BARRIER 	LF	1000.000				
	743023 TEMPORARY  BARRICADES, TYPE III 	    LFDY	2500.000  				
	743024 TEMPORARY WARNING  SIGNS AND PLAQUES	  EADY	8000.000				
	743025 INSTALL TEMPORARY  IMPACT ATTENUATOR	    EACH	20.000				

PAGE:

DATE:

# DELAWARE DEPARTMENT OF TRANSPORTATION SCHEDULE OF ITEMS

CONTRACT ID: T201407004.01

PROJECT(S): ESTP-2014(21)

LINE	INE  ITEM   NO   DESCRIPTION		PROX.	UNIT PRICE	BID AMOUNT
NO	DESCRIPTION			DOLLARS   C	
0400	743029 FURNISH TEMPORARY  IMPACT ATTENUATOR -  NON-GATING, REDIRECTIVE,  TEST LEVEL 3	i	15.000    		
0410	743030 RELOCATE  TEMPORARY IMPACT  ATTENUATOR	    EACH	10.000		
	743056 FLAGGER, NEW  CASTLE COUNTY, FEDERAL		2000.000		
0430	743065 FLAGGER, NEW  CASTLE COUNTY, FEDERAL,  OVERTIME	    HOUR	500.000		IB
0440	74 <mark>45</mark> 20 CONDUIT JUNCTION  WELL, TYPE 1, PRECAST  CONCRETE	  EACH	2.000		
0450	748027 PERMANENT  PAVEMENT STRIPING,  ALKYD-THERMOPLASTIC, 12"	    LF	300.000		
	748530 REMOVAL OF  PAVEMENT STRIPING 	    SF	3500.000		
0470	748548 PERMANENT  PAVEMENT STRIPING, EPOXY  RESIN PAINT,  WHITE/YELLOW, 5"	•	6000.000		
0480	1748549 PERMANENT   PAVEMENT STRIPING, EPOXY   RESIN PAINT,   WHITE/YELLOW, 10"	    LF	1500.000		

# DELAWARE DEPARTMENT OF TRANSPORTATION PAGE: SCHEDULE OF ITEMS DATE:

CONTRACT ID: T201407004.01

PROJECT(S): ESTP-2014(21)

LINE	IE  ITEM		APPROX.	UNIT P	RICE	BID AMOUNT	
NO			QUANTITY   AND UNITS	DOLLARS	CTS	   DOLLARS	CTS
0490	748557 PERMANENT  PAVEMENT STRIPING, EPOXY  RESIN PAINT, BLACK, 3"		1000.000		     	   	
0500	748564 RETROREFLECTIVE  PREFORMED PATTERNED  MARKINGS, 5"	    LF	500.000    500.000		     	   	
0510	748565 RETROREFLECTIVE  PREFORMED PATTERNED  MARKINGS, 10"	    LF	100.000			   	
0520	748566 RETROREFLECTIVE  PREFORMED PATTERNED  MARKINGS, 8"	    LF	200.000				
0530	748567 RETROREFLECTIVE PREFORMED PATTERNED MARKINGS, 13"	    LF	1000.000				
0540	749500 SIGN PANEL   	    SF	20000.000				
	749502 SIGN PANEL  OVERLAY ON EXISTING SIGN 	    SF	40.000				
0560	758000 REMOVAL OF  EXISTING PORTLAND  CEMENTCONCRETE PAVEMENT,  CURB, SIDEWALK, ETC.	  SY 	125.000				
	762001 SAW CUTTING,  BITUMINOUS CONCRETE	    LF	1000.000				
	762002 SAW CUTTING,  CONCRETE, FULL DEPTH	      LF	200.000				

CONTRACT ID: T201407004.01

PROJECT(S): ESTP-2014(21)

CONTRA	ACTOR :			
LINE   NO			UNIT PRICE	
0590     0590	763000 INITIAL EXPENSE   	  LUMP	  LUMP	
	763501 CONSTRUCTION  ENGINEERING 	  LUMP	LUMP	
0610	· · · · · · · · · · · · · · · · · · ·	   35.000  EACH	 	
0620	905001 SILT FENCE	3000.000		
	905004 INLET SEDIMENT CONTROL, DRAINAGE INLET	4.000		
0640	905005 INLET SEDIMENT  CONTROL, CURB INLET 	   8.000  EACH	     	
	9080 <mark>04 TOP</mark> SOIL, 6" DEPTH	3000.000		
	908014 PERMANENT GRASS  SEEDING, DRY GROUND 	3000.000		
	   SECTION 0001 TOTAL			
	   TOTAL BID			

# BREAKOUT SHEET INSTRUCTIONS

BREAKOUT SHEET(S) MUST BE SUBMITTED EITHER WITH YOUR BID DOCUMENTS; OR WITHIN SEVEN (7) CALENDAR DAYS FOLLOWING THE BID DUE DATE BY THE LOWEST APPARENT BIDDER.

BREAKOUT SHEETS ARE TO BE SUBMITTED TO DELDOT'S CONTRACT ADMINISTRATION AS SHOWN BELOW. BREAKOUT SHEETS CANNOT BE CHANGED AFTER AWARD. THE DEPARTMENT WILL REVIEW THE FIGURES SUBMITTED ON THE BREAKOUT SHEET(S) TO ENSURE THEY MATCH THE RESPECTIVE LUMP SUM BID AMOUNT(S). MATHEMATICALLY INCORRECT BREAKOUT SHEETS WILL BE RETURNED FOR IMMEDIATE CORRECTION.

#### BREAKOUT SHEETS MAY BE SUBMITTED;

VIA E-MAIL TO: DOT-ASK@STATE.DE.US

SUBJECT: T201407004.01 Breakout Sheet

OR MAILED TO: DELDOT

CONTRACT ADMINISTRATION PO BOX 778, DOVER, DE 19903

'BREAKOUT SHEET' AND THE PROJECT NUMBER

MUST APPEAR ON THE ENVELOPE.

	BREAKOUT SHEET - 1 CONTRACT NO. T201407004.01 ITEM 211000 - REMOVAL OF STRUCTURES AND OBSTRUCTIONS						
ITEM NO.	APPROX. QTY.	UOM	DESCRIPTION	UNIT PRICE	AMOUNT		
1	1	EA	SC1206	\$	\$		
2	1	EA	SC1221	\$	\$		
3	1	EA	SC1223	\$	\$		
4	1	EA	SO1107	\$	\$		
5	1	EA	SO1108	\$	\$		
6	1	EA	SO1109	\$	\$		
7	1	EA	SO1112	\$	\$		
8	1	EA	SO1113	\$	\$		
9	1	EA	SO1114	\$	\$		
10	1	EA	SO1116	\$	\$		
11	1	EA	SO1123	\$	\$		
12	1	EA	SO1026	\$	\$		
13	1	EA	SO1027	\$	\$		
14	1	EA	SO1028	\$	\$		
15	1	EA	SC1029	\$	\$		
16	1	EA	SO1125	\$	\$		
17	1	EA	SO1124	\$	\$		
18	1	EA	SC1123	\$	\$		
19	1	EA	SO1104	\$	\$		
20	1	EA	SC1105	\$	\$		

Total Lump Sum Bid for Item No. 211000 - REMOVAL OF STRUCTURES AND OBSTRUCTIONS \$ (LUMP SUM BID PRICE FOR ITEM 211000)

	BREAKOUT SHEET - 2 CONTRACT NO. T201407004.01 ITEM 605761 -STEEL SIGN STRUCTURES, TUBULAR ARCH, CANTILEVER								
ITEM NO.	APPROX. QTY.	UOM	DESCRIPTION	UNIT PRICE	AMOUNT				
1	1	EA	SC1206	\$	\$				
2	1	EA	SC1221	\$	\$				
3	1	EA	SC1223	\$	\$				
4	1	EA	SC1103	\$	\$				
5	1	EA	SC1104	\$	\$				
6	1	EA	SC1119A	\$	\$				
7	1	EA	SC1105	\$	\$				

Total Lump Sum Bid for Item No. 605761 -STEEL SIGN STRUCTURES, TUBULAR ARCH, CANTILEVER \$ (LUMP SUM BID PRICE FOR ITEM 605761)

# USED FOR BIDDING

	BREAKOUT SHEET - 3 CONTRACT NO. T201407004.01 ITEM 605762 -STEEL SIGN STRUCTURES, TUBULAR ARCH, OVERHEAD								
ITEM NO.	APPROX. QTY.	UOM	DESCRIPTION	UNIT PRICE	AMOUNT				
1	1	EA	SO1107	\$	\$				
2	1	EA	SO1109	\$	\$				
3	1	EA	SO1112	\$	\$				
4	1	EA	SO1114	\$	\$				
5	1	EA	SO1116	\$	\$				
6	1	EA	SO1123	\$	\$				
7	1	EA	SO1026	\$	\$				
8	1	EA	SO1027	\$	\$				
9	1	EA	SO1028	\$	\$				
10	1	EA	SO1125	\$	\$				
11	1	EA	SO1124	\$	\$				
12	1	EA	SO1104	\$	\$				

Total Lump Sum Bid for Item No. 605762 -STEEL SIGN STRUCTURES, TUBULAR ARCH, OVERHEAD \$ (LUMP SUM BID PRICE FOR ITEM 605762)



# "ATTENTION"

# TO BIDDERS

BREAKOUT SHEET(S) MUST BE SUBMITTED EITHER WITH YOUR BID DOCUMENTS; OR WITHIN SEVEN (7) CALENDAR DAYS FOLLOWING THE BID DUE DATE BY THE LOWEST APPARENT BIDDER.

BREAKOUT SHEETS ARE TO BE SUBMITTED TO DELDOT'S CONTRACT ADMINISTRATION AS SHOWN BELOW. BREAKOUT SHEETS CANNOT BE CHANGED AFTER AWARD. THE DEPARTMENT WILL REVIEW THE FIGURES SUBMITTED ON THE BREAKOUT SHEET(S) TO ENSURE THEY MATCH THE RESPECTIVE LUMP SUM BID AMOUNT(S). MATHEMATICALLY INCORRECT BREAKOUT SHEETS WILL BE RETURNED FOR IMMEDIATE CORRECTION.

#### BREAKOUT SHEETS MAY BE SUBMITTED;

VIA E-MAIL TO: SUBJECT: DOT-ASK@STATE.DE.US
T201407004.01 Breakout Sheet

OR MAILED TO: DELDOT

CONTRACT ADMINISTRATION PO BOX 778, DOVER, DE 19903

'BREAKOUT SHEET' AND THE PROJECT NUMBER

MUST APPEAR ON THE ENVELOPE.

#### **CERTIFICATION**

Contract No. <u>T201407004.01</u> Federal Aid Project No. <u>ESTP-2014(21)</u>

The undersigned bidder,	
whose address is	
and telephone number is _	hereby certifies the following:
specifications, and will be execute in accordance with proposal and said plans and and other means of consti- perform and complete the	examined the location of the proposed work, the proposed plans and bound, upon award of this contract by the Department of Transportation, to the such award, a contract with necessary surety bond, of which contract this ad specifications shall be a part, to provide all necessary machinery, tools, labor ruction, and to do all the work and to furnish all the materials necessary to be said contract within the time and as required in accordance with the timent of Transportation, and at the unit prices for the various items as listed on
Bidder's Certification S	statement [US DOT Suspension and Debarment Regulation (49 CFR 29)]:
prime contractor and si copy of the Bidder Cer prime contractor. This granted for each and e appropriate District Cor.  Under penalty of perjut therewith in the capacimanager, auditor, or any a. am/are not currently ineligibility by any feb. have not been suspense agency within the pacture of the competent jurisdiction.  Exceptions will not necessors in the pacture of the competent proposed.	ary under the laws of the United States, that I/We, or any person associated ty of (owner, partner, director, officer, principal, investigator, project director, oposition involving the administration federal funds): by under suspension, debarment, voluntary exclusion, or determination of ederal agency; ended, debarred, voluntarily excluded or determined ineligible by any federal
	·
DBE Program Assura	(Insert Exceptions) nce:
	ce with 49 CFR Part 26 the undersigned, a legally authorized representative of must complete this assurance.
By its signature affixed indicated:	ed hereto, assures the Department that it will attain DBE participation as
Disadvantaged Bu	siness Enterprise percent (blank to be filled in by bidder)

The foregoing quantities are considered to be approximate only and are given as the basis for comparison of bids. The Department of Transportation may increase or decrease the amount of any item or portion of the work as may be deemed necessary or expedient. Any such increase or decrease in the quantity for any item will not be regarded as a sufficient ground for an increase or decrease in the unit prices, nor in the time allowed for the completion of the work, except as provided in the contract.

Accompanying this proposal is a surety bond or a security of the bidder assigned to the Department of Transportation, for at least ten (10) percentum of total amount of the proposal, which deposit is to be forfeited as liquidated damages in case this proposal is accepted, and the undersigned shall fail to execute a contract with necessary bond, when required, for the performance of said contract with the Department of Transportation, under the conditions of this proposal, within twenty (20) days after date of official notice of the award of the contract as provided in the requirement and specifications hereto attached; otherwise said deposit is to be returned to the undersigned.

I/We are licensed, or have initiated the license application as required by Section 2502, Chapter 25, Title 30, of the Delaware Code.

By submission of this proposal, each person signing on behalf of the bidder, certifies as to its own organization, under penalty of perjury, that to the best of each signer's knowledge and belief:

- 1. The prices in this proposal have been arrived at independently without collusion, consultation, communication, or Agreement with any other bidder or with any competitor for the purpose of restricting competition.
- 2. Unless required by law, the prices which have been quoted in this proposal have not been knowingly disclosed and will not knowingly be disclosed by the bidder, directly or indirectly, to any other bidder or competitor prior to the opening of proposals.
- 3. No attempt has been made or will be made by the bidder to induce any other person, partnership, or corporation to submit or not to submit a proposal for the purpose of restricting competition.

I/We a	acknowled	ge receipt	t an <mark>d</mark> incor	porati <mark>on</mark> of add	lenda to th	is proposa	as follows:		
No.	Date	No.	Date	e No.	Date	No.	Date	No.	Date
	BID	DERS N	MUST AC	KNOWLEDO	SE RECEI	PT OF <u>A</u>	<u>LL</u> ADDEN	DA	
MUST I	NSERT D	ATE OF	FINAL C	QUESTIONS.	AND ANS	WERS O	N WEBSIT	E:	
		is	day of	in th	e y <mark>ea</mark> r of o	ur Lord tw	vo thousand		
( 20	).								
				<del>-</del>	N	ame of Bi	dder (Organi	zation)	
	Сс	orporate		By:		A 41	rized Signatu		
A 444		Seal				Autno	rized Signatu	re	
Attest _							Title		
SWORN			RIBED BE	FORE ME this	s day o	of	, 20		
	ľ	Notary Seal							
				_			Notary		

#### **BID BOND**

# TO ACCOMPANY PROPOSAL (Not necessary if security is used)

of	in the (	County of		and State of	as
Principal, and			of		in the County of
and					
Delaware ("State"), are	held and firmly	unto the State	e in the sum of	of	
	Dol	lars (\$	), or	percent not	to exceed
Contract No. T2014070 Transportation ("DelDC each of our heirs, exectirmly by these presents.	OT") for which jutors, administr	payment well	and truly to	be made, we do b	and ourselves, our and
NOW THE CONDITION who has submitted to the certain materiel and/or shall well and truly enternand approved by the <b>Demotice</b> of the award the world or else to be and results.	he DelDOT a services within or into and exect IDOT, this Con reof in accordan	certain propo the State, shate this Contract to be nice with the	sal to enter hall be award act as may be tered into with	into this contract, led this Contract, e required by the thin twenty days a	for the furnishing of and if said Principa terms of this Contract fter the date of official
Sealed with			day of	in	the year of our Lord
wo t <mark>h</mark> ousand <mark>an</mark> d	(2	0).			
SEALED, AND DEL presence		IE			
		_	Nar	ne of Bidder (Orga	anization)
Corpor		By:			
Seal				Authorized Signa	ature
Attest		<del>/</del>		Title	
		_		Name of Sure	ty
Witness		Dyn			
Witness:		Бу.			
				Title	